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Official journal of

The American Institute of Chemists, Inc.

http://www.theaic.org/pub\_thechemist\_journals/

### **The Chemist**

Established in 1923, The Chemist is the official publication of The American Institute of Chemists, Inc. (AIC). The Chemist was published quarterly in magazine format up until 2006. The Chemist is currently being set up and formatted as an online journal.

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**Subscription:** \$35 per year to members, \$100 per year to non-members. Single copy: \$50. The Chemist (ISSN-0009-3025) is published online by The American Institute of Chemists, Inc.

## The Chemist

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### **Editorial**

Alexander G. Zestos, Ph. D American University

Nayiri M. Kaissarian, Ph.D Montgomery College

Chemistry remains at the forefront of society's past, present, and future. We are currently living through a seemingly continuous COVID-19 pandemic in addition to other infectious diseases such as Respiratory Syncytial Virus Infection (RSV), monkeypox, and several others. The depletion of natural resources such as oil, gas, coal, fossil fuels, and others have led the race towards clean, sustainable, and renewable energy sources such as hydrothermal, geothermal, solar, wind, nuclear, and others. The safety, efficiency, and feasibility of these alternative sources are primarily determined by engineers and chemists alike. Chemistry is often referred to as "The Central Science" because it is at the intersection of the physical and life sciences and integrates several fields of knowledge through high impact research and relevant studies. Several subdisciplines such as electrochemistry incredibly diverse as they encompass several fields such as sensors, catalysis, batteries, electroanalytical, and many more.

We are proud to announce that Prof. Robert Savinell from the Department of Chemical Engineering at Case Western Reserve University and Prof. Kara Bren from the Department of Chemistry at the University of Rochester have been named our Chemical Pioneer awardees for the year 2023 at the AIC Annual meeting in May of 2023. Their contributions to The Chemist are currently planned to be included in a subsequent issue.

In this issue of The Chemist published by the American Institute of Chemists (AIC), we explore several research topics relevant to all forms of chemistry. We begin this issue with a contribution from Dr. Thad Le-Vasicek, who details the development of catalysts immobilized to magnetic nanoparticles. The study ultimately shows that increasing the recovery of the magnetic nanoparticles decreases the loss of recycled enzyme activity illustrating the importance of optimizing particle recovery to increase the retained activity of recycled catalysts, which has been shown to be a crucial parameter for the industrial use of enzymes to magnetic nanoparticles.

We further present research from Dr. Atolani's laboratory that depicts an eco-friendly formulation and characterization cosmetics prepared from seed oils. The study shows that seed oils from several underutilized plants can be used for industrial and medicinal purposes such as green cosmetics formulation for the regulation of skin pigmentation. Another contribution from the Atolani laboratory focuses on the isolation and characterization of novel bioactives from Vernonia amygdalina, a tropical shrub that has been known to have health-promoting properties. Hassan et al. also analyzed other plant extracts as they studied the quantitative chemical analysis and biological effectiveness on germination and the growth of Maize seeds. They analyzed many chemical compounds extracted from fig, eucalyptus, and mulberries such as proteins, carbohydrates, phenols, flavonoids, and several other compounds as well.

In the fields of polymer and physical chemistry, Yousef Alqaheem contributed a review on testing methods for permeability and selectivity of polymeric membranes. The paper provided precise guidelines for evaluating membrane permeability and porosity for energy-saving gas separations and several commercial applications. Continuing in the fields of membranes, Tawari and Brika developed a statistical analysis for developing cellulose acetate (CA) Hollow-fine-fiber membranes. This work had great applications for brackish water desalination with improved salt retention and flux. Lastly, Busari et al. detail synthesis, characterization, and docking studies of zinc and copper complexes of 4-methylbenzoic acid and 2-methylimidazole. These metal-derived complexes could be considered promising candidates for combatting pathogenic infections.

We sincerely hope that you enjoy reading this issue and wish you a safe and prosperous New Year.

With best wishes,

Alexander G. Zestos and Nayiri M. Kaissarian Co-Editors of The Chemist



### Catalysts Immobilized to Magnetic Nanoparticles: Assessment of Particle and Activity Loss During Recycling

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Abstract: The immobilization of enzymes to magnetic nanoparticles facilitates the recovery and reuse of the enzyme, which mitigates the financial burden of enzyme use. Particles are typically modified to enhance enzyme immobilization. However, the modification of magnetic nanoparticles alters the magnetic properties of the particles which may diminish the particle recovery and therefore decrease the efficiency of magnetically recycled catalysts. In this work, magnetic nanoparticles were synthesized and modified with varying amounts of an aminosilane. β-Glucosidase, an enzyme relevant for bioethanol production, was immobilized on the particles. The enzyme immobilized particles were subjected to a recycling experiment, where the activity and particle loss during recycling were quantified. The particle loss was ~2% × cycle<sup>-1</sup> and was not dependent upon the extent of aminosilane modification. The activity loss × cycle<sup>-1</sup> was ~3% and did not depend on the extent of aminosilane modification but was proportional to the particle loss. The results from this study suggest that increasing the recovery of the magnetic nanoparticles will decrease the loss of recycled enzyme activity.

**Key Words:** Cellulases, immobilized enzymes, activity loss, magnetic nanoparticles, functional group density

#### 1. Introduction

Enzymes possess many desirable traits as catalysts in the industrial sector. Enzymes have high selectivity, operate in relatively benign solutions, and require low energy input [1]. However, enzymes can account for ~48% of the value of the product [2], which may hinder the use of enzymes in specific

sectors. The financial burden of enzyme use is mitigated when the enzymes are recovered and reused for multiple production cycles. Immobilizing enzymes to solid supports enable for the recovery and reuse of the enzymes, thereby reducing their financial impact [3,4].

Nanoparticles (NPs) are routinely used as supports for enzyme immobilization, because they do not suffer from appreciable mass transport limitations, they can be readily modified to facilitate immobilization and they have a high surface area, enabling the immobilization of an abundance of enzyme [1]. Magnetic nanoparticles (MNPs) have the additional benefit of being readily separated from bulk solution by the application of an external magnetic field. This ease of MNP recovery has led to their use in several studies as supports for enzyme immobilization [5-7].

MNPs are modified with agents to introduce functional groups that promote enzyme immobilization. Amines are routinely introduced to MNP surfaces, as the amine can be activated to covalently bind enzymes [8]. Amines can be introduced to the MNP surface by the condensation of 3-aminopropyl triethoxysilane (APTES). The amine density on the MNP is correlated with the APTES concentration used during the modification step, which is pivotal in controlling the enzyme density on the MNP. It is therefore desirable to have MNPs with a high APTES density for the immobilization of large quantities of enzyme.

The financial impact of inactivated enzymes can be minimized when the enzymes maintain high activity throughout subsequent catalytic cycles. Immobilized enzymes will denature during use, which reduces the activity when reused. MNPs with high APTES densities are able to form multiple interactions with the immobilized enzyme and stabilize the enzyme conformation,

which hinders inactivation [9,10]. A challenge with APTES modification of MNPs, is that nonmagnetic modifications lower the MNP magnetic susceptibility [11-14] and may reduce the MNP recovery. Incomplete MNP recovery lowers the quantity of catalyst for subsequent production cycles, as immobilized enzyme is lost along with unrecovered MNP. This loss of catalyst would lead to lower subsequent production yields, from reduced catalytic activity when reused.

To the authors' knowledge, there are no reports that simultaneously explore how MNP recovery and recovered enzyme activity are affected by the extent of APTES modification. In this work, the MNP loss and activity loss were studied as a function of APTES modification extent. Specifically, MNPs were modified with different densities of APTES and then β-glucosidase (BGL) was immobilized to the APTES modified MNPs. BGL is an industrially relevant enzyme utilized for the production of bioethanol from cellulose [15]. To assess how the extent of BGL immobilization affects activity and particle recovery, the quantity of BGL used for immobilization was varied to create particles with a low or high BGL loading. The BGL immobilized MNPs were subjected to a recycling experiment where the loss of MNPs and the activity during recycling was studied. Neither the MNP nor activity loss during recycling correlated with the extent of APTES modification. The results of this study indicate that the loss in immobilized BGL activity is primarily caused by incomplete MNP recovery.

#### 2. Experimental Methods

#### Materials

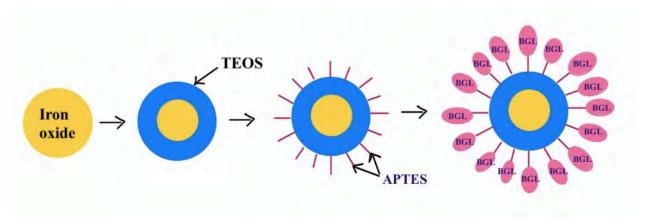
Water with an electrical resistance of 18 MΩ was used for all experiments. Iron (III) chloride hexahydrate (FeCl<sub>3</sub>·6H<sub>2</sub>O) and glutaraldehyde (50%) were purchased from Sigma-Aldrich (St. Louis, MO, USA). Iron (II) chloride tetrahydrate (FeCl<sub>2</sub>·4H<sub>2</sub>O), 4-nitrophenyl-β-D-glucopyranoside (pNPG), and 3-aminopropyltriethoxysilane (APTES) were purchased from Acros Organics (Geel, Belgium). BGL from almonds, used as received, was purchased from GoldBio (St Louis, MO, USA). Copper (II) sulfate pentahydrate (CuSO<sub>4</sub>·5H<sub>2</sub>O), sodium acetate,

sodium carbonate, sodium bicarbonate, sodium monohydrogen phosphate, sodium dihydrogen phosphate, ammonium hydroxide (29%), trace-metal grade hydrochloric acid and nitric acid and tetraethyl orthosilicate (TEOS) were purchased from Fisher Scientific (Pittsburgh, PA, USA). Sodium bicinchoninate (BCA), hexylamine, and 4-nitrophenol were purchased from Tokyo Chemical Industry (Portland, OR, USA). The Fe standard was purchased from Spex CertiPrep (Metuchen, NJ, USA).

#### Preparation and Modification of Iron Oxide Nanoparticles

MNPs were synthesized by the coprecipitation of a 2:1 mole ratio of Fe<sup>3+</sup> and Fe<sup>2+</sup> in an aqueous alkaline solution and modified with TEOS, followed by APTES as previously described [16]. In brief, a 47 mL aqueous solution of Fe<sup>3+</sup> and Fe<sup>2+</sup> was heated to 80 °C and degassed with N<sub>2</sub> for 30 min. To the iron solution, 2.2 mL of ammonium

hydroxide was added dropwise at a rate of 0.5 mL/min and left to stir at 80 °C under  $N_2$  atmosphere for 1 hr. The black precipitate was collected by magnetic decantation, washed twice with degassed  $H_2O$  and three times with ethanol.



**Figure 1. Modification of MNPs.** MNPs were initially coated with TEOS, then subsequently modified with varying extents of APTES. Lastly, BGL was immobilized to the APTES modified MNPs.

The prepared MNPs were then modified with TEOS and APTES as displayed in Figure 1. For TEOS modification, 1 mL of the washed MNPs was added to 7.8 mL ethanol, and 0.3 mL of TEOS. The hydrolysis was initiated by the rapid addition of 0.9 mL of 29% ammonium hydroxide and left to react at 350 RPM for 15 hours. The TEOS modified MNPs (MNP-TEOS) were washed three times with ethanol and reconstituted in 15, 30 or 300 mM APTES, hereafter referred to as MNP-TEOS-APTES-15, MNP-TEOS-APTES-30, or MNP-TEOS-APTES-300, **MNP-TEOS-APTES** respectively. The mixtures were placed on a shaker set at 350 rpm, at room temperature, and allowed to react overnight. The MNP-TEOS-APTES particles were then washed three times in ethanol and dried overnight in a vacuum oven

at 60 °C and analyzed using an iS20 ATR-FTIR (Thermo Fisher, Waltham, MA, USA). The MNP-TEOS-APTES were then reacted with glutaraldehyde, which served as a covalent linker between the terminal amine on APTES and primary amines in BGL. A 1 mg/mL MNP solution containing 10 mM phosphate, and 20 mM glutaraldehyde pH 7.4 was created. The solution was placed on a shaker at 350 RPM for 2 hr. After two hours, the MNPs were washed three times into 10 mM acetate pH 5.0 solution containing 0.1 or 1 mg/mL BGL to create particles with a low or high BGL loading, respectively. The BGLmodified MNPs (MNP-TEOS-APTES-BGL) were washed with 10 mM acetate pH 5.0 three times to remove unbound biomolecules. The quantity of immobilized BGL was determined indirectly by mass balance according to equation 1.

Immobilization Percent = 
$$\frac{c_i v_i - c_s v_s}{c_i v_i} \times 100$$
 (1)

where  $C_i$  is the initial concentration of the BGL solution,  $V_i$  is the initial volume of solution,  $C_s$  is the concentration of the

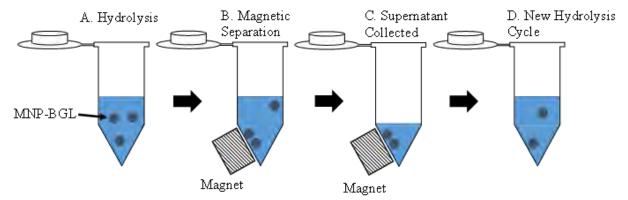
supernatant and  $V_s$  is the volume of the supernatant.

#### **Activity Assay and MNP Loss**

The BGL activity was quantified using *p*NPG as a model substrate, measuring the 4-nitrophenol produced after 15 min at 37 °C. The MNP-TEOS-APTES-BGLs were gently mixed and diluted to 0.16 mg/mL in 10 mM acetate pH 5.0 to a volume of 750 μL. The kinetic assay was initiated by the addition of 250 μL of 5 mM *p*NPG in 10 mM acetate pH 5.0 to the samples, which were then placed on a shaker at 350 RPM at 37 °C. After 15 min, the MNP-TEOS-APTES-BGLs were magnetically separated for 2 min; the supernatant was removed and split into two aliquots. The 2-minute magnetic separation time was

chosen as all the particles were visually observed to be collected within ~20 seconds. One aliquot was added to 200 mM sodium carbonate at a 2:1 volume ratio, and the concentration of 4-nitrophenol determined by measuring the absorbance at 400 nm. The second aliquot was placed in a vacuum oven at 60 °C until dry for analysis by ICP-OES.

Additional cycles of the kinetic assay were performed using the previously used MNP-TEOS-APTES-BGLs. A scheme for the recycling of MNP-TEOS-APTES-BGLs for repeated cycles is shown in Figure 2.



**Figure 2. MNP-TEOS-APTES-BGL Recycling Scheme.** A) MNP-TEOS-APTES-BGL hydrolyzing cellulose. B) MNP-TEOS-APTES-BGL magnetically separated from bulk. C) Supernatant collected to quantify MNP-TEOS-APTES-BGL loss and BGL activity. D) MNP-TEOS-APTES-BGL washed, fresh substrate added to begin new hydrolysis cycle.

The additional cycles were initiated by washing the MNPs once with 10 mM acetate and adding pNPG substrate. The enzyme activity for subsequent cycles was normalized to the activity of the first cycle, and the difference in activity percent for each cycle calculated to determine the activity loss percent.

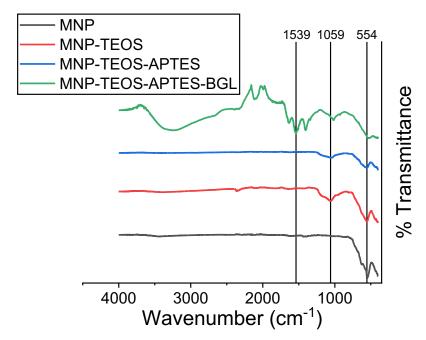
The quantity of Fe loss during magnetic separation was determined by ICP-OES and assumed to be indicative of MNP-TEOS-APTES-BGLs not recovered during magnetic separation. The dried supernatants were digested in trace metal grade nitric acid at 60 °C for 4 hours. The digested samples were then diluted in 2% nitric acid and analyzed by an Agilent 700 ICP-OES.

#### 3. Results and Discussion

#### **MNP Synthesis and Modification**

The magnetic nanoparticles were synthesized by the co-precipitation of Fe<sup>3+</sup>/Fe<sup>2+</sup> at a 2:1 mole ratio by the addition of NH<sub>4</sub>OH. The synthesis yielded a black precipitate that was readily separated from bulk solution by a handheld neodymium permanent magnet. The handheld neodymium magnet with a 151

pound pull down force was used for the collection of MNPs throughout this study. The synthesized product was modified with TEOS and subsequently APTES. The FTIR spectra for the MNP-TEOS and MNP-TEOS-APTES particles are shown in Figure 3.



**Figure 3. FTIR of MNP (black), MNP-TEOS (red), MNP-TEOS-APTES (blue) and MNP-TEOS-APTES-BGL (green).** The spectra are offset for clarity. The peaks at 554, 1059 and 1539 cm<sup>-1</sup> correspond to the Fe-O from the MNP, the silica from TEOS and the amide bond from BGL, respectively.

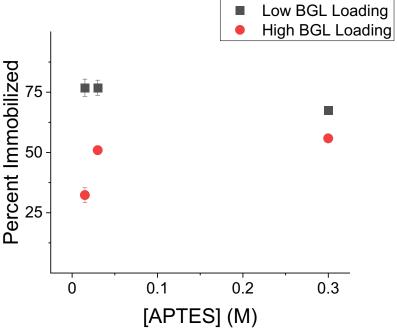
The MNPs possessed a peak at 554 cm<sup>-1</sup>, which is characteristic of the Fe-O bond, and another asymmetric peak at 1059 cm<sup>-1</sup>, which is indicative of the Si-Fe-Si [17,18]. The MNP-TEOS-APTES particles displayed the same peaks at 554 and 1059 cm<sup>-1</sup>, however we did not observe a peak corresponding to the terminal amine in APTES at 1530 cm<sup>-1</sup>.

The intensity of the amine peak is proportional to the APTES density on the MNP-TEOS-APTES, and the APTES densities obtained in this study were not significant enough to contribute a prominent FTIR peak. The BGL immobilized particles had a prominent peak at 1539 cm<sup>-1</sup> which was assigned to the peptide bond from BGL [19].

#### **BGL** Immobilization

The MNP-TEOS-APTES particles were reacted with glutaraldehyde and subsequently with BGL. Glutaraldehyde acts as a linker forming covalent bonds between the terminal amine in APTES and a primary amine in BGL [8]. The BGL immobilization

percent ranged from 32 to 77% and was greater for particles with a low BGL loading when a 0.1 mg/mL BGL concentration was used for immobilization, as shown in Figure 4.



**Figure 4. Percent BGL Immobilized on MNP-TEOS-APTES.** The [APTES] refers to the [APTES] used for MNP modification. The BGL loading refers to the [BGL] used for immobilization; the low and high loading refer to either a 0.1 or 1 mg/mL BGL solution.

The decrease in immobilization efficiency at the high loading is interpreted initial BGL which attaches to the particle first, sterically hinders subsequent immobilization of adjacent binding sites.

While the presence of APTES was not observed in the IR spectra, the differing BGL immobilization yields between the different APTES concentrations at the high loading suggest that the APTES was present on the MNP-TEOS-APTES particles. Previous work has identified that the APTES concentration used for MNP-TEOS modification

is proportional to the APTES density on the MNP-TEOS-APTES particles [16]. While the APTES density on the MNP-TEOS-APTES was not determined in this work, the correlation between the APTES centration and BGL immobilization yield suggests that the different MNPs have differing APTES densities. At the high loading, MNP-TEOS-APTES-30 and MNP-TEOS-APTES-300 similar had **BGL** immobilization yields, 51 and 56%, which suggests the particle surface is approaching saturation with BGL.

#### **BGL** Activity and MNP Loss

Immobilizing BGL to the MNP-TEOS-APTES permits the capture and reuse of the enzyme. Recovery and reuse of enzymes is practical when immobilization to the particle is efficient, the enzyme maintains activity for

subsequent catalytic cycles, and when an abundance of enzyme is immobilized to the MNP. To promote BGL immobilization, the MNP-TEOSs are routinely modified with APTES. However, the APTES modification

of MNP-TEOSs lowers the magnetic susceptibility of the MNP, which may lessen the recovery of the MNP-TEOS-APTES between catalytic cycles. Incomplete recovery of MNP-TEOS-APTES-BGL would reduce the quantity of BGL for subsequent catalytic cycles and be observed as a reduction in activity for future cycles. To assess how the extents of APTES modification altered MNP-TEOS-APTES-BGL recovery, the MNP-TEOS-APTES-BGLs were subjected to a

recycling study. The MNP-TEOS-APTES-BGLs were subjected to five catalytic cycles. Between each cycle, the MNP-TEOS-APTES-BGLs were magnetically separated and the supernatants collected. The supernatants were analyzed by ICP-OES to quantify the Fe concentration. The Fe in the supernatants was assumed to be unrecovered particles lost during washing between catalytic cycles.

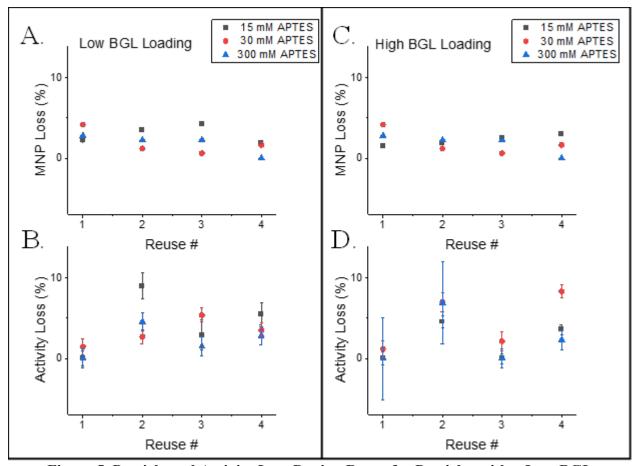


Figure 5. Particle and Activity Loss During Reuse for Particles with a Low BGL Loading (A and B) or a High BGL Loading (C and D). The [APTES] refers to the [APTES] used for MNP modification. BGL activity was measured following a 15 min hydrolysis step at 37 °C in 10 mM acetate buffer pH 5.0.

The particle loss and activity loss per cycle are shown in Figure 5, while the average particle and activity loss are shown in Figure 6.

The average particle loss for each cycle was  $\sim$ 2% relative to the initial Fe concentration. Neither the extent of APTES modification

nor the BGL loading had a significant effect on the particle loss × cycle<sup>-1</sup> as identified by two-way ANOVAs at the 95% confidence interval. These results were surprising as MNP modification is known to decrease the magnetic susceptibility [12], with the extent of MNP modification correlating to the

decrease in magnetization [20]. However, the obtained APTES densities in this work for MNP-TEOS-APTES-15, MNP-TEOS-APTES-30 and MNP-TEOS-APTES-300 may be too similar to cause observable differences in magnetic susceptibility.

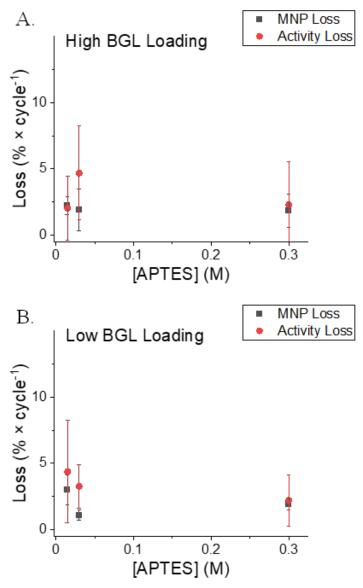


Figure 6. Average Particle Loss (black square) and Average Activity Loss (red circle)

During Recycling for High BGL Loading (A) and Low BGL Loading (B).

The [APTES] refers to the [APTES] used for MNP modification. BGL activity was measured following a 15 min hydrolysis step at 37 °C in 10 mM acetate buffer pH 5.0. Avg

± 1 SD.

The immobilized BGL activity decreases upon use due to incomplete particle recovery and enzyme denaturation. The average activity decrease between catalytic cycles ranged from ~0 to 8% per cycle as shown in Figure 6. Neither the extent of APTES modification nor the BGL loading had a significant effect on the activity loss as observed by a two-way ANOVA at the 95% confidence interval. The activity loss per cycle obtained in this study agree with previously reported values of BGL activity loss ranging from ~4 to 6% per cycle [21].

An additional goal of this work was to explore how MNP-TEOS-APTES-BGL loss correlated with activity loss during recycling. The two reasons for activity loss during recycling are loss of the particles and enzyme denaturation. We therefore assumed that the total activity loss during recycling was the sum of the enzyme which denatured and the MNPs which were unrecovered. We analyzed the activity loss and particle loss data using an ANOVA which found no significant difference between the particle and activity loss × cycle-1 at the 95%

confidence interval. This result suggests that that the loss of particles during magnetic separation is the prime contributor to activity loss during recycling, as unrecovered MNP-TEOS-APTES-BGL cannot participate in subsequent in the hydrolysis of substrate.

The cause(s) of low particle recovery observed in this study could not be elucidated with the present data. However, it is possible that magnetic susceptibility of the MNP-TEOS-APTES-BGLs decreased during use. The particles used in this study were comprised of magnetite, Fe<sub>3</sub>O<sub>4</sub>. The magnetic susceptibility magnetite of decreases exponentially as the mole ratio of Fe<sup>3+</sup>:Fe<sup>2+</sup> deviates from a 2:1 ratio [22], creating a challenge in aqueous applications of MNPs as Fe<sup>2+</sup> rapidly oxidizes to Fe<sup>3+</sup> in water [23]. It is possible that oxidation of Fe<sup>2+</sup> in the particles lowered their recovery during the recycling experiment. Future experiments should quantify the Fe<sup>3+</sup>/Fe<sup>2+</sup> ratio in the recovered MNP-TEOS-APTES-BGLs and in the supernatants to identify if Fe oxidation is the cause of MNP-TEOS-APTES-BGL loss.

#### 4. Conclusions

The immobilization of enzymes to MNPs enables the recovery and reuse of BGL. The low protein loading had the highest immobilization efficiency; therefore, immobilization should be performed at the low loading to reduce the financial cost of immobilized BGL. The activity of the recovered enzyme is diminished as the enzyme denatures and due to incomplete recovery MNP. However, low particle

recovery is the main determinant in activity loss between catalytic cycles. Further, particle recovery is not affected by the extent of APTES modification nor the protein loading. These results suggest that particle recovery must be optimized to increase the retained activity of recycled catalysts, a pivotal parameter for the industrial use of enzymes immobilized to MNPs.

#### 5. Acknowledgment

Funded by The Citadel Foundation and The Near Center for Climate Studies.

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# Eco-Friendly Formulation, Characterizations, Bioactivity Studies and *in silico* Evaluation of Cosmetic prepared from the Seed Oils of *Carica papaya*, *Dacryodes edulis* and *Raphia hookeri*

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**Abstract:** A quarter of a century ago, there was a renewed interest in the application of natural products in cosmetic formulations as a result of increased toxicities and side effects associated with synthetic/orthodox body care products. In the present study, oils obtained via Soxhlet/cold extraction from different underexplored tropical seeds, include: Carica papaya, Dacryodes edulis and Raphia hookeri, were investigated and characterised for their potential sustainable application in skin care formulations. The three oils obtained from the seed samples were also analysed for their fatty acids composition by capillary gas chromatography-mass spectrometry (GC-MS) following trans-esterification using acidcatalysed hydrolysis. Several in vitro biological activities, include: antibacterial, antifungal, and antityrosinase, were determined using standard procedure. The seed oils from C. papaya, D. edulis, and R. hookeri afforded a yield of 19.89, 8.27 and 0.04%, respectively. The major fatty acids composition of the seed oils from C. papaya were docosanoic (15.36%), elaidic (51.83%), linoleic (17.47%) and stearic (11.22%) acids while D. edulis had palmitic (13.98%), linoleic (50.08%), dihomo-γ-linolenic (15.53%) and oleic acids (10.16%). Palmitic acid (33.88%), elaidic (28.74%), palmitoleic acid (18.98%) and stearic acids (8.57%) were the most prominent in R. hookeri. The antimicrobial activity of the oils investigated at 30 µg/mL revealed that C. papaya significantly inhibited the growth of Saccharomyces cerevisiae, while D. edulis inhibited the growth of Staphylococcus aureus, Rhizopus stolonifera, Penicillium citrinum, Saccharomyces cerevisiae and Aspergillus niger. R. hookeri inhibited the growth of Salmonella typhi, Rhizopus stolonifera, Penicillium citrinum and Saccharomyces cerevisiae. Likewise, C. papaya had an antityrosinase activity with an IC<sub>50</sub> value of 0.26 μg/mL, while *D. edulis* and *R. hookeri* had an IC<sub>50</sub> value of 4.52 and 0.83 ug/mL, respectively. The formulated cream products from the seed oils of C. papaya and D. edulis exhibited dose response activities on the microorganisms and the tyrosinase enzyme. The in silico analysis also re-affirms that the oil components had significant interactions with the tyrosinase enzyme by exhibiting strong affinity via numerous van der Waals forces comparable to the standard, kojic acid. This study has revealed that oils from the seeds of the

underutilised plants; *C. papaya*, *D. edulis*, and *R. hookeri*, can be further exploited for medicinal and industrial purposes, particularly in the green cosmetic formulation sector for the regulation of skin pigmentation. However, more studies in animal models would be required to validate the bioactivity and toxicity.

Key Words: Lipid, fatty acid, antimicrobial, in silico, tyrosinase, binding affinity

#### 1. Introduction

There is an increasing global demand for the adoption of green chemistry for products and product development due to its benign properties. Green Chemistry, also known as Sustainable Chemistry, which involves the creation of chemical products and processes that minimize or eliminate the use of hazardous substances has received global attention in the last few decades. The concept has had a significant impact on businesses, education, the environment, and the general consumer world. The concept is both profitable and beneficial to human health and the environment [1]. Hence, the application of green chemistry in the preparation and manufacture of skin care products forms the fulcrum of recent developments in the cosmetic world [2]. The concept has been promoted recently in the cosmetic sectors for the preparation and production of products that are safe for humans and the environment. Seed oil plays an important role in the production of green cosmetics [2,3]. Among tropical seeds that have been underexplored and underutilised are Carica papaya, Dacryodes edulis, and Raphia hookeri.

Carica papaya of the family Caricacea is a globally renowned plant that produces fleshly

edible pulp with high quality vitamins. It contains seeds that are round and dark brown in nature. Like the fruit, the seed is also very rich in nutrients with excellent medicinal properties that can be used to manage a variety of ailments. The leaves, seeds, latex, and fruit of the plant have all been shown to possess significant medicinal value [4]. Despite its medicinal potential, the seed and the seed oil are grossly discarded, undervalued, or ignored. D. edulis of the family Burseraceae is an endemic tropical African plant. The fruit and seed are used as food, fodder, and medicine to cure earache, fever, and headache [5]. D. edulis seeds have been investigated as a source of high-quality oil [6]. R. hookeri (Raphia palm) of the family Arecaceae is a rare tropical tree with characteristic oblong edible pulp but an extremely hard nut when matured and dried [7]. On account of its rare nature, there is a dearth of information on the studies on the plant. Hence, this research aimed to characterize three underexplored tropical seeds (C. papaya, D. edulis, and R. hookeri) and explore their oil for bioactive, ecofriendly, safe cosmetic formulations using the principle of green chemistry.

#### 2. Materials and Method

Chemicals, solvents, and other reagents used were of analytical grade. Where applicable, the solvent was re-distilled before use. L-tyrosine was a product of Sigma-Aldrich,

USA, while the microplate spectrophotometer was a Spectra Count, Packard, USA. For centrifugation, a Bench centrifuge Model 800D was used.

#### **Plant Material and Preparation**

Matured *C. papaya* seeds were obtained within the Ilorin metropolis in Kwara State, while *D. edulis* seeds were obtained from Owerri in Imo State and *R. hookeri* from Umuchu, Anambra State, Nigeria. The seeds were identified and authenticated at the herb-

arium unit of the Plant Biology Department, University of Ilorin, Ilorin, Nigeria. The seeds were dried at ambient temperature, deshelled, pulverized, and then kept in a cool dark place for further work.

#### **Extraction of Oils from the Seeds**

The pulverized seed material was extracted in Soxhlet extractor for 6 hr, as well as cold n-hexane, for three days. The extracts obtained were concentrated *in vacuo* using the rotary

evaporator and the resulting oils were airdried, stored a in glass vial and kept in a cool dry place for further work. The yield was determined using the expression below:

% Oil yield = 
$$\frac{\text{Weight of the oil}}{\text{Weight of seeds}} \times 100$$
 (1)

#### **Physicochemical Analysis of the Extracted Seed Oils**

The physicochemical properties of the oils determine their quality and hence, what the seed oils are suitable for. These properties of the oils, which include acid value, iodine value, saponification value, peroxide value,

ester value, density, specific gravity, and pH, were determined using standard procedures with slight modifications where applicable [8 -11].

#### **Determination of Acid Value**

Each of the oils (1 g) was weighed into a flask with 25 mL of diethyl ether and 25 mL of methanol. Three drops of phenolphthalein indicator were added. The mixture was warmed in a water bath for 5 minutes and

titrated against 0.1 M KOH with constant shaking until the pink colour appeared that indicated the end point [10 - 14]. The acid value of the oil was evaluated using the equation:

Acid value = 
$$\frac{56.1 \times V \times N}{W}$$
 (2)

where, W = Weight of oil (in grams)

V = Volume of the standard alcoholic potassium hydroxide solution

required to neutralize the sample N = Normality of the solution

#### **Determination of Iodine Value**

Each of the oils (1 g) was weighed into a 250 mL conical flask and the oil was dissolved in 25 mL carbon tetrachloride. Twenty - five mL Wijis solution was added and the mixture allowed to stand in the dark for one hour. The

liberated iodine was titrated against 0.1 M sodium thiosulphate using starch indicator [10 - 12, 14] The iodine value was determined using the expression:

Iodine value = 
$$\frac{12.69 (B-A)}{W}$$
 (3)

where, W = Weight of oil (in grams)

B = Volume of standard sodium

thiosulfate solution for blank (in mL)

A = Volume of standard sodium thiosulfate solution required for the sample

N = Normality

#### **Determination of Specific Gravity**

A clean and dried measuring cylinder (10 mL) was weighed and recorded as W<sub>o</sub>. Each oil (1 mL) was measured into the cylinder, weighed and recorded as W<sub>1</sub>. Distilled water

(1 mL) was measured into the cylinder and the weight was recorded as  $W_2$  [12 – 14]. The specific gravity was calculated using the expression:

Specific gravity = 
$$\frac{W_1 - W_0}{W_2 - W_0}$$
 (4)

where, W<sub>o</sub> = Weight (in grams) of empty measuring cylinder

 $W_1$  = Weight (in grams) of measuring cylinder with oil

 $W_2$  = Weight (in grams) of measuring cylinder with water

#### **Determination of Density**

A clean and dried measuring cylinder (10 mL) was weighed and recorded as W<sub>o</sub>. Each oil (1 mL) was measured into the cylinder,

weighed and recorded as  $W_1$  [12 – 14]. Thereafter, the density was determined by using the formula:

Density = 
$$\frac{\text{Weight of the oil (W1-W0)}}{\text{Volume of the oil}}$$
 (5)

where, W<sub>o</sub> = Weight (in grams) of empty measuring cylinder

 $W_1$  = Weight (in grams) of measuring cylinder with oil

#### **Determination of Peroxide Value**

Each of the oils (0.5 g) was weighed into a flask containing 1 g of potassium iodide and 13 mL glacial acetic acid; 7 mL chloroform was added to it. The conical flask was placed in a water bath for 1 minute, after which 20 mL of 5% potassium iodide mixture and 25

mL of water were added. The mixture was titrated against 0.002 M sodium thiosulphate to attain a colourless solution using a starch indicator. Blank titration was carried out [10 – 14]. The peroxide value was calculated from the expression [15]:

Peroxide value = 
$$\frac{S \times N \times 100}{W}$$
 (6)

where, W = Weight (in grams) of the oil  $N = Normality of Na_2S_2O_3$ 

S = Volume (in mL) of Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub>

#### **Determination of Saponification value**

Each of the oils (0.5 g) was weighed into a flask containing 25 mL of methanolic KOH and mixed together. The mixture was warmed in a water bath for 5 min and 3 drops of phenolphthalein were added while the contents were titrated against 0.5 M HCl until

the pink colour disappeared. The discolouration indicated the end point. A blank titration was performed by omitting the oil (b mL). The saponification value was calculated using the expression [2-15]:

Saponification value = 
$$\frac{56.1 \times M \times (b-a)}{W}$$
 (7)

where, W = Weight (in grams) of the oil M = Molarity of HCl 56.1 = Molecular weight of KOH

#### **Determination of Ester Value**

The Ester value was estimated as the difference between the saponification value and the acid value [10-14].

#### **Determination of pH**

The pH meter was used to determine the level of acidity or basicity of the oil and the formulated cream products.

#### **Determination of Transesterification**

The oil (2g) was weighed and transferred to a beaker containing 10 mL of 0.2 M methanolic HCl. The mixture was refluxed for 1 hour, poured into a separating funnel and extracted with hexane. The mixture was

shaken and allowed to settle down for the two layers to separate. The oil layer was collected, concentrated, and air-dried; the oil obtained was kept in glass vials for GC-MS analyses [2].

#### Gas Chromatography-Mass Spectrometric (GC-MS) Analysis of the Oils

To determine the fatty acid profile from the seeds of *C. papaya*, *D. edulis* and *R. hookeri*, 1.0 μL of the trans-esterified oil was injected in a non-overlap mode to a Gas Chromatography-Mass Spectrometry GC–MS QP 2010SE Ultra Shimadzu Japan with a FI and selective mass detector 5973 RTx. The GC was equipped with a HP-5MS column with a size of 30 m by 0.25 mm and 0.25 μm film thickness set to pressure flow control mode at 100.0 kPa. The heater and interface were operated at 100 and 300 °C, respectively, while the injection temperature was set at 250 °C. Total flow and column

flow were 58.7 and 1.79 mL/min, respectively, as linear velocity was 35.2 cm/sec. Elution was done isothermally using a split ratio of 30:1 at an equilibration time of 3.0 minutes and a purge flow of 3.0 mL/min. The MS parameters included electron impact ionization with electron energy of 70 eV, and mass range of m/z 50–550, using the selective ion monitoring (SIM) mode. The scan was operated for few 25.5 minutes and chemical constituents were identified primarily by comparing the fragmentation pattern of each spectrum with reference compounds in the NIST library.

#### **UV-Visible Spectroscopic Analysis**

The UV-Visible analysis of the seed oils was carried out using a VWR UV-6300PC Double Beam Spectrophotometer using n-

hexane as the dissolving solvent. The concentration of the stock solution was 30  $\mu g/mL$ .

#### **Antimicrobial Assay**

The antibacterial and antifungal assays with the minimum inhibitory concentrations were evaluated using standard protocol by determining the zone of inhibition of the oil and cream products [16]. Briefly, for the antibacterial evaluation, the test samples (30 µg/mL each) were prepared and 1 mL each was added to 9 mL of sterile molten Muller Hinton agar (MHA) and potato dextrose agar (PDA), respectively, at 40 °C. The medium was poured into sterile petri dishes and allowed to dry before streaking for 18 hours

for selected isolates. The petri dishes were incubated at 37 °C for 24 hours for bacteria growth, while the PDA plates were incubated at ambient temperature, and fungi growth was examined after 72 hours. All the plates were examined for the presence or absence of microbial growth. The minimum inhibition concentration (MIC) was taken as the least concentration that prevents bacterial and fungal growth, respectively.

#### **Determination of Antityrosinase Activity**

The tyrosinase inhibition activity potential was carried out following standard protocol [17]. Aliquots (10 μL) of a solution composed of 125 μmL<sup>-1</sup> of mushroom tyrosinase (Sigma-Aldrich, USA) were added to 96-well microplates, and then 70 μL of pH 6.8 phosphate buffer solution and 60 μL of the oils (350 μg mL<sup>-1</sup> in n-hexane) were also added. For the positive control, 60 μL of kojic acid (17.5 μgmL<sup>-1</sup> in n-hexane) was used instead of the seed oil, and for the negative control, 60 μL of n-hexane was used. To the resultant mixture, 70 μL of L-tyrosine (Sigma-Aldrich, USA) was added at a con-

centration of 0.3 mgmL<sup>-1</sup> in distilled water. The absorbance of the microplate wells was read using a microplate spectro-photometer (Spectra Count, Packard, USA) at 510 nm ( $T_0$ ). Then, the microplates were incubated at  $30 \pm 1^{\circ}\text{C}$  for 60 min and the absorbance was measured again ( $T_1$ ). An additional incubation period of 60 min at  $30 \pm 1^{\circ}\text{C}$  was done and, after this period, a new spectrophotometric reading was taken ( $T_2$ ). The inhibitory percentage at the two time points ( $T_1$  and  $T_2$ ) was obtained according to the formula:

IA (%) = 
$$[((C-T_0) - (S-T_0)) / (C-T_0)] \times 100$$
 (8)

where IA% = Inhibitory activity

C = Negative control absorbance at
510 nm

S = Sample or positive control absorbance at 510 nm (absorbance at time  $T_1$  or  $T_2$  minus the absorbance at time  $T_0$ ).

#### **Membrane Stabilization Assay**

The membrane stabilization activity of the oils and creams was evaluated on bovine red blood cells exposed to both heat and hypotonic induced lyses using standard procedure [18, 19]. Briefly, fresh bovine blood samples were collected into an anticoagulant [con-

taining dextrose (2%), sodium citrate (0.8%), citric acid (0.05%) and sodium chloride (0.42%)]. Blood samples were centrifuged at 3000 rpm on a Bench centrifuge Model 800D for 10 min at room temperature. The supernatants (plasma and leucocytes) were carefully removed while the packed red blood cell was washed in fresh normal saline (0.85% w/v NaCl). The process of washing and centrifugation was repeated five times until the supernatants were clear.

The membrane stabilizing activity assay was carried out using 2% (v/v) bovine erythrocyte suspension while indomethacin was used as the standard drug. The assay mixtures consisted of 2 ml of hyposaline (0.25% w/v) sodium chloride, 1.0 ml of 0.15 M sodium

phosphate buffer, pH 7.4, 0.5 ml of 2% (v/v) bovine erythrocyte suspension, 0.0 - 1.0 ml of drugs (standard, extracts/fractions) and final reaction mixtures were made up to 4.5 ml with isosaline. Drugs were omitted in the blood control, while the drug control did not contain the erythrocyte suspension. The reaction mixtures were incubated at 56°C for 30 min on a water bath, followed by centrifugation at 5000 rpm in a Gallenkamp Bench Centrifuge for 10 min at room temperature. While the blood control represents 100% lysis or zero percent stability [18], the absorbance of the released haemoglobin was read at 560 nm. The percentage membrane stability was estimated using the expression:

% Membrane stabilization = 
$$\frac{100 - (Abs \ of \ test \ drug - Abs \ of \ drug \ control)}{Abs \ of \ blood \ control} \times 100 \tag{9}$$

#### Thin Layer Chromatographic (TLC) Analysis

The thin-layer chromatography of the oils was carried out using a pre-coated TLC plate to determine the complexity of components in the extracted oils. The oils were spotted in a TLC plate and developed in an n-hexane

and ethyl acetate solvent mixture (3:1 for *C. papaya* and *D. edulis*; while 9:1 for *R. hookeri*). The chromatoplate was viewed under the UV lamp at 254 and 366 nm, respectively.

#### **Computational Analysis**

Molecular docking was adopted as a computational technique used to study the interaction of molecules in the binding sites of target proteins. The goal of ligand-protein docking is to understand the interaction of a ligand with a protein of known three-dimensional structure. Molecular docking calculations are a common assay used to determine the biological activity of molecules *in silico*. With docking methods, large numbers of molecules are screened at a relatively lower cost than in laboratory

experiments. The technique is a key tool in structural molecular biology and computer-assisted drug design [20]. Molecular docking technique was used to investigate the *in vitro* inhibition effects of *C. papaya*, *D. edulis*, and *R. hookeri* seed oil on tyrosinase enzyme with ID 5M8L. Kojic acid was used as standard. A PDF file was created, the binding site defined and the docking performed following the procedure outlined by Trott and Johnson, 2010 [20].

#### **Cream Formulation**

All materials, which include beeswax and oils from different seeds of *C. papaya* and *D. edulis*, were used for the formulation. Beeswax (1 g) was weighed into a 250 mL beaker and melted in a warm water bath. Seed oils (2 g) were added to the beaker and heated

for 3 minutes. The mixture was transferred immediately to a container for cooling and solidification. This procedure was repeated while varying the amount of oil and beeswax as indicated (Table 1).

Table 1. Cream Formulation

Formulation	Beeswax (g)	Oil (g)
A	1.0	0.0
В	0.8	0.2
С	0.6	0.4
D	0.4	0.6
E	0.2	0.8
F	0.0	1.0

#### **Data Analysis**

All experiments were performed in replicate except otherwise indicated and the results were presented as mean of the values. For the

bioassay, the concentration causing 50% inhibition (IC<sub>50</sub>) was estimated from a doseresponse curve.

#### 3. Results and Discussion

#### Percentage Yield of the Seed Oils

The percentage yield of oils from the seeds of *C. papaya*, *D. edulis* and *R. hookeri* extracted using n-hexane provided 19.89, 8.27 and 0.04%. The considerable percentage yield of oil from *C. papaya* showed that it can be exploited for industrial use. *D. edulis* can also be harnessed while *R. hookeri* gave a low yield, which might be difficult to be used for any industrial application.

The thin-layer chromatographic results revealed that only oil from the seed of C. papaya shows one distinct component under the UV lamp at 254 nm with  $R_f$  value of 0.33. While D. edulis and R. hookeri gave three to five components with different  $R_f$  values.

#### **Physicochemical Analysis of Different Seed Oils**

The physicochemical properties of oils from the seeds of *C. papaya* and *D. edulis* are as shown (Table 2). Oil from the seeds of *R*.

hookeri was not sufficient for physicochemical analysis because of very low percentage yield.

Table 2. Physicochemical Properties of Oils from the Seeds of *C. papaya*, *D. edulis* and *R. hookeri* 

Parameter	C. papaya	D. edulis	R. hookeri
Colour	Light brown	Cream	Light yellow
Smell	Pleasant	Slightly Chocking	Pleasant
State at ambient temperature	Liquid	Semi-solid	Semi-solid
% Oil trans-esterified	90	90	-
pH value	5.4	5.1	
Specific gravity	0.91	0.83	
Density (g/cm³)	1.0	1.0	
Saponification value (mgKOH/g)	157.08	112.2	
Acid value (mgKOH/g)	3.36	16.83	
Ester value (mgKOH/g)	153.71	95.37	
% Neutral fatty matter	164.29	107.14	
% Total fatty matter	28.575	28.57	
Peroxide value (meqKg <sup>-1</sup> )	5.2	10	
lodine value (Wijs)	101.53	136.42	

The acid value of oils from the seeds of C. papaya and D. edulis were 3.36±0.08 and  $16.83 \pm 0.00$ mg KOH/g, respectively. According to Burla et al., 2018 [22], the acidity of oil suitable for edible purposes should not exceed 4 mg KOH/g. Thus, the oil from the seeds of C. papaya would be suitable for consumption while the oil from the seeds of D. edulis will not. The saponification value of oils from the seeds of C. papava and D. edulis were relatively low in comparison to those of almond nut (163.39±15.80) and palm kernel seed oil (191.97±3.16 mg KOH/g mg KOH/g). This result indicated that the seed oil contains high molecular weight fatty acids since the saponification values have been reported to be inversely related to the average molecular weight of fatty acids in oil fractions [23]. The saponification value of *C. papaya* seed oil is 157.08 mg KOH/g, while that of *D. edulis* seed oil is 112.2 mg KOH/g.

Iodine value is used to measure the degree of unsaturation of the oil. It is useful in studying oxidative rancidity of oils since the higher the unsaturation, the greater the possibility of the oil to go rancid [22]. Oils from the seeds of *C. papaya* and *D. edulis* tested had high iodine values (101.53 and 136.42 Wijs, respectively) and are therefore not suitable as non-drying oil. The peroxide value of oils

from the seeds of *C. papaya* and *D. edulis* obtained were 5.2±0.13 and 10 mEq/kg, respectively. These values were not considered high since crude vegetable oil consists of 10 mEq/kg of peroxide value [22]. The pH value of seed oils from *C. papaya* and *D. edulis* (5.4 and 5.1, respectively) were slightly low thereby affirming the acidic nature partly due to acid values. Specific

gravity is an important property always considered in oils which serves as feedstock for biodiesel. Denser oils have higher specific gravity. The specific gravity affects the oil properties, particularly the flow and the volatility [22]. The specific gravity of oils from the seeds of *C. papaya* and *D. edulis* obtained were 0.91 and 0.83, respectively.

#### **Antimicrobial Assay Result**

The antimicrobial inhibition potential of the oils and formulations are as depicted (Table 3).

From the data obtained (Table 3), at 30  $\mu$ g/mL, *C. papaya* seed oil had little inhibitory effect on the selected bacteria but inhibited *Saccharomyces cerevisiae* (a fungus) and *Candida albicans* (a yeast). The

formulated creams from *C. papaya* possess dose response antibacterial activity against *Pseudomonas aeruginosa*, *Streptococcus faecalis*, *Escherichia coli*, *Staphylococcus aureus* and *Salmonella typhi*. They also possess dose response antifungal activity on *Candida albicans*, *Rhizopus stolonifera*, *Penicillium citrinum*, *Saccharomyces cerevisiae* and *Aspergillus niger*.

Table 3. Antibacterial Activity of the Formulations (30 µg/mL) from C. papaya

Test Organism						
Bacteria	Α	В	С	D	Е	F
Pseudomonas aeruginosa	23	35	10	-	-	-
Streptococcus faecalis	20	18	10	5	-	-
Escherichia coli	13	25	18	15	-	-
Staphylococcus aureus	18	16	10	18	-	-
Salmonella typhi	30	5	5	-	-	-

Table 4. Antifungal Activity of Different Cream Formulation (30 μg/mL) Screened by Disc Diffusion

Test Organisms	Zone of Inhibition (mm)						
Fungi	Α	В	С	D	E	F	
Candida albicans	15	5	-	-	-	-	
Rhizopus stolonifera	-	-	-	-	-	-	
Penicillium citrinum	-	-	-	-	18		
Saccharomyces cerevisiae	15	-	-	-	-	5	
Aspergillus niger	10	15	18	10	-	-	

Likewise, at 30 μg/mL, *D. edulis* seed oil (Table 5) inhibited *Staphylococcus aureus* (a bacterium), *Rhizopus stolonifera*, *Penicillium citrinum*, *Saccharomyces cerevisiae* and *Aspergillus niger* (fungi). The formulated creams from *D. edulis* possess dose response antibacterial activity against *Pseudomonas* 

aeruginosa, Streptococcus faecalis, Escherichia coli, Staphylococcus aureus and Salmonella typhi. They also possess dose response antifungal activity on Candida albicans, Rhizopus stolonifera, Penicillium citrinum, Saccharomyces cerevisiae and Aspergillus niger.

Table 5. Antibacterial Activity of Different Cream Formulation (30 μg/mL) from *D. edulis* 

Test Organism	Zone of Inhibition (mm)							
Bacteria	Α	В	С	D	Е	F		
Pseudomonas aeruginosa	23	25	13	-	-	-		
Streptococcus faecalis	20	16	10	22	-	-		
Escherichia coli	13	10	-	-	5	-		
Staphylococcus aureus	18	13	5	15	-	5		
Salmonella typhi	30	18	5	18	-	-		

Table 6. Antifungal Activity of Different Cream Formulations (30 μg/mL)

Test Organism	Zone of Inhibition (mm)							
Fungi	Α	В	С	D	Е	F		
Candida albicans	15	23	-	15	-	-		
Rhizopus stolonifera	-	-	-	25	25	30		
Penicillium citrinum	-	-	-	12	16	20		
Saccharomyces cerevisiae	15	5	7	5	-	6		
Aspergillus niger	10	20	15	-	-	25		

At 30 μg/mL, *R. hookeri* inhibited (Table 7) the growth of *Salmonella typhi* (a bacterium), *Rhizopus stolonifera*, *Penicillium citrinum* 

and *Saccharomyces cerevisiae* (fungi). The low yield of oil from *Raphia hookeri* impaired cream formulations.

Table 7. Antibacterial and Antifungal Activities of R. hookeri Seed Oil

Test Organism	Zone of Inhibition (mm)						
Bacteria							
Pseudomonas aeruginosa	-						
Streptococcus faecalis	-						
Escherichia coli	-						
Staphylococcus aureus	-						
Salmonella typhi	16						
Fungi							
Candida albicans	-						
Rhizopus stolonifera	23						
Penicillium citrinum	20						
Saccharomyces cerevisiae	14						
Aspergillus niger	-						

Key: (-) No clear zones of inhibition

Table 8. Antibacterial Inhibitory Effects of Standard Drugs Used as Positive Control

Bacteria	S	NB	СН	CPX	E	LEV	CN	APX	RD	AMX
Pseudomonas aeruginosa	-	-	-	22	19	20	20	10	18	-
Streptococcus faecalis	-	-	-	20	20	18	15	-	-	-
Escherichia coli	25	-	-	-	17	18	20	-	-	-
Staphylococcus aureus	-	-	-	19	20	21	20	-	-	-
Salmonella typhi	-	-	-	23	-	22	23	-	15	-

Table 9. Antifungal Inhibitory Effects of Some Standard Drugs Used as Positive Control

Fungi	S	NB	СН	CPX	Е	LEV	CN	APX	RD	AMX
Candida albicans	-	-	-	-	-	-	15	-	15	-
Rhizopus stolonifera	-	-	-	20	-	20	15	-	14	-
Penicillium citrinum	-	-	-	-	-	-	25	-	18	-
Saccharomyces cerevisiae	-	-	-	19	-	25	13	-	17	-
Aspergillus niger	-	-	-	15	-	23	15	-	10	-

**Legend:** S – Streptomycin; NB – Norfloxacin; CH – Chloramphenicol; CPX – Ciproflox; E – Erythromycin; LEV – Levofloxacin; CN - Gentamycin; APX – Ampiclox; RD – Rifampicin; AMX – Amoxil; CEP – Ceporex; OFX – Tarivid; NA – Nalidixic acid; PEF – Reflacin; AU – Augmentin; CPX – Ciproflox; SXT – Septrin

**Key:** Resistant (R) ≤ 13; Intermediate (I): 14-17; Sensitive (S): 18 and above

#### **GC-MS Results of the Seed Oils**

The trans-esterified seed oils subjected to GC-MS analysis revealed the lipid profile of the oils (Table 10-12). While the major fatty acids contained in *C. papaya* were docosanoic (15.36%), elaidic (51.83%), linoleic (17.47%) and stearic (11.22%) acids, *D.* 

edulis had linoleic (50.08%), palmitic (13.98%), dihomo-γ-linolenic (15.53%) and oleic (10.16%) acids as the major fatty acids. *R. hookeri* had palmitic (33.88%), elaidic (28.74%), palmitoleic (18.98%) and stearic (8.57%) acids as major component.

Table 10. Fatty Acid Composition of C. papaya Seed Oil

S/N	Compound	Retention Time	Molecular Formula	% Composition
1.	Myristic acid	14.39	$C_{14}H_{28}O_2$	0.18
2.	Palmitoleic acid	16.86	$C_{16}H_{30}O_2$	0.42
3.	Docosanoic acid	17.64	$C_{22}H_{44}O_2$	15.36
4.	8,11,14-Docosatrienoic acid	17.65	$C_{22}H_{38}O_2$	0.60
5.	Triacontanoic acid	18.47	$C_{30}H_{60}O_2$	0.22
6.	Linoleic acid	19.29	$C_{18}H_{32}O_2$	17.47
7.	Elaidic acid	19.61	$C_{18}H_{34}O_2$	51.83
8.	Stearic acid	19.80	$C_{18}H_{36}O_2$	11.22
9.	Cis-11-Eicosenoic acid	21.90	$C_{20}H_{38}O_2$	1.14
10.	Cerotic acid	22.26	$C_{26}H_{52}O_2$	1.22
11.	Heneicosanoic acid	27.20	C <sub>21</sub> H <sub>42</sub> O <sub>2</sub>	0.31

Table 11. Fatty Acid Composition of D. edulis Seed Oil

S/N	Compound	Retention Time	Molecular	%
			Formula	Composition
1.	8,11,14-Docosatrienoic acid	16.86	$C_{22}H_{38}O_2$	0.65
2.	Palmitic acid	17.16	$C_{16}H_{32}O_2$	13.98
3.	Linoleic acid	17.64	$C_{18}H_{32}O_2$	50.08
4.	Oleic acid	19.36	$C_{18}H_{34}O_2$	10.16
5.	Petroselinic acid	19.43	$C_{18}H_{34}O_2$	2.91
6.	Triacontanoic acid	19.69	$C_{30}H_{60}O_2$	6.67
7.	Dihomo-γ-linolenic acid	28.43	$C_{20}H_{34}O_2$	15.54

Table 12. Fatty Acid Composition of R. hookeri Seed Oil

S/N	Compound	Retention Time	Molecular Formula	% Composition
1.	Myristic acid	14.39	C <sub>14</sub> H <sub>28</sub> O <sub>2</sub>	1.94
2.	Palmitoleic acid	16.87	$C_{16}H_{30}O_2$	18.98
3.	Palmitic acid	17.19	$C_{16}H_{32}O_2$	33.88
4.	Dihomo-γ-linolenic acid	17.68	$C_{20}H_{34}O_2$	0.88
5.	Linoleic acid	18.05	$C_{18}H_{32}O_2$	6.99
6.	Elaidic acid	19.39	$C_{18}H_{34}O_2$	28.74
7.	Stearic acid	19.71	$C_{18}H_{36}O_2$	8.57

#### **Results of Antityrosinase Activity**

The result of the antityrosinase evaluation of the oil samples at different concentrations are as depicted (Table 13).

Table 13. Antityrosinase Activity of the Oil Samples

Conc. (µg/mL)	C. papaya	D. edulis	R. hookeri	Kojic acid
200	1.25	6.5	1.05	16.44
400	3.92	7.5	1.37	24.62
600	5.77	8.62	2.75	32.62
800	6.62	10.75	3.87	47.56
1000	8.75	13.27	5.62	86.75
<b>IC</b> <sub>50</sub> (μg/mL)	0.27	4.52	0.83	702.55

While all the seed oils exhibited doseresponse activities, the antityrosinase assay showed that *D. edulis* had higher activity than *C. papaya* and *R. hookeri* seed oils. *R. hookeri* seed oils exhibited the lowest activities among all. It is reported that although the antityrosinase activity of the standard, kojic acid was high, it depletes the melanin on the skin and thereby exposes the skin to harmful radiation. From the results, the seed oils have potential to serve as good substitute as applicable in cosmetic production.

From the results above, it is seen that R. hookeri had the lowest IC<sub>50</sub> (0.832 µg/mL) when compared to the standard kojic acid (702.55 µg/mL). Hence, R. hookeri oil is not a good tyrosinase inhibitor. The IC<sub>50</sub> values of C. papaya and D. edulis had moderate activities (0.2667 and 4.52 µg/mL, respectively).

#### **Antityrosinase Activity of Formulated Cream Products**

Human tyrosinase is a copper-containing enzyme in the body that plays a crucial role in the synthesis of the melanin pigment [24, 25]. Tyrosinase is the initiating and ratelimiting enzyme in the synthesis of melanin and is therefore the prime target for antimelanogenic compounds in cosmetic products. Because of this property of the enzyme, it has physiological roles in the incidence and development of melanoma, a type of skin cancer [26]. Skin disorders such as vitiligo, malignant melanoma, and freckles can all be caused by abnormal tyrosinase expression. Many studies have reported that tyrosinase inhibitors have antioxidant, antibacterial, and antifungal properties, all of which are essential in the treatment of skin diseases [27]. For example, kojic acid, a hyperpigmentation product that binds with

the tyrosinase in the skin, inhibits the production of melanin that is needed by the skin and body.

The antityrosinase activity of formulated cream products from *C. papaya* and *D. edulis* seed oils was evaluated using a standard protocol. The results obtained are as indicated (Tables 14 and 15). The result indicated that cream products from *D. edulis* generally had higher antityrosinase activity than that from *C. papaya* for corresponding formulations, except for product A which had a similar activity trend in both.

It was also noted that both the formulated cream products from *C. papaya* and *D. edulis* seed oils exhibited the highest membrane stabilities in comparison to *R. hookeri* seed oils.

Table 14. Antityrosinase Activity of Formulated Cream Products from C. papaya

Conc (µg/mL)	Α	В	С	D	E	F
200	3.75	6.25	2.37	2.25	4.25	1.25
400	6.50	7.62	3.30	3.50	5.50	3.92
600	7.37	9.12	3.75	7.50	8.12	5.77
800	8.50	11.5	6.50	9.62	10.87	6.62
1000	9.75	12.5	12.50	13.62	14.12	8.75

Table 15. Antityrosinase Activity of Formulated Cream Products from D. edulis

Conc (µg/mL)	Α	В	С	D	E	F
200	3.75	8.75	8.75	6.62	6.50	6.50
400	6.50	4.75	14.25	14.12	8.50	7.50
600	7.37	9.12	15.62	16.50	12.27	8.62
800	8.50	12.72	23.37	18.50	16.62	10.75
1000	9.75	14.47	26.37	23.75	24.00	13.27

#### **Computational Analysis**

In this study, the molecular docking technique was used to investigate the in vitro inhibition effects of C. papaya, D. edulis and R. hookeri seed oil on tyrosinase enzyme with ID 5M8L using kojic acid as standard. Compounds that possess antityrosinase properties are used to reduce hyperpigmentation, reduce spots and induce skin whitening. Conversely, compounds that activate tyrosinase also enhance the synthesis of melanin and ultimately the darkening of the skin. Studies showed that the tyrosinase enzyme contributes to neurodegeneration mechanisms associated with Parkinson's disease and, hence, tyrosinase inhibiting compounds have been studied as a possible therapy for this type of disease.

Some synthetic compounds have been used as antityrosinase drugs to inhibit the enzyme. Kojic acid is one of such compounds. However, the synthetic compounds have adverse effects on both the human skin and the environment. Research has found that kojic acid causes cancer in humans. The search for safe natural alternatives to harmful synthetic compounds has become imperative. Hence, oils from underutilized seeds such as *C. papaya* and *D. edulis* may play an important role.

The components identified in *C. papaya* and *D. edulis* bound effectively to the tyrosinase on the same target site that kojic acid binds to (Tables 16 and 17). The interactions of the fatty acids with the protein were primarily van der waals (Figure 1).

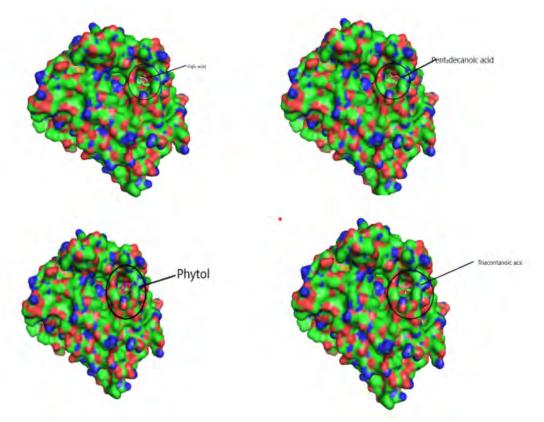


Figure 1. Molecular Interaction of C. papaya Seed Oil Component with Target Protein

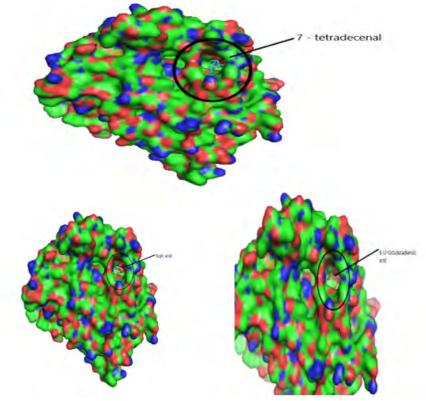


Figure 2. Molecular Interaction of D. edulis Seed Oil Components with Target Protein

Using computational techniques, there was structural evidences for the identical binding mode of the oil components and kojic acid in the active site of the human tyrosinase. The molecular docking of the oil components on tyrosinase showed the structural evidence for

the identical binding mode of the oil components and kojic acid in the active site of the human tyrosinase. Hence, *C. papaya and D. edulis* seed oils may be further evaluated as potential alternatives to the implicated kojic acid.

Table 16. In silico Tyrosinase Inhibition/Binding Potential of C. papaya Components

S/N	Compounds	Binding Affinity (Kcal/Mol)	Residues within Bonding Distance	Interaction Type
1	8,11,14-Docosatrienoic acid	-7.7	ILE128 LYS233 LEU224 TYR226 PRO115 VAL126 PRO242 GLN236	Van der Waals Interaction
2	9-Octadecenoic acid	-6.7	GLN230 GLU237 ARG118 PRO242 GLN236	Van der Waals Interaction
3	Docosanoic acid	-6.9	PRO115 VAL126 ILE128 LEU229 LYS233 GLN236 ARG330 TYR226 LEU229	Van der Waals Interaction
4	Heneicosanoic acid	-7.2	TRP117 VAL126 ILE128 PRO115 ARG114 LEU229G LN236 LYS233 ARG230 TYR226	Van der Waals Interaction Hydrogen bonding Van der Waals Interaction Van der Waals Interaction Van der Waals Interaction

5	Hexadecanoic acid	-6.1	GLN236 ARG118 GLU232 PRO115 LYS233 THR112	Van der Waals Interaction Van der Waals Interaction
			CYS113	Van der Waals Interaction
			ARG230	Van der Waals Interaction
6	Triacontanoic acid	-7.2	PRO242	Van der Waals Interaction
			GLN236	Van der Waals Interaction
			ILE128	Van der Waals Interaction
			VAL126	Van der Waals Interaction
			VAL126	Van der Waals Interaction
			PRO115	Van der Waals Interaction
			TYR22G	Van der Waals Interaction
			ARG114	Van der Waals Interaction
			TYR226	Van der Waals Interaction
			LEU229	Van der Waals Interaction
7	Tridecanoic acid	-4.4	PRO242	Van der Waals Interaction
			PRO115	Van der Waals Interaction
			GLU237	Van der Waals Interaction
			LYS233	Van der Waals Interaction
			LEU229	Van der Waals Interaction
_			GLN236	Van der Waals Interaction
8	Cis-11-Eicosenoic acid	-7.3	LEU229	Van der Waals Interaction
			LYS233	Hydrogen bonding
			GLN236	Van der Waals Interaction
			ARG114	Van der Waals Interaction
			PRO115	Van der Waals Interaction
			VAL126	Van der Waals Interaction
			ILE128	Van der Waals Interaction

Table 17. In silico Tyrosinase Inhibition/Binding Potential of D. edulis Components

S/N	Compounds	Binding Affinity (Kcal/Mol)	Residues Within Bonding Distance	Interaction Type
1	6-Octadecenoic acid	-3.5	THR112 GLY119	Van der Waals Interaction Van der Waals Interaction
			ARG118	Van der Waals Interaction
			GLU237	Van der Waals Interaction
			PRO242	Van der Waals Interaction
2	8,11,14-Docosatrienoic acid	-7.7	ILE128	Van der Waals Interaction
			LYS233	Van der Waals Interaction

			LEU224 TYR226 PRO115 VAL126 PRO242 GLN236	Van der Waals Interaction Van der Waals Interaction
3	9-Octadecenoic acid	-6.7	GLU237 ARG118 PRO242 GLN236	Van der Waals Interaction Van der Waals Interaction Van der Waals Interaction Van der Waals Interaction
4	Hexadecanoic acid	-6.1	GLN236 ARG118 GLU232 PRO115 LYS233 THR112 CYS113	Van der Waals Interaction Van der Waals Interaction
5	8,11,14-Eicosatrienoic acid	-6.8	ARG230 TYR226 ILE128 PRO115 PRO242 GLN236 GLU237 ARG230 GLN240 GLU237	Van der Waals Interaction
6	9,12,15-Octadecatrienoic acid	-6.6	GLN236 VAL447 PRO445 TYR226 LEU229 LYS233 GLN236 PRO115 LYS233 GLY107 CYS101	Van der Waals Interaction Hydrogen bonding
7	9,12-Octadecadienoic acid	-6.4	CYS99 TYR226 GNL236 SER106 LEU229 ILE128 PRO115 LYS233	Van der Waals Interaction Van der Waals Interaction Hydrogen bonding Van der Waals Interaction

		ARG230	Van der Waals Interaction
		VAL126	Van der Waals Interaction
Kojic Acid	-5.7	GLU232	Van der Waals Interaction
		LYS233	Hydrogen bonding
		GLN236	Hydrogen bonding
		LEU229	Van der Waals Interaction

## 4. Conclusion

In this study, oils were obtained via Soxhlet and cold extraction from underexplored tropical seeds, which include C. papaya, D. edulis and R. hookeri. The oil yield obtained from the C. papaya, D. edulis and R. hookeri were 19.89, 8.27 and 0.04%, respectively. Using an acid-catalysed transesterification reaction, the FAMEs of the seed oils were obtained for lipid profiling. The antimicrobial activity of the oils investigated at 30 µg/mL revealed that C. papaya significantly inhibited the growth of Saccharomyces cerevisiae and Candida albicans, while D. edulis inhibited the growth Staphylococcus aureus, Rhizopus stolonifera, Penicillium citrinum, Saccharomyces cerevisiae, and Aspergillus niger. R. hookeri inhibited the growth of Salmonella typhi, Rhizopus stolonifera, Penicillium citrinum, and Saccharomyces cerevisiae.

The antityrosinase assay of the oils revealed that seeds of C. papaya had an  $IC_{50}$  value of

0.26 μg/mL, while *D. edulis* and *R. hookeri* had an IC<sub>50</sub> value of 4.52 and 0.83 μg/mL, respectively. The formulated cream products from the seed oils of *C. papaya* and *D. edulis* exhibited dose response activities on the microorganisms and the tyrosinase enzyme. Likewise, the *in silico* analysis also suggested that the oil components had significant interactions with the tyrosinase enzyme by exhibiting strong affinity via numerous van der waals forces comparable to the standard, kojic acid. The oil may play a remarkable role in the cosmetics or formulations that regulate skin pigmentation.

This study has revealed that oils from the seeds of the underexplored plants; *C. papaya*, *D. edulis* and *R. hookeri* can be further exploited for medicinal and industrial purposes. However, more validation via detailed *in vivo* studies would be required.

# 5. Acknowledgments

The authors acknowledge the support of the Tertiary Education Trust Fund (TETFund) with reference number: UIL/CREDIT/IBRF2014/03 for funding the

research. Also, appreciation goes to the CREDIT unit, University of Ilorin for the approval of the research and their cooperation.

# **6. Competing Interest**

The authors declare no competing interests.

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# Isolation, Characterization and *in vitro* Alpha-amylase Inhibition Potential of Novel Bioactives from *Vernonia amygdalina*

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**Abstract:** *Vernonia amygdalina* of the family *Asteraceae*, a multi-medicinal tropical shrub renowned for its nutritional and health-promoting abilities, particularly in diabetes, was investigated. The methanol extract from the root was subjected to gradient solvent elution in gravity silica gel column chromatography, and the bioactive compounds obtained were further purified using methanol and acetone. Four compounds which include two steroidal saponins; vernoamyoside C, vernoniamyoside D, a flavonoid glycoside; luteolin-7-o-glucoside and a new compound, vernilorinoside, a lactone glycoside, were isolated and characterised using infrared,  $^{1}$ H, and  $^{13}$ C nuclear magnetic resonance spectroscopies. The *in vitro* anti-diabetic potential of the extracts and isolated compounds were examined using 3,5-dinitrosalicylic acid (DNSA) and glucose oxidase alpha-amylase inhibitory assays using acarbose as a standard drug. All compounds exhibited significant activities with the n-hexane extract exhibiting the highest α-amylase inhibitory activity (IC<sub>50</sub> 143.2 ± 5.823 μg/mL) comparable to the standard, acarbose (IC<sub>50</sub> 46.22 ± 2.226 μg/mL). *Vernonia amygdalina* has

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been shown to possess significant alpha-amylase inhibitory activity, thereby lending credence to the folkloric uses of the plant in the sustainable management of Type 2 *Diabetes mellitus*.

**Key Words:** Vernonioside, vernoniamyoside, vernoamyoside, luteolin-7-o-glucuronide, NMR spectroscopy

## 1. Introduction

Diabetes mellitus refers to a cluster of metabolic disorders characterised by a spike blood sugar or glucose (hyperglycaemia) affixed with a defect in insulin secretion. action, or both as well as aberrations in the intermediary metabolism of carbohydrates, proteins, and lipids [1-3]. In some cases, symptoms are absent or not severe, and afterward hyperglycaemia, which is enough to cause pathological and functional changes may emanate for a protracted period before the diagnosis is made [4]. The effects of diabetes embody long-term damage, dysfunction, and failure of different organs. It is often related to subsequent symptoms such as blurring of vision, excessive thirst (polydipsia), excessive feeding (polyphagia), excessive urination (polyuria), and weight loss [5]. Consequently, ketoacidosis reportedly develops as a result of complications resulting in stupor, coma, and in absence of effective treatment could result in death [6]. While two types of *Diabetes mellitus* are generally known, the predominant Type 2 is often managed using expensive conventional hypoglycaemic drugs, which include glibenclamide and metformin [5,6].

The disease is a menace which plagues people of all races and has a higher comorbidity in regions where Western medicine is inaccessible or unaffordable. As a result of the unaffordability and side effects of current mainstream drugs, which include exacerbated renal and hepatocellular injury and disorder, diarrhoea, lactic acidosis,

among others, several indigenous medicinal plants have been evaluated as a potential remedy for minimising these incidences or effects [2-5]. Medicinal plants have been reported to possess different pharmacological mechanisms in combating diabetes [4,7]. modulating However, in postprandial hyperglycaemia, reducing the rate of conversion of carbohydrates into glucose by inhibiting α-amylase, carbohydrate a hydrolysing enzyme, plays a vital role in the treatment, particularly in Type 2 diabetes [7-10]. Vernonia amyg-dalina of the family Asteraceae is one of the important medicinal plants used in the treatment of diabetes in folkloric medicine that has been proven to strong anti-inflammatory, possess antimalarial, antibacterial, antioxidant, and anticancer properties, amidst other scientifically proven pharma-cological activities [11-15]. It is often referred to as bitter leaf on account of its bitter taste. It is a perennial shrub of an average height from 2-5 m, widely grown and consumed as a nutritional vegetable in Africa and Asia [16-18]. The plant has a rough bark with dense black straits, and elliptic leaves that are about 6 mm long. The leaves are green and have a characteristic odour with a bitter taste, which is due to the presence of phytocompounds such as alkaloids, saponins, glycosides, and tannins [11,16].  $\Delta^{7, 9(11)}$  stigmastane-type steroid glycosides, such as vernonioside A1, vernonioside A2. vernonioside A3. vernonioside A4, vernonioside B1 and

vernonioside B2, have been identified as the main constituents in the plant [19].

In this study, the bioactive principles in *V. amygdalina* root were isolated, characterised and subjected to *in vitro* alpha-amylase

inhibition evaluation using 3,5-dinitrosalicylic acid alpha-amylase inhibitory assay. The isolated and characterised four compounds exhibited interesting *in vitro* alpha-amylase inhibition potential, worthy of further exploration.

## 2. Materials and Methods

#### General

<sup>1</sup>H and <sup>13</sup>C NMR spectra (400 MHz) were recorded on a JEOL 400 MHZ spectrometer operating at 400 (<sup>1</sup>H-NMR) and 100 (<sup>13</sup>C NMR) MHz at 298 K in DMSO-*d6*.

Chemical shifts were reported in  $\delta$  (ppm) values. The IR spectra were obtained on a Shimadzu 8400s spectrometer.

## **Chemicals and Regents**

Pre-coated silica gel 60 F<sub>254</sub> for thin-layer chromatography (TLC) and silica gel (60-200 mesh) for column chromatography (CC) were obtained from LOBA Chemie PVT, India. Alpha-amylase (A3306), glucose assay kit (glucose oxidase/peroxidase kit), soluble potato starch (33615), sodium

phosphate monobasic (71496), dinitrosalicylic acid (609-99-4), acarbose and sodium phosphate dibasic (71640) were analytical standard. All solvents were analytical grades and redistilled before use where necessary.

#### Plant Material

Vernonia amygdalina root material were collected from the plantation of African Centre for Herbal Research Ilorin (ACHRI) University of Ilorin, Ilorin, Nigeria, and identified at the herbarium of the Department of Plant Biology, Faculty of Life Sciences,

University of Ilorin, Ilorin, Nigeria where voucher specimen number UILH/001/972/2021 was assigned. The plant materials were air-dried, pulverized, and temporarily stored in a cool environment before further work.

#### **Extraction and Isolation**

The pulverized *V. amygdalina* (1.333 kg root) materials were subjected to successive sequential cold extraction starting with n-hexane, followed by ethyl acetate, then

methanol, and finally water in a stoppered container for five days each. The extracts were concentrated at reduced temperature using a vacuum rotary evaporator and water bath as appropriate. The methanol crude root extract (12 g) obtained was subjected to silica gel-packed column elution on chromatography and eluted with solvents of increasing polarities using various solvents combinations comprising of hexane, ethyl acetate, methanol, and water to obtain eighty fractions. The fractions were examined on TLC and fractions with similar profiles were pooled together to afford seventeen combined fractions (A to O, S1, and S2). Fraction H (5 g) was rechromatographed on a silica gel packed column chromatography eluted with solvents of increasing polarities using solvents comprising of ethyl acetate, methanol, and water to obtain 50 sub-fractions (100 mL

each) which were pooled together using TLC to obtain thirteen sub-fractions (Ha1-5, Hb-Hg, H<sub>4</sub>, and H<sub>5</sub>). Fraction H<sub>4</sub> was further purified by washing with methanol, then freeze-dried to yield compound 1, vernoamyoside C. Fractions J, K, S2 were purified by washing with acetone to yield compounds 2, vernoniamyoside D, 3, luteolin-7-o-glucoside and 4, vernilorinoside, respectively. Purified isolated compounds were subjected to proton <sup>1</sup>H nuclear magnetic resonance (NMR) and carbon <sup>13</sup>C NMR, and Fourier-transform infrared spectroscopy (FTIR). The spectra obtained were used for the structural elucidation and characterization of the isolated compounds.

## **DNSA Alpha-amylase Inhibitory Assay**

This assay was carried out using a standard procedure [20], based on measuring the oxidizing capacity of reducing sugars in a reaction with DNSA (3,5-dinitrosalicylic acid). A total of 250 μL of extract of varied concentration ranging from 50 to 400 μg mL<sup>-1</sup> was placed in a tube and 250 μL of pancreatic α-amylase solution (0.5 mg mL<sup>-1</sup>) in 0.02 M sodium phosphate buffer (pH 6.9) was added. The mixture was incubated at 25°C for 10 mins, after which 250 μL of starch solution (1 %) in 0.02 M sodium phosphate buffer (pH 6.9) was added. This reaction mixture was again incubated at 25°C

for 10 minutes. The reaction was finally quenched by 500  $\mu$ L of 96 mM 3,5-dinitrosalicylic acid (DNSA) reagent, and further incubated in boiling water for 5 minutes and then cooled to room temperature. The content of each test tube was diluted with 5 mL distilled water and the absorbance was taken at 540 nm on a spectrophotometer. The absorbance of control was also measured as the assay was carried out in triplicate. The results were expressed as percentage inhibition of  $\alpha$ -amylase activity using the following equation.

$$Percentage\ inhibition = \left[\frac{A\ of\ control-A\ of\ sample}{A\ of\ control}\right] x 100 \tag{1}$$

# 3. Statistical Analysis

Data collected in replicate were analysed using GraphPad Prism 9.2.0 software. Results were expressed as mean ±SEM (Standard error of mean). Data were com-

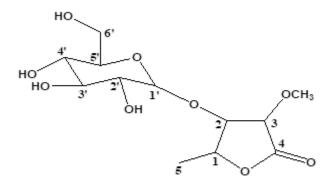
pared using Anova one way and P<0.05 was considered to indicate a statistically significant difference.

# 4. Results

# **Characterization and Structural Elucidation of Bioactive Compounds**

The chromatographic elution afforded four compounds (Fig. 1).

Luteolin-7-O-B-glucoside (3)



Compound (4)

Figure 1. Structures of Compounds 1-4

The NMR characterisations are as depicted (Table 1).

Compound 1: Vernoamyoside C was eluted with methanol-water (9:1) as a yellow powder. IR (KBr) $v_{max}$ (cm<sup>-1</sup>): 3404 (O-H 2934/2876 (sp<sup>3</sup>-CH stretch). stretch). 1632/1605, 1514 (C=C stretch), 1447 (CH<sub>2</sub> bend), 1377 (CH<sub>3</sub> bend), 1258/1163 (ester C(O)-C stretch), 1082/1051 (alcohol C-O stretch). The <sup>1</sup>H and <sup>13</sup>C NMR data (Table 1) indicated a methoxyl group at  $\delta_H$  3.13 that showed the peak from H-16 ( $\delta_H$  3.89) to Me- $18(\delta_H 0.42)$  and H-20 ( $\delta_H 2.17$ ) suggested that the additional OH-16 has an  $\alpha$ -configuration. The NMR data was compared with literature data [19].

**Compound 2:** Vernoniamyoside D was obtained as a yellow powder from the Si-gel CC eluted with EtOAC: MeOH (3:2).IR

(KBr) $v_{max}$ (cm<sup>-1</sup>): 3404 (OH), 2936/2878 (sp<sup>3</sup>-CH stretch), 1771 (conjugated lactone C=O stretch), 1705 (C=O stretch), 1636/1609, 1516 (C=C stretch), 1447 (CH<sub>2</sub> bend), 1377 (CH<sub>3</sub> bend), 1261/1142 (ester C(O)-C stretch), 1078/1055 (alcohol C-O stretch). The <sup>13</sup>C NMR data indicated 35 carbon signals, and also comparing both <sup>1</sup>H and <sup>13</sup>C NMR data (Table 3.3) shows compound 2 to be vernoniamyoside D. The result is in tandem with literature [21].

**Compound 3:** Luteolin-7-O-β-glucoside was eluted as a yellow powder from the Sigel CC with EtOAC: MeOH (5.5:4.5).IR (KBr) $v_{max}$ (cm<sup>-1</sup>): 3397 (OH), 2934/2878 (sp<sup>3</sup>-CH stretch), 1707 (C=O stretch), 1630/1605, 1518 (C=C stretch), 1447 (CH<sub>2</sub> bend), 1375 (CH<sub>3</sub> bend), 1267/1159 (ester C(O)-C stretch), 1078/1055 (alcohol C-O stretch). Through the analysis of the NMR

data (Table 1) and spectra comparison of a compound in Boudoukha et al. (2018) literature [22], the isolated compound was identified as luteolin-7- $\beta$ -glucoside.

Compound 4: Vernilorinoside obtained via the elution on Si-gel CC produced a yellow powder (17.66 mg). IR (KBr) $v_{max}$ (cm<sup>-1</sup>): 3258 (OH), 1636 (C=O stretch), 1409 (CH<sub>3</sub> bend), 1264 (ester C(O)-C stretch), 1059 (alcohol C-O stretch).

Table 1. <sup>1</sup>H and <sup>13</sup>C (400MHz) NMR Data of Compounds 1-4 in DMSO-d6

No.	1		2		4		No.	3	
	δн	δς	δн	δς	δн	δς		δн	δς
1	1.21, 1.34 a, d, 2H	31.1	1.00, 1.98, 2H	31.1	4.29 a, 1H	75.7	1′		121.4
2	1.20, 1.30 <sup>a</sup> , 2H	30.0	1.20, 1.75, 2H	29.2	3.57 a, 1H	64.8	2 ′	7.03, d, 1H	120.0
3	3.40, 1H	77.5	3.39, 1H	69.4	4.27 a, 1H	82.1	3 ′	6.92, d, 1H	116.2
4	1.90, 2H	34.9	1.19, 2H	33.5		174.5	4 ′		143.0
5	1.10, 1.40 1H	41.2	1.65, 1.8, 1H	36.0	1.85, 3H	22.3	5′		136.2
6	1.63, 1.98 a, 2H	29.8	1.00, 2.05, 2H	30.0			6 ′	7.02, 1H	114.9
7	4.42,1H	125.3	5.32, 1H	116.1			2		167.2
8		136.1		130.0			3	6.95, 1H	103.0
9		144.0		145.9			4		182.3
10		36.0		34.5			5		148.7
11	4.34, d, 1H	119.5	5.42 <sup>a</sup> , 1H	115.0			6	6.74 <sup>a</sup> , 1H	99.6
12	2,02, 2.06, 2H	42.2	1.48, 2H	44.2			7		166.0
13		45.3		48.8			8	6.74 a, 1H	97.0
14	2.27 a, 1H	46.3	2.88 a, 1H	51.4			9		145.2
15	1.90, 2.06 a, 2H	34.2	1.40 a, 2H	29.2			10		104.0
16	3.89, 1H	73.9	1.32, 1.75 a, 2H	23.5			1″	5.36, d, 1H	102.0
17	2.87, 1H	47.9	2.02 a, 1H	50.9			2"	3.15 a, 1H	75.8
18	0.42, 3H	14.3	1.01, 3H	12.5			3"	3.52 a, 1H	81.3
19	0.78, s, 3H	18.6	1.01, 3H	17.5			4"	3.30 a, 1H	70.0
20	2.17 a, 1H	56.8		129.9			5″	3.72 a, 1H	76.8
21	4.42, 1H	99.9					6″	3.66, 3.80, 2H	61.0
22	4.51 a, 1H	90.9	7.48, 1H	148.9					
23	4.51 a, 1H	81.5	5.10, 1H	82.0					
24		84.0		83.0					
25	2.17 <sup>a</sup> , 1H	33.8	2.30 a, 1H	31.0					
26	0.80, 3H	19.5	0.44, 3H	19.5					
27	0.50, 3H	21.0	0.79, 3H	21.8					
28		110.9							
29	1.78, 3H	17.0	2.18, 3H	29.0					
OCH <sub>3</sub>	3.13, 3H	49.3			3.13, s, 3H	49.4			
Glucose									
1 ′	4.03, 1H	103.9	4.37, 1H	102.1	4.90, 1H	102.5			
2 ′	3.20 a, 1H	71.2	3.06 a, 1H	75.5	3.52 a, 1H	76.3			
3 ′	3.32 a, 1H	74.0	3.24 a, 1H	75.9	3.26 a, 1H	75.7			

4 ′	3.30 a, 1H	70.2	3.14 a, 1H	70.1	3.57 <sup>a</sup> , 1H	70.6
5′	3.36 a, 1H	76.8	3.43 a, 1H	76.7	3.44 a, 1H	81.7
6 ′	3.60, 3.70 <sup>a</sup> , 2H	63.5	3.35,3.61 <sup>a</sup> , 2H	63.2	3.39, 3.81 <sup>a</sup> , 2H	63.8

<sup>&</sup>lt;sup>a</sup>Resonance pattern unclear due to overlapping.

### Results of the Alpha-amylase Assay

The result of the alpha-amylase inhibition potential is as presented (Table 2).

Table 2. Inhibitory Effect of the Crude Extracts and Compounds 1-4

Sample	IC <sub>50</sub> ±SEM (µg/mL)
VARAQ	103.7±4.862
VARMEOH	227.9±0.817
VARNHEX	46.22±2.226
VARETOAC	115.0±1.357
Vernoamyoside C	143.8±2.681
Vernoniamyoside D	175.4±1.465
Luteolin-7-o-glucoside	234.0±6.725
Vernilorinoside	118.8±3.822
Acarbose <sup>a</sup>	143.2±5.823

Data are expressed as mean ± standard error of mean of triplicate determinations.

VARAQ - V. amygdalina root aqueous crude extract; VARMEOH - V. amygdalina root methanol crude extract; VARNHEX - V. amygdalina root n-hexane crude extract; VARETOAC - V. amygdalina root ethyl acetate crude extract; <sup>a</sup> α-amylase standard.

## 5. Discussion

The results of  $\alpha$ -amylase inhibitory activity showed that all the crude extracts inhibited amylase activity (Table 2). The n-hexane crude extract exhibited the highest inhibitory activity (IC<sub>50</sub> = 46.22±2.226 µg/mL) suggesting an improved activity compared to other crude extracts, the standard, acarbose with IC<sub>50</sub> = 143.2±5.823 µg/mL) against  $\alpha$ -amylase and methanol extract (IC<sub>50</sub> =227.9±0.817 µg/mL) showed the least inhibitory activity. Similarly, isolated bioactive compounds 1-4 showed varied IC<sub>50</sub> values

(Table 2). With reference to the standard, data obtained were not significantly different at P<0.05. Compound 4, vernilorinoside, a new compound with  $IC_{50} = 118.8 \pm 3.822$ μg/mL), exhibited the highest inhibitory activity while compound 3  $(IC_{50}$ =234.0 $\pm$ 6.725 µg/mL) exhibited the least inhibitory activity. Although the mechanism of action of the compounds has not been studied, the small size of the Compound 4, importantly the aglycone unit may enhance the potency of the compound. All samples

including the crude extracts and bioactives showed a concentration-dependent  $\alpha$ -amylase inhibitory activity. In order to corrob-

orate the results obtained in the studies, the evaluation of the isolated compounds in animal model is recommended.

## 5. Conclusion

In this study, four compounds (1-4) were isolated and purified from the root of V. amygdalina and characterized using spectra data obtained. To the best of our knowledge, this is the first report confirming the presence of compounds 1, 2, 3, and 4 in the root of the plant. The analysis of the results showed that the crude extracts and isolated phyto-compounds from V. amygdalina root are potent  $\alpha$ -amylase inhibitors. It further suggests that V. amygdalina holds promise in the overall treatment or management of Type 2 diabetes by decreasing postprandial hypergly-

caemia through the inhibition of the  $\alpha$ -amylase enzymatic pathway. The crude extracts and the isolated compounds subjected to DNSA assay suggest the possibility of the amelioration of Type 2 diabetes disease via mechanisms that may be evaluated in the future. Compounds 1-4 which are glycosides may be further studied as a possible biomarker of the plant in addition to the pharmacological potentials exhibited. Future studies should be directed to exploring the *in vivo* potentials of the compounds.

# 6. Acknowledgment

Authors acknowledge the plant material donation from African Centre for Herbal

Research, Ilorin (ACHRI), University of Ilorin, Nigeria.

## 7. Conflict of Interest

Authors declares no conflict of interest.

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# Phytochemistry and Pharmacology of *Anogeissus leiocarpus* (DC.) Guill. & Perr. - A Review

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**Abstract:** The relevance of medicinal plants as a primary source of therapeutic agents in the modern world cannot be overemphasized. *Anogeissus leiocarpus* (*A. leiocarpus*), of the family *Combretaceae*, is a renowned medicinal plant used in folkloric medicine for the management of various illnesses, particularly in developing countries. In African traditional medicine, the edible stem bark used as a chewing stick reportedly possesses numerous biological activities. Stem bark extract from *A. leiocarpus* exhibits anti-parasitic, anti-hypertensive, and anti-tubercular effects. Additionally, *A. leiocarpus* bark extract is used in traditional medicine in Sudan to alleviate cough and in Ivory Coast to treat parasitic diseases. The plant reportedly possesses other medicinal properties, including anti-diabetic, anti-inflammatory, anti-malarial, and anti-cancer activities due to the presence of important phytochemicals, such as phenols, flavonoids, saponins, and alkaloids. Compounds including, serinic acid, arjungenin, isoquercetin, vitexin, kaempferol and some others have been identified as bioactives from various parts of the plant.

Key Words: Anogeissus leiocarpus, secondary metabolites, anti-malarial, antioxidant, anti-cancer

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## 1. Introduction

Indigenous plants are a primary source of secondary metabolites, which play an intriguing role in traditional medicine [1]. The presence of several bioactive chemicals with chemo-preventive, antioxidant, antifungal, anti-inflammatory, antibacterial, analgesic, and other activities is what gives these plants their medical relevance [2].

Africa and other developing nations still rely heavily on medicinal plants as a therapeutic option for the management of variety of illnesses and diseases [3]. Recently, more attention has been directed at finding new drugs from a plant origin. Hence, there is a compelling need to find new bioactive compounds and new "leads" with vital pharmacological activities from herbal

plants, such as the Anogeissus leiocarpus, a tropical indigenous medicinal plant in Africa. A. leiocarpus is the most abundant tree species in the woodland [4], which can be used in the production of charcoal [5]. A. leiocarpus is consumed as herbal tea and used for therapeutic purposes in the treatment of many ailments [6]. The leaf of A. leiocarpus has been found to be effective in treating sickle cell anemia [7]. The stem bark and leaf of A. leiocarpus offers potential alternatives and conveniently accessible sources of antibacterial compounds for the treatment of numerous bacterially induced illnesses [8-10]. The stem bark extract of A. leiocarpus has been utilised for a long time in conventional tanneries as a native agent for softening hide and skin [11].

# 2. Methodology

Data were gathered from various online databases such as ScienceDirect, PubMed, Scopus, Google Scholar and Web of Science by selecting the most comprehensive, recent and relevant articles on *Anogeissus leio-carpus* from the year 2014 to 2023.

## 3. Plant Profile

#### Occurrence and Distribution

A. leiocarpus, a deciduous tree (Figure 1) native to Asia and Africa belonging to the Combretaceae family, flourishes in a variety of environments, including forests, savannas,

bushlands, semiarid grasslands, and drylands [12-14]. *A. leiocarpus*, also called African birch or axle wood, is called Ayin in the South-West region of Nigeria.



Figure 1. Pictures of A. leiocarpus

The *A. leiocarpus* are endemic in the forests and savanna zones of the Sudanese region. Its extensive biological activities extend from the edge of the Sahara to the uppermost layer of wet tropical forests. Senegal to Cameroon

in West Africa, as well as Ethiopia and East Africa, are other places where it can be found or grown. in they thrive well at both dry forests and at the riverbank of wet regions [15].

## **Botanical Description**

A. leiocarpus is a deciduous tree that typically reaches heights of 15 to 18 m and has light green foliage. In form, leaves range from elliptic to ovate-lanceolate, alternating to subopposite, and are 2 to 8 cm long by 1.5 to 3.5 cm wide [16]. The bark is fibrous with tiny scales, grey to beige in colour, and becomes blackish with age. The stems are coarsely pubescent. There are around 40 seeds of 10 g each that are spread by wind in

an A. leiocarpus [16]. The leaves are attenuated at the base, pointed at the apex, and hairy below. The flowers lack petals and are bisexual; two-centimetre-wide, yellow inflorescence globose heads. The fruits are globose cone-like heads that are extensively winged, dark grey, and 3 cm in diameter. It reproduces both vegetatively and by seeds [12].

#### **Ethnobotanical Uses**

A. leiocarpus has a long history of being used as an infusion to treat a number of diseases. Extracts from the roots, leaves, stem bark, and twigs are used to treat illnesses, such as gonorrhoea, wounds, acute respiratory tract infections, stomach infections, TB, dysentery, and malaria. The stem bark, which is typically consumed as chewing sticks or used as home beverages, is known to contain wound-healing, anti-pneumonia, anti-arthritis, antibacterial, anti-malaria and antitrypanosomal effects [2,12,17-19]. Crude extract from this plant has been investigated to be effective in termite control [20]. Stem bark extracts have also been demonstrated to have the ability to protect liver function [21] and act as anti-parasitic, anti-hypertensive and anti-tuberculosis agents [22-25]. The aqueous extract of *A. leiocarpus* could be utilised as an alternate treatment and control method for coccidiosis [26].

Traditional Sudanese medicine uses a decoction of the bark to treat coughs [27]. The herb is used by traditional healers in the Ivory Coast to cure parasitic illnesses such malaria, trypanosomiasis, helminthiasis, and diarrhoea [28]. In traditional Togolese medicine, the decoction of the leaves is used to cure stomach problems and fungi infections including dermatitis and mycosis [29]. The plant extracts are effective in treating diabetes, ulcers, generalised body aches, blood clots, asthma, coughing, and tuberculosis [30].

# 4. Phytochemical Profile

Many potent phytochemical components leiocarpus found A. have been in demonstrated to be responsible for the therapeutic properties of the plant [31-34]. Secondary metabolites found in A. leiocarpus stems include alkaloids, tannins, flavonoids, cardiac glycosides, and saponins [35, 36]. Preliminary phytochemical screening of the Anogeissus leiocarpus stem bark for the major secondary constituents revealed that the plant, which was obtained from a local farm in Jigawa, Nigeria, was abundant in tannins and contained significant amounts of flavonoids, terpenes, and saponins, but was devoid of anthraquinones [12]. According to Hussaini et al. [37], the stem bark extract contained saponins, tannins, phenols, phytosterols but was devoid of flavonoids.

Despite its widespread use, only a few studies have established the phytochemical profile of *A. leiocarpus* stem bark to date [23,38]. In a

qualitative chemical assessment of *A. leiocarpus* leaf and stem bark extracts by HPLC-ESI-MSn analysis, a significant number of phenolic components, including ellagitannins 1, and some flavonoids were identified [38].

The stem bark of *A. leiocarpus* contains two oleanane-type compounds (4S, 6aR, 6bS, 8aR,14bR)-4-(hydroxymethyl)-4, 6a, 6b, 8a, 11,11,14b-heptamethyl-1, 2, 3, 4, 4a, 5, 6, 6a, 6b, 7, 8, 8a,9,10,11,12,12a,14,14a,14b-icosa-hydropicen-3-ol **2** and methyl 10-hydroxy-2-(hydroxymethyl)-2, 6a, 6b, 9, 9, 12a - hexamethyl-1, 2, 3, 4, 4a, 5, 6, 6a, 6b, 7, 8, 8a, 9, 10,11,12,12a,12b,13,14b-icosahydropicene-4a-carboxylate **3**, as well as other triter penoids, including sericoside **4**, serinic acid **5** and arjungenin **6** [39,40]. Several ellagic acid compounds, including 2,3,7,8-tetrahydroxychromeno[5,4,3-cde] chromene-5,10-dione **7**, were identified [23].

Polyphenolic substances found in the stem bark included 3, 3, 4-tri-o-methylellagic acid 8, 3, 3, 4 - tri-o-methylellagic acid – 4 - d-glucoside 9, gentisic 10, protocatechuic acid 11, gallic acid 12, chebulagic acid 13 and chebulinic acid 14. The stem bark also contained flavogallonic acid 15, bislactone 16, castalagin 17, and ellagic acid 7, [12]. 4H-1-Benzopyran-4-one 18, and (S)-7-((2-O-(6-Deoxy-alpha-L-mannopyranosyl)-beta-D-glucopyranosyl)oxy)-2,3-dihydro-5-hydroxy-2-(4-methoxy-

3(phenylmethoxy)phenyl) -4H - 1 - benzopyran-4-one 19. The leaf contained -5-hydroxy-2-(4-methoxyphenyl)-4-oxo-4H-chromen-7-olate 20, catechin 21, quercetin 22, isoquercetin 23, rutin 24, vitexin 25, kaempferol 26, and procyanidin B2 27 [12]. Analysed essential oils with the aid of GC-MS obtained by hydro-distillation using a Clevenger-type apparatus from the leaf, stem bark and root of *A. leiocarpus* revealed the prominence of z-9-octadecenoic acid 28, n-hexadecanoic acid 29, n-octadecanoic acid 30 and methylhexadecanoate 31 [13].

Table 1. Some Phytochemical Compounds in A. leiocarpus

Compound	Structure	Name	Plant part
1 1	HO OH OH OH	Ellagitannin	Leaf and Stem bark
2	HO OH	(4S,6aR,6bS,8aR,14b R)-4-(hydroxymethyl)- 4,6a,6b,8a,11,11,14b- heptamethyl- 1,2,3,4,4a,5,6,6a,6b,7, 8,8a,9,10,11,12,12a,1 4,14a,14b- icosahydropicen-3-ol	Stem bark

3	HO HO	methyl 10-hydroxy-2- (hydroxymethyl)- 2,6a,6b,9,9,12a- hexamethyl- 1,2,3,4,4a,5,6,6a,6b,7, 8,8a,9,10,11,12,12a,1 2b,13,14b- icosahydropicene-4a- carboxylate	Stem bark
4	HOMMIN OH OH	Sericoside	Stem bark
5	HO OH NH <sub>2</sub>	Serinic acid	Stem bark

		T	
6	HO HO OH	Arjungenin	Stem bark
7	НО ОН ОН	2,3,7,8- tetrahydroxychromeno [5,4,3-cde] chromene- 5,10-dione	Stem bark
8	О О О О О О О О О О О О О О О О О О О	3,3,4-tri-o- methylellagic acid	Stem bark

9	ОН	3,3,4-tri-o- methylellagic acid-4-d- glucoside	Stem bark
	НООН		
10	НООН	Gentisic	Stem bark
11	НО ОН	Protocatechuic acid	Stem bark
12	НО ОН	Gallic acid	Stem bark

13	HO OH OH OH OH	Chebulagic acid	Stem bark
14	HO OH OH OH OH OH OH OH		

15	ОН	Flavogallonic acid	Stem bark
	но		
	ОН		
	но		
	ОН		
	но		
	ОН		
	но		
16	0	Bislactone	Stem bark
	но но но		

47	НО	On atalania	Otana banda
17	HO OH OH OH OH OH OH OH	Castalagin	Stem bark
18	HO HO HO	4H-1-Benzopyran-4- one	Stem bark
19	OH  HO  OH  OH  OH  OH  OH  OH  OH  OH	(S)-7-((2-O-(6-Deoxy-alpha-L-mannopyranosyl)-beta-D-glucopyranosyl)oxy)-2,3-dihydro-5-hydroxy-2-(4-methoxy-3(phenylmethoxy)phenyl) -4H-1-benzopyran-4-one	Stem bark

20	HO O O O O O O O O O O O O O O O O O O	-5-hydroxy-2-(4- methoxyphenyl)-4- oxo-4H-chromen-7- olate	Leaf
21	НО ОН ОН	Catechin	Leaf
22	НООНООН	Quercetin	Leaf

23	HO OH OH OH OH	Isoquercetin	Leaf
24	HO OH OH OH OH OH OH OH	Rutin	Leaf

25	ОН	Vitexin	Leaf
	HO MININGOH		
	ОН		
	HO OH		
	но		
26	ОН	Kaempferol	Leaf
	HO		
	ОН		
27	но	Procyanidin B2	Leaf
	ОН		
	У У ОН		
	но́ —		
	НО О О ОН		
	ОН		
	ОН ОН		

28	ОН	z-9-octadecenoic acid	Leaf, Stem bark and Root
29	ОН	n-hexadecanoic acid	Leaf, Stem bark and Root
30	ОН	n-octadecanoic acid	Leaf, Stem bark and Root
31		Methylhexadecanoate	Leaf, Stem bark and Root

## 5. Nutritional Values

The proximate analysis of the leaf of *A. leiocarpus* revealed a high content of crude protein (17.31%). The mineral analysis showed high levels of calcium and potassium, moderate levels of magnesium, iron and zinc, and low levels of copper and

manganese [41]. Sawdust from A. leiocarpus (Hardwood) is more beneficial for growing mushrooms with a good nutritional composition that can promote good health in man [42].

# **6. Pharmacological Activities**

A. leiocarpus has been subjected to a variety of *in vivo* and *in vitro* biological evaluations. This plant is equally known as a source of antimicrobial agents and for treatments of a

variety of infection-related ailments [43]. Some of the pharmacological evaluations of the plant are as highlighted.

## Antioxidant and Anti-hyperlipidaemic Characteristics

The aerial plant extract and supernatant of *A. leiocarpus* root bark significantly reduced serum and hepatic triglyceride levels, the amount of VLDL (Very Low-Density Lipoprotein) cholesterol and hyperlipidemic levels in mice. The crude extract and constituent fractions showed significant overall antioxidant activity [44]. It was discovered that *A. leiocarpus* crude extract and fractions possessed strong antioxidant [45] and anti-

hyperlipidemic properties. The polyphenolic-rich extract of the plant may be useful in treatment of *Diabetes mellitus* [46]. *A. leiocarpus* leaves and stem bark extracts were similarly found to inhibit glucosidase activity [47]. The extract and the supernatant fraction of the roots of *A. leiocarpus* demonstrated a strong antidiabetic potential by hyperglycemia reduction, hyperlipidemia and glucose intolerance in rats induced with

diabetes [48,49]. In cases when insulin is unaffordable, *A. leiocarpus* can be used as an unconventional treatment for diabetes-related

oxidative stress [50,51]. In diabetic patients, a crude ethanol extract of *A. leiocarpus* stem bark lowers blood glucose levels [52,53].

#### **Antimicrobial Potentials**

The antimicrobial properties of *A. leiocarpus* support the beliefs of traditional healers that the plant's roots and stem bark can treat a variety of diseases [54,55]. Various antimi-

crobial activities which include antibacterial and antifungal activities of the plant have been established as highlighted.

## Antibacterial effects

The root of the plant reportedly possesses huge antibacterial potential against pathogenic organisms which include *Escherichia coli*. The root material is sold in Nigeria as chewing sticks for the prevention of oral infections and mouth odour. The study suggested that plants with huge antibacterial potential against oral germs could also possess extended activities against throat infections, gum disease, and tooth decay

[56,57]. In another evaluation, the tested isolates exhibited resistance to the crude leaf extract of *A. leiocarpus* [58,59]. The *in vitro* susceptibility of five bacteria, including *Staphylococcus aureus*, *Escherichia coli*, *Klebsiella aerogens*, *Pseudomonas aeruginosa*, and *Salmonella typhi* to the leaf, bark, and root extracts of *A. leiocarpus* revealed the strong antibacterial properties of the extracts [60,61].

# Anti-fungal effects

A plant source of antifungal activity is A. leiocarpus [62-64]. The in vitro antifungal activity of root extracts from Anogeissus leiocarpus against Aspergillus niger, Aspergillus fumigatus, Penicillium species, Microsporum audouinii, and Trichophyton rubrum was investigated using the radial growth technique. The extracts inhibited the growth of all the test organisms significantly. The minimum inhibitory concentrations

(MIC) and minimum fungicidal concentrations (MFC) of the extracts ranged from 0.03 to 0.07 g/mL and 0.04 to 0.08 g/mL, respectively. *A. leiocarpus* appears to be effective as an antifungal drug [65]. The *in vitro* susceptibility of two fungi, *Candida albicans* and *Aspergillus niger*, to the leaf, bark, and root extracts of *A. leiocarpus* revealed the strong antifungal properties of the extracts [60].

## **Anti-plasmodial Activities**

A. leiocarpus stem bark fractions and crude methanol extracts were found to be highly effective against a field isolate of *Plasmodium falciparum*. The study therefore validated the traditional use of this herb as an

effective malaria treatment option [66]. The methanolic extract of *A. leiocarpus* has been considered locally to have the same antimalarial activities as artemisinin derivatives in malaria-infected organisms [67,68].

#### **Antidiarrheal Effects**

The aqueous extract of *A. leiocarpus* leaves exhibited antidiarrheal properties by delaying intestinal peristalsis and decreasing gastro-

intestinal output of fluids and electrolytes. This explains why this plant is used to treat diarrhoea in conventional medicine [69,70].

## **Anticancer and Anti-ulcerogenic Effects**

A possible source of anticancer through the angiogenesis pathway is A. leiocarpus [71]. According to Olugbami et al. [72], extracts from the leaves and roots of A. leiocarpus can inhibit the rapid replication of cancer cells. Ehrlich ascites carcinoma cell lines were prevented from proliferating by the root extract of A. leiocarpus, whilst liver cancer HepG2 cell proliferation was equally inhibited by the ethanolic leaf extract [73]. Bioactive compounds which include elagic acid, castalagin, and flavogallonic acid from A. leiocarpus, have been demonstrated to inhibit the proliferation of cancer cells in vitro [74]. Methanol extract of A. leiocarpus leaves inhibited cholinesterase activity while the tyrosinase activity was suppressed by a methanol extract of the stem bark [38].

The effects of acetic acid-induced ulcerative colitis in rats were studied in relation to *A. leiocarpus* leaf aqueous extract. The aqueous extract of *A. leiocarpus* leaves exhibited anticolitis actions by increasing superoxide dismutase (SOD) and catalase (CAT) levels, decreasing glutathione (GSH) levels, and elevating superoxide dismutase (GSH) levels while lowering MDA (Malonidialdehyde) and NO (Nitric oxide) levels. The extract preserved normal haematological parameters and treated inflammation brought on by acetic acid at doses of 100 and 200 mg/kg [75,76].

# **Antinociceptive and Anti-pyretic Activities**

In a recent study involving acid-induced writhing in Wistar rats' model, the antinociceptive and antipyretic properties of A. leiocarpus aqueous leaf extract were examined. The extract was also assessed for safety using the median lethal dose (LD<sub>50</sub>). The extract significantly (p < 0.05) red-

uced/eliminated the induced pain and pyrexia at doses of 200 and 400 mg/kg in a way that was equivalent to the positive controls. *A. leiocarpus* aqueous leaf extract reportedly possesses antinociceptive and antipyretic properties [77].

## **Effects on Reproductive System**

A. leiocarpus stem bark extract significantly modifies the activities of phosphodiesterase-5, arginase, and acetylcholinesterase in male rats receiving paroxetine treatment thereby altering sexual behaviour and boosting antioxidant status, as well as biomolecules such

as total thiol, malondialdehyde, nonprotein thiol and nitric oxide levels. These actions indicate some potential mechanisms that may under-line their application in the treatment of erec-tile dysfunction induced by anti-depressants [78,79,80]. *A. leiocarpus* extract

has a pro-fertility effect. As a result, it serves as a good alternative for treating male infertility [80].

#### 7. Conclusion

A. leiocarpus, a ubiquitous plant in the tropical woodlands and savannas is a multimedicinal plant. Its folkloric applications which include the management of cough, wounds, stomach infections, tuberculosis, diarrhoea, and malaria make it highly desirable. Its other applications in the of erectile management dysfunction, antimicrobial. antibacterial. anticancer. antifungal, antioxidant, antinociceptive and antipyretic, anti-plasmodial activities among others makes it a target plant for more extensive investigations. Alkaloids, tannins, terpenoids, flavonoids, cardiac glycosides. and saponins are the secondary metabolites that have been found in A. leiocarpus. While the compounds identified in the plant include gentisic, gallic acids, chebulagic acid, bislactone, castalagin, catechin, quercetin

and some others, many more chemical compounds are yet to be identified and characterized particularly from the root, wood, fruit and flower which have been underexplored. The increasing grossly demand for more potent antimicrobial agents makes the investigation of important underexplored folkloric medicinal plant such as A. leiocarpus more imperative particularly for the discovery of a drug lead. Future work should focus on the establishment of the possible mechanism of action of the identified compounds, discovery of potential drug leads and establishment of the toxicity of the extracts and constituent compounds. Apparently, more robust in vivo and holistic clinical studies are necessary to fully validate the traditional claims on the plant.

#### 8. Conflict of Interest

The authors declare that there is no conflict of interest.

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# Qualitative Chemical Analysis of Some Aqueous Plant Extracts and Studying Their Biological Effectiveness on Germination and Growth of Maize (*Zea mays* L.) Seeds

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**Abstract:** Medicinal plant extracts have a wide variety of chemical compounds. In this research, a qualitative study was conducted to reveal the chemical composition of the aqueous extract of figs (*Ficus carica* L.), mulberry (*Morus alba* L.) and eucalyptus (*camaldulensis* L.) leaves. This study included the detection of proteins, carbohydrates, phenols, flavonoids, glycosides, alkaloids, tannins, steroids, and saponins. The phytochemical analysis of the aqueous extracts from the studied plants leaves showed the presence of bioactive constituents like proteins, carbohydrates, phenols, flavonoids, glycosides and tannins in all mentioned extracts, while revealing the absence of alkaloids from mulberry and eucalyptus extracts, steroids from fig extract, and saponins from mulberry extract.

Different concentrations of aqueous extracts (5, 10, 15, 25, 50 and 75%) were evaluated for efficiency against germination and growth of *Zea mays* L. Using distilled water as a control, the germination percentage, and root and shoot length were observed. The results showed beneficial effects and a slight improvement in the percentage of germination and the above growth parameters compared with the control using mulberry extract at 5% and eucalyptus extract at 10%; however, higher concentrations of the extracts showed a negative effect.

**Key Words:** Zea mays L., germination, growth, medicinal plant, aqueous extract, eucalyptus

**Abbreviations:** WHO: World Health Organization, NaOCl: Sodium hypochlorite, ISTA: International Seed Testing Association, °C: Celsius degree, v/v: Volume Percentage

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#### 1. Introduction

Currently, use of phytochemicals, which are derived from medicinal plants, has gradually increased in many countries [1]. Medicinal plants have a wide variety of chemical compounds, resulting in different types of medicines and various bioactive molecules [2]. According to the World Health Organization (WHO), medicinal plants will be the best source for a variety of medicines and natural antioxidants, as they have long played important roles in the treatment of diseases throughout the world [1]. Some of the properties that the medicinal plants have are antimicrobial, anti-cancer, anti-diabetic, antiatherosclerosis, and immunomodula-tory, and even have reno-protective or hepatoprotective effects [3]. Figs (Ficus carica L.), mulberry (Morus alba L.) and eucalyptus (camaldulensis L.) are among medicinal plants whose aqueous ex-tracts are of great importance because they contain many important biochemical com-pounds. The fig plant has a wide range of medicinal and nutritional values [4]. Its fruit, roots and leaves are used in native medicine for different disorders, such as colic, indigestion, diarrhea, sore throats, coughs, bronchial problems, inflammatory and cardiovascular disorders, ulcerative diseases, and cancers [5]. Clinical studies have shown that fig leaves extract has anti-tumor, hypolipidemic, antioxidant, antibacterial, hypoglycemic, and other functions. Because fig leaves contain a large number of flavonoids, they have a variety of pharmacological activities [4].

Mulberry trees are deciduous plants belonging to the genus *Morus* (family Moraceae), The most common species are

Morus alba (white mulberry) and Morus nigra (blackberry) [6]. Morus alba L. leaves have an antiparasitic activity [7] and contain active ingredients showing a high nutritional importance and pharmaceutical effects among the genus Morus. They have long been used as traditional medicine for diabetes, arthritis, rheumatism, and other disorders for thousands of years in East Asia [6].

Eucalyptus (camaldulensis L.) is one of the important genera of the Myrtaceae family, a large genus of evergreen trees; it has been used as a medicinal plant for ages because of its various properties [8]. Eucalyptus' therapeutic properties include antiseptic, antiparasitic, insect repellent, anti-rheumatism, anti-migraines, anti-urinary tract infections, anti-ulcer burns, febrifuge, and antifatigue [9].

The present study was conducted to investigate the effects of fig (Ficus carica L.), mulberry (Morus alba L.) and eucalyptus (camaldulensis L.) leaves extracts on the germination and growth of maize (Zea mays L.) seeds due to it being one of the most economically important food crops in the world. It possesses high nutritive value and is important as a coarse grain. Germination is the first stage and one of the important and sensitive stages of the plant life cycle; it is an important process in seedling growth. This stage of growth is strictly influenced by environmental factors [10]. Additionally because these medicinal plant leaves are widely used in multiple fields, it was important to identify their chemical constituents.

#### 2. Materials and Methods

This study was conducted in the laboratories of Syrian Private University, Syria, in 2022.

#### **Preparation of Plant**

The plant materials, fig (*Ficus carica* L.), mulberry (*Morus alba* L.) and eucalyptus (*camaldulensis* L.) leaves, were collected from the experimental fields of Tishreen Park, Damascus City, Syria in June 2022.

#### **Preparation of Extracts**

Fresh leaves of fig, mulberry and eucalyptus plants were picked and cleared of any foreign materials, then rinsed with distilled water and dried with absorbent paper for 15 days in the shade. They were then grinded with an electric grinder to a fine powder that was used for the extraction [11].

Extraction was done using the method described by Dzimitrowicz *et al.* [12] with some modification. Twenty grams of fine powder of each plant were mixed separately in 400 mL of distilled water for one hour at room temperature with continuous stirring by

The experiments were performed in a completely randomized design with three replications.

The seeds of maize (*Zea mays* L. Ghouta 82) were obtained from the General Institution for Plenitude of Seeds (Aleppo - Syria

a magnetic stirrer. The extracts were heated to 60°C for one hour, and after, were gradually cooled with continuous stirring until room temperature was reached, then left to stand for 24 h at 4°C. The resulting aqueous plant solutions were filtered twice with multi-layer tissue, then with Buchner funnels containing (Whatman® filter paper no. 5) and connected to a vacuum pump. Finally, the filtrates of the aqueous plant extracts were stored in the dark at 4°C until further used in bioassay and phytochemical characterization. Figure 1 shows the dried plants leaves before and after grinding, as well as the plant extract.



Fig 1. Plants Leaves and Extract

#### **Qualitative Analysis**

Preliminary qualitative phytochemical screening was carried out for proteins (Biuret's test) and glycosides (Salkowski's test) [13], carbohydrates (Molisch's test) [14], phenols [15,16], flavonoids (Alkaline

reagent test) and alkaloids (Mayer's test) [17], tannins (Ferric chloride test) [18], steroids (Lieberman-Burchard test) [15,17], and saponins (Frothing test) [19], following the standard protocols.

#### Maize (Zea mays L.) Seeds Germination Experiment

For bioactivity study, healthy maize seeds of uniform size were used for the experiment. Surfaces were sterilized with 0.1% NaOCl, then they were washed thoroughly with distilled water [20].

The seeds were soaked for 24 h at room temperature (24°C) in different concentration of fig, mulberry and eucalyptus leaves extracts (5, 10, 15, 25, 50 and 75% v/v), using the distilled water as the control, then al-

lowed to germinate on moist paper towels in petri dishes at 37°C in darkness for six days (144 h). The experimental seeds were kept moist by regularly adding of test solution, if required [21,22].

The germination percentage is the proportion, expressed as percentage of germinated seeds to the total number of viable seeds that were tested by the following formula according to ISTA [23].

 $G\% = Number\ of\ germinated\ seeds/Total\ number\ of\ planted\ seeds imes 100$ 

The seedling growth was harvested after six days. The root length and shoot length were measured by using a centimeter scale, root length was measured from the main apex to the crown, whereas shoot length was measured from the crown to the main apex [24].

#### **Statistical Analyses**

The data was subjected to one way-ANOVA IBM SPSS software package for Windows (Version 20, SPSS Inc., Chicago, IL), the sta-

tistical significance was evaluated at P $\leq$  0.05. The results were presented as mean  $\pm$  standard deviation based on three replications

#### 3. Results and Discussion

Effects of the different concentrations (5, 10, 15, 25, 50 and 75% v/v) of fig, mulberry and eucalyptus leaves extracts were studied on germination percentage of maize seeds (*Zea* 

mays L.); distilled water was used as the control.

Results showed that seed germination of maize was promoted or inhibited to different degrees depending on the concentrations of aqueous extracts. This indicates that maize has a strong adaptability, which plays a pivotal role in it becoming a dominant species. At low concentrations of plant extracts (5%), the mulberry extract showed the highest germination percentage of 96.75%, followed by eucalyptus extract with a germination percentage close to that of the control (91.21%), while the fig extract at the same concentration reached 69.80%. By increasing the concentration of all plant extracts to 10%, a significant improvement and significant differences were observed for each of the extracts of fig and eucalyptus compared to the previous concentration, where the percentage of germination reached 89.96% and 97.42%, respectively. The percentage of germination of mulberry extract decreased to 60.44% with clear significant differences compared to the control and at the previous concentration. This may be due to the increased osmotic pressure of plants cells, which increases the water absorption capacity of cells. It may also be that the trace inorganic ions in maize cells have a stimulating effect on respiratory enzyme activity, which improves the ability of plants to generate nutrients, therefore promoting seed germination of maize [25]. Allelochemicals are usually called secondary plant products of the main metabolic pathway in plants, most of them originate from the shikimic acid and acetate pathway. They are often watersoluble substances and present in almost all

plants and in many tissues. Allelochemicals that inhibit the growth of some species at certain concentrations might in fact stimulate the growth of the same or different species at different concentrations [26]. The increase in the concentrations of the plant extracts led to a clear decrease in the germination percentage of maize seeds. At the concentration of 15%, the germination percentage of figs, mulberry and eucalyptus was 52.74% and 43.31% and 67.74%, respectively, and at the concentration of 25% was 18.75% and 27.02% and 37.14%, respectively. The inhibition in the ability to germinate was more evident at the 50% concentration, where the percentage of germination was 18.75% and 27.02% and 37.14% for each of the extracts of figs, mulberry and eucalyptus, respecttively. While the germination and growth of maize seeds were completely inhibited at 75% concentration.

The allelochemical content increased with the increase of the concentrations of the studied plants leaves aqueous extracts, resulting in an enhanced inhibition effect. Certain plant allelochemicals have hormone-like effects or promote the growth of the recipient plant by changing its hormone composition and concentration [25].

Table 1 shows the germination percentage of maize seeds, and root and shoot lengths of maize seedling when using distilled water as a control and at different concentrations of extracts of leaves of fig, mulberry and eucalyptus.

Table 1. Effect of Different Concentrations of the Fig, Mulberry and Eucalyptus Aqueous Leaves Extracts on the Seed Germination and Seedling Growth of Maize (*Zea mays* L.)

Plant Species	Concentration	Germination percentage	Length shoot	Length root
Control	0	93.12 <sup>a</sup> ±3.97	8.96 <sup>a</sup> ±0.273	9.50°±0.512
	5	69.80 <sup>b</sup> ±1.06	5.50 <sup>b</sup> ±0.506	4.00 <sup>b</sup> ±0.284
	10	89.96°±0.83	7.76 <sup>a,c</sup> ±0.174	7.46°±0.331
Eia	15	52.74 <sup>d</sup> ±2.13	6.33°±0.532	3.53 <sup>b</sup> ±0.121
Fig	25	18.75 <sup>e</sup> ± 1.89	1.83 <sup>d</sup> ±0.328	1.33 <sup>d</sup> ±0.118
	50	7.33 <sup>f</sup> ±0.46	$0.60^{e} \pm 0.09$	$0.33^{e} \pm 0.103$
	75	0g±00	$0.00^{f}\pm0.00$	$0.00^{f}\pm0.00$
Control	0	93.12a±3.97	8.96 <sup>a</sup> ±0.273	9.50°±0.512
	5	96.75°±1.85	9.03°a±0.121	7.33 <sup>b</sup> ±0.153
	10	60.44 <sup>b</sup> ±2.13	6.20 <sup>b</sup> ±0.145	4.06°±0.227
Mulberry	15	43.31°±4.11	4.76°±0.101	3.43°±0.186
	25	27.02 <sup>d</sup> ±0.46	4.33°±0.098	2.43 <sup>d</sup> ±0.121
	50	9.86 <sup>e</sup> ±1.30	0.93 <sup>d</sup> ±0.107	$0.56^{\rm e} \pm 0.085$
	75	0 <sup>f</sup> ±00	$0.00^{e} \pm 0.00$	$0.00^{f}\pm0.00$
Control	0	93.12 <sup>a,b</sup> ±3.97	8.96 <sup>a</sup> ±0.273	9.50°±0.512
	5	91.21a±3.08	7.60 <sup>b</sup> ±0.649	6.33 <sup>b</sup> ±0.156
	10	97.42 <sup>b</sup> ±1.11	8.96°±0.323	10.1 <sup>a</sup> ±0.447
Eugelyptus	15	67.74°±2.92	6.80 <sup>b</sup> ±0.503	6.76b±0.513
Eucalyptus	25	37.14 <sup>d</sup> ±1.84	4.90°±0.226	6.66 <sup>b</sup> ±0.501
	50	13.74 <sup>e</sup> ±2.44	1.70 <sup>d</sup> ±0.076	4.50°±0.433
	75	0 <sup>f</sup> ±00	$0.00^{e}\pm0.00$	0.00 <sup>d</sup> ±

<sup>\*</sup> Values are mean ± standard deviation.

By studying the effect of different concentrations of extracts of leaves of fig, mulberry and eucalyptus plants on the growth of seedlings of maize plant, the change in shoot and root length was recorded with the change in the concentration of each of the extracts. The results showed that the shoot length under the influence of each of the extracts of fig and eucalyptus leaves ranged between 7.76 cm and 0.60 cm for fig and between 8.96 cm and 1.70 cm for eucalyptus, and the length of the root ranged between 7.46 cm and 0.33 cm for fig and between 10.1 cm and 4.50 cm for

eucalyptus, where these values were recorded at concentration 10% and 50%, respectively. Whereas, with the effect of mulberry leave extract, it was observed that the highest growth of shoot and root was recorded at the concentration 5% where the length of each of the shoot and root was 9.03 cm and 7.33 cm, respectively.

From the above, it is noted that the toxicity of fig and eucalyptus extracts on both the shoot and root started at a concentration of 15%, while the toxicity of the mulberry extract

<sup>\*</sup> Different letters within column indicate significant differences between the concentrations at every plant  $(P \le 0.05)$ .

started at 10%, and this toxicity increased with increasing concentration. Germination and seedling growth are the screening criteria which are widely used to investigate the effects of allelopathy. Morphological changes, in response to allelochemicals, could be due to effects on the cellular or molecular level. The effects of the allelochemicals' action have been detected at molecular. structural. physiological, biochemical and ecological levels of plant organization. Allelochemicals restrict plant growth through negative interactions with some physiological processes such as suppression of cell division, changes in cell wall structure and activity of some enzymes [27]. Also, the effect of allelopathy on germination and growth of plants may occur through a variety of mechanisms, including a reduced mitotic activity in root and hypocotyls, suppressed hormone activity,

reduced rate of nutrient uptake, inhibited protein formation, decreased permeability of cell membranes and inhibition of enzyme action which may be attributed to the reduction of (N, P, K) content in the tested seeds of maize. The tested seeds differed in their responses, which may be due to the effect of the extracts on the cell permeability to the nutrient's uptake, or the genetic effect, because the inhibitory compounds might have reduced the uptake of nutrient, which ultimately reduced shoot growth [28].

This study has revealed the presence of phytochemicals considered as active medicinal chemical constituents. Some of the phytochemicals were found in abundance while others in trace amounts. Table 2 showed preliminary phytochemical screening of the fig, mulberry and eucalyptus leaves aqueous extracts.

Table 2. Preliminary Phytochemical Screening of the Fig, Mulberry and Eucalyptus Aqueous Leaves Extracts

Plant	proteins	carbohydrates	phenols	flavonoids	glycosides	alkaloids	tannins	steroids	saponins
figs	++	+	++	++	++	+	++	-	++
mulberry	+	+	+++	++	+	-	+	++	-
eucalyptus	+	++	+++	+++	++	-	+	+	+

Legend: +=Low concentration, ++ = Moderate concentration, +++ = High concentration, - = Absent

Phytochemical studies and qualitative phytochemical investigation discovered the presence of proteins, carbohydrates, phenols, flavonoids, glycosides and tannins in all mentioned extracts of plant. Steroids are found in all extracts except for those obtained from figs extract. Alkaloids were found in figs extract, while other plant extracts did not contain this type of compound. Saponins were not found in mulberry extract.

Plant cells produce two types of metabolites. Primary metabolites are involved directly in growth and metabolism (carbohydrates, lipids and proteins). Secondary metabolites are considered products of primary metabolism and are generally not involved in metabolic activity (alkaloids, phenolics, essential oils and terpenes, sterols, flavonoids, lignins, tannins, etc.). These secondary metabolites are the major source of pharmaceuticals, food additives, fragrances and pesticides, and herbicides. The composition of bioactive compounds present in plants are influenced by the genotype, extraction procedure, geographic and climatic conditions, and the growth phase of the plants [15].

Saponins have anti-inflammatory and antifungal effects, hemolytic activity, and cholesterol binding properties. Tannins have been reported to prevent the development of microorganisms by precipitating microbial protein and making nutritional proteins unavailable for them. Also, tannins exhibit antioxidant and antiviral effects [15,18]. Steroids are known to produce an inhibitory effect on inflammation and are very important compounds, especially due to their relationship with compounds such as sex hormone [15,29]. Alkaloids have been reported to exert analgesic, antispasmodic and antibacterial activities [30]. Phenolic compounds like phenolic acids, polyphenols and flavonoids are very important plant components called antioxidants, which scavenge free radicals such as peroxide, hydroperoxide of lipid hydroxyl and therefore halt the oxidative mechanism that leads to degenerative diseases. Flavonoids have anti-inflammatory, anti-angiotic, antimicrobial, antioxidant, and reduced hypertension effects, and have anti-cholesterol properties [16].

The phytochemical analysis of the medicinal plants is also important and has commercial interest from both research institutes and pharmaceuticals companies for the manufacturing of the new drugs for treatment of various diseases.

#### 4. Conclusion

In the present study, the phytochemical screening for leaves extracts of figs, mulberry and eucalyptus showed the presence of active component like phenols, flavonoids, glycolsides and tannins from aqueous extracts. These extracts have an extremely strong effect on the seed germination of maize at

different concentrations. The low concentrations of the leaves aqueous extracts of the studied plants showed an improvement in morphological growth and germination percentage, while the higher concentrations showed clear toxicity in the maize plant.

# 5. Funding

This research received no external funding.

#### 6. Conflict of Interest

The manuscript was written through contributions of the two authors, and these two authors contributed equally. The authors

The authors declare no conflict of interest.

have given approval to the final version of the manuscript.

## 7. Acknowledgments

The authors are thankful to the Syrian Private University and Atomic Energy Commission

of Syria for providing the facility to conduct the experiments in laboratories.

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# Review on Testing Methods for Permeability and Selectivity Measurements of Polymeric Membranes

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**Abstract:** Polymeric membranes can provide an energy-saving solution for gas separation. The technology is also compact and easy to maintain, though, for commercial applications, the membrane performance should be conscientiously tested as the data could vary significantly. Unfortunately, there is no standard procedure for evaluating the membranes for gas separation. This paper gives general guidelines on various methods for determining the membrane permeability and selectivity with the commonly used setup. The paper also discusses the measurements and calculations of product purity and gas recovery for better comparison with other separation technologies.

**Key Words:** Gas-separation membrane, gas permeability, real selectivity, time-lag method, gas recovery

#### 1. Introduction

Polymeric membranes have been comercialized for gas separation since the 1980s [1]. The first application was for the removal of hydrogen from methane by a polysulfone membrane [2]. The applications were then expanded to cover acid gas remo-val, and oxygen enrichment [3]. The mem-brane is considered as an environmentally-friendly technology because it operates at low energy and it does not produce toxic wastes [4].

Furthermore, the membrane is easy to scale up and can have a continuous life of five years [5,6].

There are many materials for polymeric membranes and their performance varies broadly depending on the polymer and the gases to be separated. The widely accepted theory for gas transport through dense polymeric membranes is the solution-diffusion model [7]. The model states that the gas is first absorbed on the membrane surface and then dissolved. The gas then diffuses inside the membrane by a means of free volumes. The gas is then desorbed on the low-pressure side. Their dominant factors that control the

solution-diffusion model are solubility and diffusivity [8]. The perme-ability (P), which is one of the key parameters to describe the membrane per-formance, is the product of solubility and diffusivity:

$$P = K_A \times D_A \tag{1}$$

where  $K_i$  and  $D_i$  are the sorption and diffusion coefficients of gas a, respectively. The permeability gives information about the quantity of the produced gas (also known as the permeate). Experimentally, the permeability can be determined without the need for solubility and diffusivity measure-ments.

There are typically three approaches to measure the permeability: using a bubble flowmeter, a mass flowmeter, and the time-lag technique. Each method will be discussed in detail in the following sections. The permeability data is given in Barrer (named after Richard Barrer) and it is calculated by:

$$P \text{ (Barrer)} = \frac{V_A \times l}{A \times \Delta P} 10^{10}$$
 (2)

where  $V_A$  is the volume flowrate of the permeate of gas a (cm<sup>3</sup> s<sup>-1</sup>), l is the membrane thickness (cm), A is the active membrane area (cm<sup>2</sup>), and  $\Delta P$  is the pressure difference across the membrane (cmHg). The mentioned techniques for permeability calculations actually measure the volume flowrate which will be used to calculate the permeability as given in equation 2. Because the volume of the gas depends on the pressure and temperature, the volume flowrate for

equation 2 should be stated at STP (standard temperature and pressure of 0°C and 1 atm). STP should not be confused with NTP (normal temperature and pressure) where the temperature is 20°C instead of 0°C. The pressure in NTP is 1 atm which is very close to 1 bar (0.986 atm). Assuming ideal gas behavior, the volume flowrate can be converted from NTP to STP using the following equation:

$$V_{STP} = \frac{T_{STP}}{T_{NTP}} V_{NTP} = \frac{273}{293} V_{NTP} = 0.925 V_{NTP}$$
 (3)

where  $T_{STP}$  and  $T_{NTP}$ , are the temperatures of 273 and 293K, respectively. This gives a correction factor of 0.925 when the flowrate is converted of NTP to STP.

The membrane thickness in equation 2 is commonly determined using a caliper in the

case of a symmetric membrane which is made from a single material with a uniform structure (porous or dense). However, for asymmetric membranes made from two or more materials (composite), characterization techniques such as scanning electron microscopy (SEM) are needed to measure the thickness of the selective material which is usually the dense layer. Other techniques such as transmission electron microscopy (TEM) and atomic force microscopy (AFM) can be used as well [9]. It is worth mentioning that asymmetric membranes can be made as well from a single material using the phase-inversion method [10].

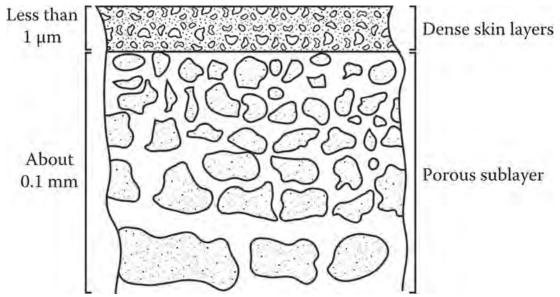


Figure 1. Structure of Asymmetric Membrane Made from One Material by the Phaseinversion Method [12]

The developed membrane will have two structures: porous and dense, as given in Figure 1, despite the use of one material. In this case, the membrane thickness is the skin of the dense layer similar to the composite

membrane [11]. In literature, most of the membranes are made from the phase-inversion method and therefore, a spectroscopy technique is needed to measure the membrane thickness.

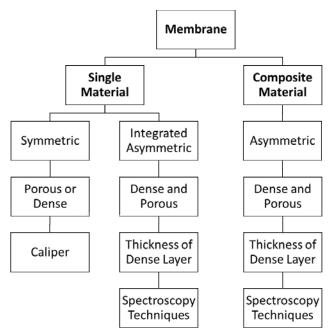


Figure 2. Determination of the Membrane Thickness for the Calculation of Gas Permeability in Barrer

Figure 2 gives general guidelines for determining the membrane thickness for calculating the permeability in Barrer. Sometimes is it difficult to measure the membrane thickness as it can vary notably along with the membrane structure. Furthermore, the spec-

troscopy technique maybe not available to measure the membrane thickness. Thus, a new unit for gas permeability was defined and it is known as permeance (*Q*). It is calculated similar to equation 2 but without the use of thickness:

$$Q (GPU) = \frac{V_A}{A \times \Delta P} 10^6$$
 (4)

where  $V_A$ , A, and  $\Delta P$  shares the same units as equation 2. The unit of permeance is the gas permeation unit (GPU).

Unfortunately, the units of Barrer and GPU cannot be converted if the membrane thickness was not stated. If the membrane thickness is known, the following equation can be used to convert the permeability from Barrer to GPU.

$$Q (GPU) = \frac{P (Barrer)}{10,000 \times l}$$
 (5)

It should be noted that Barrer is considered as a more accurate unit than GPU for stating the permeability as it takes into consideration the membrane thickness. This will make the comparison with other reported data more reliable for the same membrane. However, industrially, the membrane performance is widely described by GPU unit rather than Barrer for easier calculations.

This paper reviews the methods for measuring the permeability in polymeric membranes using different approaches such as bubble flowmeter, mass flowmeter, and the time-lag method (also known as the closed volume technique). The paper also describes

discusses the determination of gas selectivity which represents the purity of the gas produced. The paper also differentiates between the ideal and real selectivities and the latter is a key parameter for determining the membrane performance for commercial applications. The calculations of product purity and gas recovery are also important to evaluate the membranes with other separation technologies such as the amine process.

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#### 2. Permeability Measurements

The permeability can be calculated based on the measured flowrate of the permeate. There are extensively three ways to measure membrane permeability: (1) bubble flowmeter, (2) mass flowmeter, and (3) time-lag technique. Before starting the evaluation, the membrane should be examined visually. Any visible holes or defects may cause incorrect value of the permeability. The membrane is then inserted in a cell, usually made of metal housing. Rubber rings (with o-shape) are traditionally employed to seal the membrane and prevent gas leakage. The gas is normally

fed to the membrane by a gas cylinder equipped with a pressure regulator. A mass flow controller (MFC) is commonly used to control the feed flowrate. This device is used to set the volume flowrate of the feed gas. The output gas (after MFC) will be at atmospheric pressure and a pressure control valve can be employed to elevate the pressure. The feed gas will reach the membrane surface and the volume flowrate of the permeate will be measured to deter-mine the permeability. Figure 3 shows the common experimental setup for the permeability test.

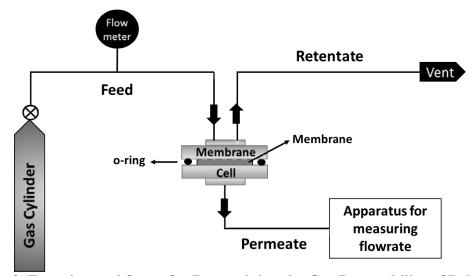


Figure 3. Experimental Setup for Determining the Gas Permeability of Polymeric Membranes

It should be noted that there are some inputs that should be defined before performing the membrane assessment. These inputs will significantly affect the permeability data. The parameters are the gas feed flowrate, temperature, pressure, membrane area, and membrane thickness. The area is calculated based on the cross-section area of the membrane as follows:

$$A = \pi \frac{d^2}{4} \tag{6}$$

where d is the diameter of the exposed area to the gas which is usually lower than the total diameter of the membrane as the o-rings will block some region for sealing.

Unfortunately, there are no standards for the input parameters, however, the following values are widely used in literature: feed flowrate of 0.1–1 cm<sup>3</sup> s<sup>-1</sup>, temperature of

25–35°C, pressure of 3–10 bar, area of 10–15 cm<sup>2</sup>, membrane thickness of 10–300 µm as given in Table 1. The following sections will discuss the methods for determining the permeability using the bubble flowmeter, mass flowmeter, and the time-lag technique.

Table 1. Operating Conditions for Membrane Testing Used by Most Researchers [13-26]

Operating condition	Value
Feed flowrate	$0.1 - 1 \text{ cm}^3 \text{ s}^{-1}$
Temperature	25 - 35°C
Pressure	3 - 10 bar
Membrane effective area	10 - 15 cm <sup>2</sup>
Membrane thickness	10 – 300 μm

#### **Bubble Flowmeter**

The bubble flowmeter is considered as the oldest and the most cost-effective technique for measuring the permeability. Broadly, the system is suitable for measuring flowrates between 0.1 to 1000 cm<sup>3</sup> s<sup>-1</sup>. The instrument consists of a graded burette along with a rubber bulb and soap solution. The gas enters the side of the instrument and then the bulb is squeezed to create a soap film that will be lifted by the gas in the form of a bubble. The

burette is marked with a starting point in which a stopwatch will be used to measure the time needed for the bubble to cross the final mark as shown in Figure 4. By dividing the volume by time, the volume flowrate can be determined. The error expected for this instrument is within  $\pm 5\%$  [28]. Nevertheless, if the experiment was carried perfectly, the error can be reduced to  $\pm 1\%$  [29].

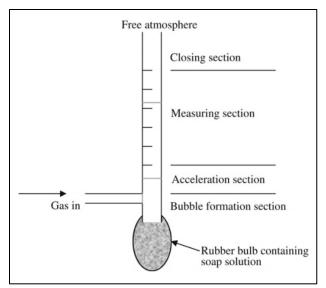


Figure 4. Components of the Bubble Flowmeter for Gas Permeability Measurements [27]

It should be noted that not all gases can be used in the bubble flowmeter. For example, high water-soluble gases such as ammonia and hydrogen chloride will be dissolved in the soap solution, and this will cause a significant error in the reading [30].

#### **Mass Flowmeter**

In the mass flowmeter approach, the volume flowrate of the permeate will be measured by an electrical signal. It is more accurate compared to the bubble flowmeter as the stopwatch will not be needed and this can minimize the uncertainty. Thermal mass flowmeters are widely used, and they work based on the thermal conductivity of the gas. The unit consists of a filament where its temperature is maximum when no gas is fed. When the gas enters the flowmeter, a drop in temperature will occur and this can be related to the volume of the gas (Figure 5).

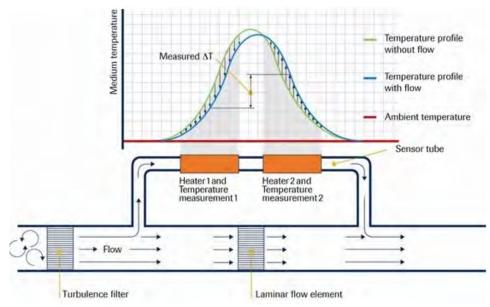


Figure 5. Operating Principle of the Thermal Mass Flowmeter [31]

It should be noted that the mass flowmeter is calibrated for specific gas and its accuracy is within  $\pm 1\%$  [32]. However, using the same mass flowmeter for a different gas than the calibrated one may introduce an error in the measurement. For example, a calibrated mass flowmeter for nitrogen was tested for methane and the error reached 20.5% [33]. Yet, when the same mass flowmeter was used

for hydrogen, the error was only 1%. It was found that the error depends highly on the specific heat of the gas which is defined as the required energy to raise the gas temperature by 1 K of a unit mass of gas at constant pressure (*Cp*). Table 2 shows the calculated correction factor (*CF*) for a nitrogen-calibrated mass flowmeter tested with other gases. The correction factor was calculated using the following equation:

$$CF = \frac{V_A}{V_B} = \frac{(C_p M)_A}{(C_p M)_B} \tag{7}$$

where B is the tested gas and A is the calibrated gas. M is the molecular weight and  $C_p$  is the specific heat at constant pressure. So, if the mass flowmeter is calibrated with methane, and butane is to be used, equation 7 will give a correction factor of 0.37. The absolute average error of the previous equation is 3.1%.

The data in some mass flowmeters are given in NTP while the others are given in STP. To calculate the permeability in Barrer or GPU, the data of volume flowrate should be converted to STP using equation 3. The mass flowmeters are capable of reading flows from 0.0003 to 40,000 cm<sup>3</sup> s<sup>-1</sup> [34]. Furthermore, the technique can be used to monitor the membrane permeability for long-term operation.

Table 2. Correction Factor for the Conversion of a Nitrogen-calibrated Mass Flowmeter for Use with Other Gases [35]

Tested Gas	C <sub>p</sub> (kJ kg <sup>-1</sup> K <sup>-1</sup> )	M (g mol <sup>-1</sup> )	CF
Ammonia	2.19	17.03	0.78
Argon	0.52	39.95	1.40
Butane	1.67	58.12	0.30
Carbon dioxide	0.84	44.01	0.79
Ethane	1.75	30.07	0.55
Helium	5.19	4.02	1.40
Hydrogen	14.32	2.02	1.01
Methane	2.22	16.04	0.82
Nitrogen	1.04	28.02	1.00

#### Time-Lag Method

The time-lag method is based on a closed volume in which the permeate flowrate will cause an increase in the pressure with time. The volume of the closed system should be accurately determined taking into consideration the volume of pipes. Before the experiment, the system is vacuumed using a pump and a pressure gauge is utilized to monitor the pressure. The system should be leak-free otherwise, the permeability data

will be incorrect. To make sure the setup is gas-tight, the system is kept under vacuum (with no gas fed) for about 24 h. First, the vacuum pump should be run for about 30 min, and then the valve before the pump is closed. Generally, the pressure gauge should give a reading below 3 mbar for the system to be considered gas-tight. Figure 6 shows the setup for the time-lag experiment.

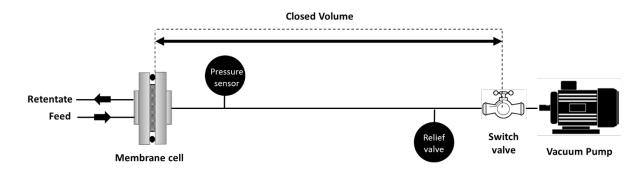


Figure 6. Experimental Setup for the Time-lag Method for Permeability Measurements [36]

After feeding the gas to the membrane, the permeated gas will cause a pressure buildup. The experiment is usually terminated when the pressure reaches 1 atm. Gauge-pressure

data should be monitored along with the time on stream. The time starts at 0 s when the gas is fed to the membrane. The permeability (in Barrer) can be then calculated using [37]:

$$P \text{ (Barrer)} = \frac{273}{76} \left[ \frac{V_b \times l}{A \times T \times p_a} \right] \frac{dp_b}{dt} \times 10^{10}$$
 (8)

where  $V_b$  is the volume of the closed system (cm<sup>3</sup>), T is the temperature (K),  $p_a$  is the pressure of the feed gas (cmHg), and  $dp_b/dt$  is the rate of change of the pressure in the

permeate side with time (cmHg s<sup>-1</sup>). The plot of the pressure in the permeate side along with time will give a straight-run and the slope is equal to  $dp_b/dt$  as given in Figure 7.

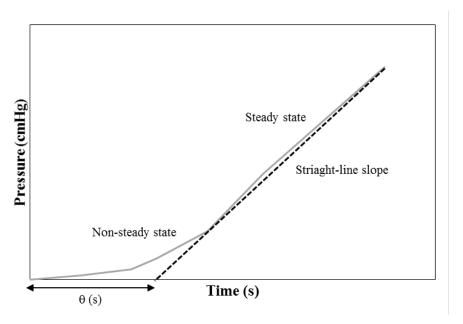


Figure 7. Plot of Pressure with Time in to Determine the Permeability and Diffusion and Solubility Coefficients [38]

For the first time of operation, it is expected to observe a non-steady-state line. After a certain time, a steady-state process is achieved and a straight slope line will be noticed which will be used to calculate  $dp_b/dt$ . The time-lag method is beneficial

over the bubble and mass flowmeters for very low permeate flowrates. Furthermore, the time-lag technique can be used to determine the diffusivity (or diffusion coefficient, *D*) by the following correlation [16]:

$$D(\text{cm}^2 \text{ s}^{-1}) = \frac{l^2}{6\theta} \tag{9}$$

where l is the membrane thickness (cm) and  $\theta$  is the time lag (s). The time lag is calculated based on the intercept of  $dp_b/dt$  line as

shown in Figure 7. The solubility (K) can be then calculated from equation 1:

$$S[\text{cm}^{3}(\text{STP})\text{cm}^{-3}\text{cmHg}^{-1}] = \frac{P}{D}$$
 (10)

where P is the permeability and D is the diffusion coefficient. The accuracy of the time-lag method can vary from 3 to 27% for permeability and diffusion/solubility coef-

ficients [39]. Table 3 shows the advantages and limitations of bubble flowmeter, mass flowmeter, and the time-lag method for membrane permeability measurements.

Table 3. Comparison Between Different Techniques for Permeability Measurements in Membranes

Technique	Advantages	Limitations
Bubble	Cost effective.	<ul> <li>Requires a stopwatch.</li> </ul>
Flowmeter	Good flowrate range.	<ul> <li>Some gases can dissolve in the soap solution.</li> </ul>
Mass Flowmeter	<ul><li>Wide flowrate range.</li><li>Long-term experiments</li></ul>	<ul> <li>Use of a calibrated mass flowmeter for another gas may introduce error.</li> </ul>
Time-lag	<ul> <li>Ability to measure very low flowrates.</li> <li>Calculations of diffusion and solubility parameters.</li> </ul>	<ul> <li>Not suitable for high flowrates.</li> <li>Volume of the closed system should be accurately measured.</li> </ul>

# 3. Selectivity Calculations

To study the membrane performance, the membrane should be tested with at least two gases. This is because the membrane defects (such as voids and cracks) may result in an increase in the membrane permeability as there will be no flow resistance. This can be reflected if the selectivity is very low or

nearly 1. Usually, the permeability of gas a will be measured separately and then the permeability of gas b will be measured. Dividing the two permeabilities of gas a and b will give the ideal selectivity ( $\alpha_{AB}$ ):

$$\alpha_{AB} = \frac{P_A}{P_B} \tag{11}$$

where  $P_A$  is the permeability of gas a (the desired gas) and  $P_B$  is the permeability of gas b (the unwanted gas). Another way to calculate the selectivity is by introducing two or more gases at the same time. The user should define the gas mixture composition which is usually 50 vol% of gas a and 50 vol% of gas b. Because the permeate will have two or more gases, the produced gas should be analyzed to determine the composition so the

flowrate of each gas can be calculated. This is necessary so that the permeability of each gas can be determined. Usually, a gaschromatography (GC) is used for this purpose but other instruments such as mass spectrometers, infrared analyzers, and colorimetric tubes can be implemented as well. After determining the volume percentage (vol%) of each gas, the volume flowrate of each gas in the permeate can be quantified by:

$$(V_P)_A = V_P \times (\text{vol\%})_A$$

$$(V_P)_B = V_P \times (\text{vol\%})_B$$
(12)

$$(V_P)_R = V_P \times (\text{vol\%})_R \tag{13}$$

where  $V_P$  is the volume flowrate of the total gas in the permeate,  $(V_P)_A$  is the volume flowrate of the product gas a, and  $(V_P)_B$  is volume flowrate of gas b. The real selectivity can be then calculated using equation 11. Industrially, real selectivity is a must as it gives the actual membrane performance. The real selectivity can significantly change when mixtures are introduced compared to the ideal selectivity. For example, a cellulose acetate membrane was used for carbon di-

oxide separation from methane and the ideal selectivity was greatly reduced from 35 to 15 when a gas mixture was used [40]. It was also found that the real selectivity is a function of the composition of the mixture gas. Therefore, the ideal selectivity should be only used for research purposes and the real selectivity should be measured using actual feeds. Table 4 shows that the real selectivity is always lower than the ideal selectivity. Generally, the real selectivity is reduced by 10 to 63% compared to the ideal selectivity.

Table 4. Reduction in the Selectivity of Polymeric Membranes Due to the Use of Mixed **Feeds** 

Gas separation	Ideal selectivity	Real selectivity	Reference
H <sub>2</sub> /N <sub>2</sub>	281	250	[41]
H <sub>2</sub> /CO <sub>2</sub>	4.5	3.6	[42]
CO <sub>2</sub> /CH <sub>4</sub>	32-35	10-15	[40]
CO <sub>2</sub> /N <sub>2</sub>	38	35	[43]
Propylene/propane	31	18	[44]

# 4. Calculations of Gas Recovery and Product Purity

In literature, the membrane performance is described by permeability and selectivity and these parameters are advantageous in comparing the membrane with other reported data. Furthermore, permeability and selectivity are useful for membrane modeling and upscaling. However, to evaluate the mem-

brane system with other gas-separation technologies such as amine scrubber and pressure swing adsorption (PSA), universal terms are preferred such as gas recovery and product purity. The following equation can be used then to calculate the gas recovery (R):

$$R(\%) = \frac{(V_P)_A}{(V_F)_A} \times 100 \tag{14}$$

where  $(V_P)_A$  is the volume flowrate of gas a in the permeate and  $(V_F)_A$  is the volume flowrate of the gas a in the feed. Normally, a commercial membrane is expected to have a recovery of 70 to 99%, depending on the separated gas [45]. For the product purity, mixed-gas experiments should be conducted and GC will determine the mol% of component a in the permeate. It should be noted

that the gas recovery and product purity greatly depend on the operating conditions such as feed composition, feed flowrate, pressure, temperature, and membrane area.

Product purity of a binary system can be still estimated from the data of ideal selectivity [46]. Mole balance across the membrane is applied by the following equation (neglecting accumulation):

$$x_F n_F = y_P n_P + x_R n_R \tag{15}$$

where n is the number of moles,  $x_F$  is the mole fraction of component a in the feed,  $x_R$  is the mole fraction in the retentate, and  $y_P$  is

the mole fraction in the product. Equation 15 can be rewritten as:

$$y_P n_P = x_F n_F - x_R n_R = QA(\overline{xP_F - yP_P})$$
 (16)

where Q is the permeance, A is the membrane area, and the last term is the trans-membrane pressure difference.  $P_F$  is the feed pressure while  $P_P$  is the permeate pressure. The trans-

membrane pressure difference can be simplified to (assuming complete mixing, neglecting radial gradients, constant pressures, and no mass-transfer resistance):

$$(\overline{xP_F - yP_P}) \cong x_F P_F - y_p P_P \tag{17}$$

The flux of component a  $(J_A)$  can be calculated by combining equations 16 and 17:

$$J_A = Q_A(x_F P_F - y_p P_P) \tag{18}$$

where  $Q_A$ ,  $x_F$  and  $y_P$  are properties of component a. For component b, the flux is:

$$J_B = Q_B [(1 - x_F)P_F - (1 - y_p)P_P]$$
(19)

The ratio of absolute pressures (*R*) is defined as:

$$R = \frac{P_P}{P_F} \tag{20}$$

Now, equations 18 and 19 can be rewritten as:

$$J_A = Q_A P_F (x_F - y_p R) \tag{21}$$

$$J_B = Q_B P_F [(1 - x_F) - (1 - y_p)R]$$
 (22)

The mole fraction of component a in the permeate can be estimated by:

$$y_p = \frac{J_A}{J_A + J_B} = \frac{Q_A P_F(x_F - y_p R)}{Q_A P_F(x_F - y_p R) + Q_B P_F[(1 - x_F) - (1 - y_p)R]}$$
(23)

Use of ideal selectivity in equation 11 but in terms of permeances gives:

$$\alpha = \frac{Q_A}{Q_B} \tag{24}$$

Applying the previous equation in equation 23 leads to:

$$y_p = \frac{J_A}{J_A + J_B} = \frac{(x_F - y_p R)}{(x_F - y_p R) + \frac{[(1 - x_F) - (1 - y_p)R]}{\alpha}}$$
(25)

The above equation can be rearranged resulting in a quadratic equation:

$$(\alpha - 1)y_p^2 + \left[1 - \alpha - \frac{1}{R} - \frac{x_F(\alpha - 1)}{R}\right]y_p + \frac{\alpha x_F}{R} = 0$$

$$ay_p^2 + by_p + c = 0$$
(26)

The solution for equation 27 is:

$$y_p = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$
 (28)

So, to calculate the product purity for a binary system, the following data are needed: feed

and permeate pressures, feed composition, and ideal selectivity value.

#### 5. Conclusions

The membrane is an energy-efficient technology for gas separation. It requires minimum supervision and has a long operating life. The membrane performance is determined by measuring the gas permeability and selectivity. However, the data can change significantly based on the experimental setup. Permeability is defined as the product of solubility and diffusivity, and it can be calculated based on measuring the flowrate of the permeate. The user should define the operating conditions before performing the experiment, which generally are: feed flowrate of 0.1-1 cm<sup>3</sup> s<sup>-1</sup>, temperature of 25-35°C, pressure of 3-10 bar, membrane effective area of 10-15 cm<sup>2</sup>, and membrane thickness of 10 -300 µm. There are mainly three ways to measure the permeate flowrate: bubble flowmeter, mass flowmeter, and timelag method. The bubble flowmeter provides a low-cost solution for flowrates ranging from

0.1 to 1000 cm<sup>3</sup> s<sup>-1</sup>. The mass flowmeters are generally more accurate than the bubble flowmeters with a wider flow range. The time-lag method is useful for low flowrates. and it can be also used to determine the solubility and diffusion coefficients. The membrane should be tested for two or more gases to calculate the selectivity. If the gases were fed separately, this would give the ideal selectivity. However, for commercial applications, the mixed gas should be fed directly to the membrane and a gas chromatograph has to be used to determine the composition of the permeate. After that, the real selectivity can be stated. The real selectivity could vary significantly from the ideal selectivity, and this would seriously affect the membrane performance. To evaluate the membrane unit with other separation techniques, common terms are usually preferred such as gas recovery and product purity.

# 6. Funding

This research received no external funding.

#### 7. Conflict of Interest

The authors declare no conflict of interest.

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## Statistical Analysis Using Factorial Design Experiments for Developing Cellulose Acetate (CA) Hollow-fine-fibre Membranes

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**Abstract:** Statistical analysis was used to generate a fabrication formulation for producing cellulose acetate hollow-fine-fibre membranes for brackish water desalination with improved salt retention and flux. A three-level three-factor factorial was used to the study of the effect of spinning parameters (solvent to non-solvent ratio, bore fluid ratio and air gap distance). A regression equation was successfully established and was used to predictably produce membranes with good performance within the limits of the factors studied. RO performance of these hollow-fine-fibre membranes was good: the salt retention ranged from 96 to 98% and the permeate flux ranged from 60 to 64 L/m<sup>2</sup>.d.

**Key Words:** Hollow-fine fibre membrane, cellulose acetate, factorial design experiment, analysis of variance

#### 1. Introduction

The development of hollow-fibre membranes during the 1970s helped reverse osmosis (RO) technology advance even further. Since the early development of RO membranes for sea water desalination, hollow-fibre membranes have been crucial to membrane sep-

aration technology. This is mainly because of their large surface area, which typically exceeds other membrane module configurations (104 m<sup>2</sup>/m<sup>3</sup> per unit volume). They are also independent (there is no need for supporting material). To be able to endure

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high operating pressure for RO applications without collapsing, hollow-fibre membranes for RO desalination are typically of tiny fibre size in the range of  $50 - 300 \mu m$  outer diameter [1].

The fibers are typically referred to as hollow-fine-fibers when their diameter falls between 50 and 500 µm. They can tolerate intense feed pressure (20 bar or higher) applied from the outside [2]. They are suitable for RO or high-pressure gas separation due to this characteristic. Hollow-fibers are frequently utilized for microfiltration (MF) or ultrafiltration (UF), which do not require significant operating pressure [3].

For the preparation of hollow-fiber membranes, the dry-wet spinning method is frequently used. This spinning process can be employed to obtain almost every known membrane morphology by controlling the phase separation processes that take place.

2. Experimental Design

Based on literature and preliminary results also useful for investigating of

Based on literature and preliminary results obtained in previous work of the authors [1], three important factors which affect the fabrication process were considered: solvent/non-solvent ratio, air gap distance and bore fluid composition. Each factor will be studied at three levels, and a 3<sup>3</sup> full factorial design was selected to achieve this goal because it consists of all possible combinations of the levels for all factors. It is

According to Tawari and Brika, 2018, three spinning parameters including solvent/nonsolvent ratio, bore fluid composition and air gap distane may have an effect on the fibre morphology [1]. In order to investigate the effects of the spinning parameters (factors) on the fabrication process, factorial design was performed. Factorial design and the associated analysis of variance are useful tools to characterize processes which are influenced by a number of factors. The methods allow the determination statistically important factors and enable the experimenter to study the joint effect of the factors on the response [4]. In this way, a regression model for each of the measured can be generated. In this study, a 3<sup>3</sup>-factorial design [3] is used to identify which factors and their interactions have the most important effect of the performance of CA hollow-fine-fibre membrane for brackish water desalination.

also useful for investigating quadratic effects, which is not possible with 2 level design. The responses of interest are (flux) and (retention). The list of factors and their chosen levels for the experiment are shown in Table 1. The hollow-fine-fibre membrane performance was determined using an applied pressure of 20 bar and a feed solution of 2,000 ppm (NaCl).

Table 1. Factors and Levels for 3<sup>3</sup> Levels Factorial Design

Label	Factors	Low level	Mid level	High level
		-1	0	1
Α	Solvent/Non-solvent mass ratio (m/m)	0.847	1.147	1.447
В	Air gap distance (mm)	40	80	120
С	Bore fluid ratio Acetone/water (m/m)	50% acetone 50% water (1)	60% acetone 40% water (1.5)	70% acetone 30% water (2.3)

Each trial was replicated twice since replication permits more degrees of freedom in the estimation of error variance and provides the means to determine variability between treatments and that due to random variation. The Design-Expert Software 7.1 was used to analyze the experimental data. The experimental results of the 3<sup>3</sup>-factorial design are shown in standard order in Table 2.

Table 2. Design Data of the Experiments and Their Replication with Response Values

(m/m)         (mm)         (m/m)         (c           1         0         0         0         97           2         0         0         1         94           3         0         -1         -1         96           4         0         0         1         94           5         1         0         -1         95           6         -1         1         1         -1         96           8         -1         1         0         97         9         9         0         1         1         96         97         9         0         1         1         96         97         97         97         1         1         1         96         97         <	Setention         Flux (L/m².d)           7.5         62.4           4.5         50           6.3         53.4           4.0         49.0           5.0         51.2           5.8         55.6           6.3         53.4           7.0         62.3           5.0         53.0           6.4         50.2           2.4         41           5.4         53.5           6.7         58.0           3.4         42.0           6.7         55.6
1         0         0         97           2         0         0         1         94           3         0         -1         -1         96           4         0         0         1         92           5         1         0         -1         98           6         -1         1         -1         98           7         -1         1         0         97           8         -1         1         0         97           9         0         1         1         98           10         -1         0         97         98           11         1         0         97         99           10         -1         1         1         98           10         -1         1         98         99         90         1         1         1         99           11         1         1         1         99         90         90         90         90         90         90         90         90         90         90         90         90         90         90         90         90         90	7.5         62.4           4.5         50           6.3         53.4           4.0         49.0           5.0         51.2           5.8         55.6           6.3         53.4           7.0         62.3           5.0         53.0           6.4         50.2           2.4         41           5.4         53.5           6.7         58.0           3.4         42.0           6.7         55.6
2         0         0         1         94           3         0         -1         -1         96           4         0         0         1         92           5         1         0         -1         98           6         -1         1         -1         98           7         -1         1         0         97           8         -1         1         0         97           9         0         1         1         1         98           10         -1         0         -1         96         1         98         1         1         1         98         1         1         1         99         1         1         1         99         1         1         1         99         1         1         1         99         1         1         1         99         1         1         1         1         99         1         1         1         1         99         1         1         1         1         1         1         99         1         1         1         1         1         1         1         1 <td< td=""><td>4.5     50       6.3     53.4       4.0     49.0       5.0     51.2       5.8     55.6       6.3     53.4       7.0     62.3       5.0     53.0       6.4     50.2       2.4     41       5.4     53.5       6.7     58.0       3.4     42.0       6.7     55.6</td></td<>	4.5     50       6.3     53.4       4.0     49.0       5.0     51.2       5.8     55.6       6.3     53.4       7.0     62.3       5.0     53.0       6.4     50.2       2.4     41       5.4     53.5       6.7     58.0       3.4     42.0       6.7     55.6
3       0       -1       -1       96         4       0       0       1       92         5       1       0       -1       96         6       -1       1       -1       96         7       -1       1       -1       96         8       -1       1       0       97         9       0       1       1       96         10       -1       0       97       96         11       1       1       1       96         10       -1       1       96       97         11       1       1       1       96         10       -1       1       97       99       9	6.3     53.4       4.0     49.0       5.0     51.2       5.8     55.6       6.3     53.4       7.0     62.3       5.0     53.0       6.4     50.2       2.4     41       5.4     53.5       6.7     58.0       3.4     42.0       6.7     55.6
4         0         0         1         94           5         1         0         -1         95           6         -1         1         1         -1         95           7         -1         1         1         -1         96           8         -1         1         0         97         9         0         1         1         95         1         1         95         1         1         1         95         1         1         1         95         1         1         1         95         1         1         1         95         1         1         1         95         1         1         1         95         1	4.0     49.0       5.0     51.2       5.8     55.6       6.3     53.4       7.0     62.3       5.0     53.0       6.4     50.2       2.4     41       5.4     53.5       6.7     58.0       3.4     42.0       6.7     55.6
5         1         0         -1         99           6         -1         1         -1         99           7         -1         1         0         97           8         -1         1         0         97           9         0         1         1         99           10         -1         0         -1         96           11         1         -1         1         99           11         1         -1         1         99           10         -1         1         1         99           11         1         1         1         99           11         1         1         1         99           12         1         1         1         1         99           13         -1         0         0         90         90           14         1         1         1         1         90           15         1         1         1         1         90         90           16         1         1         1         -1         90         90         90         90         90<	5.0     51.2       5.8     55.6       6.3     53.4       7.0     62.3       5.0     53.0       6.4     50.2       2.4     41       5.4     53.5       6.7     58.0       3.4     42.0       6.7     55.6
6         -1         1         -1         98           7         -1         1         -1         96           8         -1         1         0         97           9         0         1         1         98           10         -1         0         -1         96           11         1         -1         1         96           11         1         -1         1         92           12         1         1         -1         96           13         -1         0         0         96           14         1         -1         1         93           15         1         1         0         96           16         1         1         -1         99           17         0         -1         -1         99           18         -1         -1         -1         99           20         1         1         1         0         96           21         0         1         0         96           22         0         1         -1         -1         96           <	5.8     55.6       6.3     53.4       7.0     62.3       5.0     53.0       6.4     50.2       2.4     41       5.4     53.5       6.7     58.0       3.4     42.0       6.7     55.6
7         -1         1         -1         96           8         -1         1         0         97           9         0         1         1         1         98           10         -1         0         -1         96         1         1         1         99         1         1         1         99         1         1         1         99         1         1         1         1         99         1         1         1         1         1         1         99         1	6.3     53.4       7.0     62.3       5.0     53.0       6.4     50.2       2.4     41       5.4     53.5       6.7     58.0       3.4     42.0       6.7     55.6
8         -1         1         0         97           9         0         1         1         95           10         -1         0         -1         96           11         1         -1         1         92           12         1         1         -1         95           13         -1         0         0         96           14         1         -1         1         93           15         1         1         0         96           16         1         1         -1         95           17         0         -1         -1         95           18         -1         -1         -1         95           19         1         1         1         1         96           20         1         -1         0         96         96           21         0         1         0         96           22         0         1         -1         0         96           23         1         1         -1         -1         95           24         1         -1         -1	7.0     62.3       5.0     53.0       6.4     50.2       2.4     41       5.4     53.5       6.7     58.0       3.4     42.0       6.7     55.6
9         0         1         1         99           10         -1         0         -1         96           11         1         -1         1         99           12         1         1         -1         99           13         -1         0         0         96           14         1         -1         1         99           15         1         1         0         96           16         1         1         -1         99           17         0         -1         -1         99           18         -1         -1         -1         99           19         1         1         1         99           20         1         -1         0         96           21         0         1         0         96           22         0         1         0         96           23         1         1         0         96           24         1         -1         -1         -1         96	5.0     53.0       6.4     50.2       2.4     41       5.4     53.5       6.7     58.0       3.4     42.0       6.7     55.6
10         -1         0         -1         96           11         1         -1         1         92           12         1         1         -1         95           13         -1         0         0         96           14         1         -1         1         93           15         1         1         0         96           16         1         1         -1         95           17         0         -1         -1         97           18         -1         -1         -1         95           19         1         1         1         1         96           20         1         -1         0         96           21         0         1         0         96           22         0         1         0         96           23         1         1         0         96           24         1         -1         -1         -1         96	6.4     50.2       2.4     41       5.4     53.5       6.7     58.0       3.4     42.0       6.7     55.6
11     1     -1     1     92       12     1     1     -1     95       13     -1     0     0     96       14     1     -1     1     93       15     1     1     0     96       16     1     1     -1     95       17     0     -1     -1     97       18     -1     -1     -1     95       19     1     1     1     1     96       20     1     -1     0     96       21     0     1     0     96       22     0     1     0     96       23     1     1     0     96       24     1     -1     -1     96	2.4     41       5.4     53.5       6.7     58.0       3.4     42.0       6.7     55.6
12     1     1     -1     95       13     -1     0     0     96       14     1     -1     1     93       15     1     1     0     96       16     1     1     -1     95       17     0     -1     -1     -1     97       18     -1     -1     -1     -1     95       19     1     1     1     1     96       20     1     -1     0     96       21     0     1     0     96       22     0     1     0     96       23     1     1     0     96       24     1     -1     -1     -1     95	5.4     53.5       6.7     58.0       3.4     42.0       6.7     55.6
13     -1     0     0     96       14     1     -1     1     93       15     1     1     0     96       16     1     1     -1     95       17     0     -1     -1     -1     97       18     -1     -1     -1     -1     95       19     1     1     1     1     92       20     1     -1     0     96       21     0     1     0     98       22     0     1     -1     97       23     1     1     0     96       24     1     -1     -1     95	6.7 58.0 3.4 42.0 6.7 55.6
14     1     -1     1     93       15     1     1     0     96       16     1     1     -1     95       17     0     -1     -1     -1     97       18     -1     -1     -1     -1     95       19     1     1     1     1     92       20     1     -1     0     96       21     0     1     0     98       22     0     1     -1     97       23     1     1     0     96       24     1     -1     -1     95	3.4 42.0 6.7 55.6
15     1     1     0     96       16     1     1     -1     95       17     0     -1     -1     97       18     -1     -1     -1     95       19     1     1     1     1     92       20     1     -1     0     96       21     0     1     0     98       22     0     1     -1     97       23     1     1     0     96       24     1     -1     -1     95	6.7 55.6
16     1     1     -1     95       17     0     -1     -1     97       18     -1     -1     -1     -1     95       19     1     1     1     1     92       20     1     -1     0     96       21     0     1     0     98       22     0     1     -1     97       23     1     1     0     96       24     1     -1     -1     95	
17     0     -1     -1     97       18     -1     -1     -1     99       19     1     1     1     1     94       20     1     -1     0     96       21     0     1     0     98       22     0     1     -1     97       23     1     1     0     96       24     1     -1     -1     95	5.3 55.7
18     -1     -1     -1     95       19     1     1     1     92       20     1     -1     0     96       21     0     1     0     96       22     0     1     -1     97       23     1     1     0     96       24     1     -1     -1     95	7.5 55.7
20     1     -1     0     96       21     0     1     0     98       22     0     1     -1     97       23     1     1     0     96       24     1     -1     -1     95	5.7 49.4
20     1     -1     0     96       21     0     1     0     98       22     0     1     -1     97       23     1     1     0     96       24     1     -1     -1     95	4.5 45
22     0     1     -1     97       23     1     1     0     96       24     1     -1     -1     95	6.0 53.4
23     1     1     0     96       24     1     -1     -1     95	8.5 64.6
24 1 -1 -1 95	7.6 55.7
	6.0 60.0
05 1 0	5.6 51.2
	4.2 42.4
	6.7 55.6
	4.0 48.0
	6.0 53.4
	4.4 48.0
	7.2 58.0
	6.6 60.0
	5.0 43.6
	7.5 58.0
	4.9 51.2
	6.8 51.2
	4.7 52.3
	6.0 49.0 4.0 44.0
	7.4 61.5
39   0   -1   0   97     40   1   0   0   98	5.8 53.4
	7.6 62.3
	5.2 53.0
	4.0 47.0
	6.3 51.2
	3.7 47.0
	4.0 49.0
	6.3 49.3
	6.4 48.7
	6.8 59.3
	7.2 60.0
	2.0 42.0
	3.5 44.0
53 -1 1 0 97	
54 -1 1 1 94	1.2

#### 3. Results and Discussion

The salt retention and permeate flux responses for each experimental trial are shown in Table 2. These results were statistically analyzed using analysis of

variance (ANOVA) to study the joint effect of each factor and their interactions on the membrane performance (retention and flux).

#### **Analysis of Variance (ANOVA)**

This method was first developed by Fisher in 1930 [5]. ANOVA is a statistical method used to evaluate which of the factors studied significantly affects the responses over the range studied [6]. The relative importance of each factor and factor-factor interaction can

be ranked in terms of their effect on the process output. Thus, the information about how significant the effect of each factor on the experimental results can be concluded from ANOVA. Tables 3 and 4 summarize the ANOVA of the three factors studied.

Table 3. Analysis of Variance of the Regression Model for Retention

Source	Sum of squares	df	Mean square	F-Value	Prob > F	
Model	98.44	9	10.94	51.34	< 0.0001	significant
Α	1.93	1	1.93	9.04	0.0044	significant
В	4.97	1	4.97	23.32	< 0.0001	significant
С	44.22	1	44.22	207.55	< 0.0001	significant
AB	0.00	1	0.0017	0.0078	0.9299	not significant
AC	1.29	1	1.29	6.06	0.0178	significant
ВС	2.21	1	2.21	10.39	0.0024	significant
$A^2$	13.09	1	13.09	61.44	< 0.0001	significant
$B^2$	0.13	1	0.13	0.63	0.4325	not significant
$C^2$	21.39	1	21.39	100.41	< 0.0001	significant
Residual	9.37	44	0.21			
Lack of fit	3.56	17	0.21	0.97	0.51	not significant
Pure error	5.81	27	0.22			
Correct Total	107.81	53				
Standard deviation	0.46		R-squared <i>R</i> <sup>2</sup>	0.91		
Mean	95.65		Adjusted R-squared	0.90		
Coefficient of variation C. V. %	0.48		Predicted R-squared	0.86		
PRESS	15.18		Adequate precision	23.88		

Table 4. Analysis of Variance of the Regression Model for Flux

Source	Sum of squares	df	Mean square	F-value	Prob > F	
Model	1732.21	9	192.47	49.13	< 0.0001	significant
Α	29.66	1	29.66	7.57	0.0086	significant
В	138.98	1	138.98	35.47	< 0.0001	significant
С	355.32	1	355.32	90.69	< 0.0001	significant
AB	2.16	1	2.16	0.55	0.4617	not significant
AC	23.55	1	23.55	6.01	0.0183	significant
ВС	0.19	1	0.19	0.05	0.828	not significant
$A^2$	318.61	1	318.61	81.32	< 0.0001	significant
$B^2$	10.58	1	10.58	2.70	0.1075	not significant
$C^2$	704.12	1	704.12	179.72	< 0.0001	significant
Residual	172.39	44	3.92			
Lack of fit	43.61	17	2.57	0.54	0. 9074	not significant
Pure error	128.78	27	4.77			
Correct total	1904.60	53				
Standard deviation	1.98		R-squared R <sup>2</sup>	0.91		
Mean	52.48		Adjusted R-squared	0.89		
Coefficient of variation C. V. %	3.77		Predicted R-squared	0.87		
PRESS	251.84		Adequate precision	26.24		

#### **Checking the Adequacy of Both Regression Models**

In Tables 3, 4, the "Model F-values" are calculated from a model mean square divided by residual mean square; the residuals are defined as the differences between the experimental data and the predicted values for each point in the design. The Model F-value is the test for comparing model variance with residual (error) variance. If the variances are close to the same, the ratio will be close to one and it is less likely that any of the factors have a significant effect on the response. Similarly, an "F-value" for any individual factor terms is calculated from a term mean square divided by a residual mean square. It is a test that compares a term

variance with a residual variance. If the variances are close to the same, the ratio will be close to one and it is less likely that the term has a significant effect on the response. In Table 3, a "Model F-values" of 51.34 with a "Model F-values" 49.13 in Table 4 imply that the selected models are significant and there is only a 0.01% chance that a "Model F-values" this large could occur due to noise. Prob > F represents the probability of seeing the observed F value if the null hypothesis is true (there is no factor effect). Small probability values call for a rejection of the null hypothesis. The probability equals the proportion of the area under the curve of the

F-distribution (with 9 and 27 degree of freedom) that lies beyond the observed Fvalue. Furthermore, the P-value is the probability that the test statistic will take on a value that is at least as extreme as the observed value of the statistic when the null hypothesis is true. Thus, a P-value conveys much information about the weight of evidence against null hypothesis, and so a decision maker can draw a conclusion at any specified level of significance. More formally, we define the P-value as the smallest level of significance that would lead to the rejection of the null hypothesis [7,8]. In other words, if the Prob > F value is very small (less than 0.05), then the terms in the model have a significant effect on the response, providing at least 95% confidence for results. If the Prob > F value is greater than 0.1 then this is an indication that the model terms are not significant. The "lack-offit F-values" for both models implies that the lack of fit is not significantly related to pure error. These values of lack of fit are desirable as we want to know how well the models fit the experimental data.

"R-squared", or more formally the coefficient of multiple determination, is defined as the sum of squares for the model divided by the total corrected sum of squares and indicates the proportion of the variability in the data explained by the analysis of variance model [9]. The R<sup>2</sup> values of models were calculated to be 0.91 in both instances, indicating that only 9% of the total variation was not explained. Thus, the models were able to explain about 91% of the variability in salt retention and permeate flux data. The closer the value of R<sup>2</sup> is to unity, the better is

the correlation between the observed and predicted values [10]. In this study, the predicted R<sup>2</sup> of 0.86 and 0.87 are in reasonable agreement with the adjusted R-squared of 0.90 and 0.89 of both models. Adequate precision measures the signal to noise ratio. A ratio greater than 4 is desirable. Adequate precisions of 23.88 and 26.24 for both models indicate adequate model discrimination [4]. The coefficient of variation (CV) for the retention and flux were calculated to be 0.48 and 3.77%. The CV, the ratio of the standard error of estimate to the mean value of the observed response (as a percentage), is a measure of reproducibility of the model and, as a general rule, a model can be considered reasonably reproducible if its CV is not greater than 10% [11,12]. The predicted sum of squares (PRESS), which is a measure of how a particular model fits each point in the design, was 15.18 and 251.84. According to Table 3, the main factors of A, B, C, the interaction of AC, BC, and the second orders of A2, C2 are significant model terms. In Table 4, the main factors of A, B, C, the interaction of AC and the second orders of A2, C2 are significant model terms. The other factors are less significant but cannot be neglected due to their little influence on responses as well.

The results of each of these overall responses are included in the analysis procedure and an equation that describes the influence of the factors on the overall responses was found. The following equations are the final regression models in terms of the actual and coded factors. Table 5 tabulates the differences between the actual and predicted response values according to the equations.

Salt retention (coded) = 
$$97.16 - 0.23 \times A + 0.37 \times B - 1.11 \times C + 0.00838 \times A \times B + 0.23 \times A \times C + 0.30 \times B \times C - 1.04 \times A2 + 0.11 \times B2 - 1.42 \times C2$$
 (1)

Flux (coded) = 
$$+60.63 - 0.91 \times A + 1.97 \times B - 3.14 \times C - 0.30 \times A \times B - 0.98 \times A \times C + (2) + 0.088 \times B \times C - 5.15 \times A2 + 0.94 \times B2 - 8.16 \times C2$$

Table 5. Comparison of the Actual and Predicted Responses of Retention and Flux

Trials	Actual retention	Predicted retention	Residual	Actual flux	Predicted flux	Residual
1	97.5	97.1	0.34	62.4	60.6	1.77
2	94.5	94.6	-0.13	50.0	49.3	0.67
3	96.3	96.8	-0.58	53.4	54.6	-1.26
4	94.0	94.6	-0.63	49.0	49.3	-0.33
5	95.0	95.3	-0.34	51.2	49.5	1.66
6	95.8	96.4	-0.64	55.6	55.4	0.13
7	96.3	96.4	-0.14	53.4	55.4	-2.07
8	97.0	96.8	0.18	62.3	60.5	1.72
9	95.0	95.4	-0.41	53.0	52.3	0.67
10	96.4	96.2	0.13	50.2	51.3	-1.17
11	92.4	93.0	-0.61	41.0	43.4	-2.42
12	95.4	95.5	-0.13	53.5	51.0	2.41
13	96.7	96.3	0.35	58.0	56.3	1.61
14	93.4	93.0	0.39	42.0	43.4	-1.42
15	96.7	96.3	0.33	55.6	56.2	-0.60
16	95.3	95.5	-0.23	55.7	51.0	4.61
17	97.5	96.8	0.62	55.7	54.6	1.04
18	95.7	96.3	-0.61	49.4	49.1	0.26
19	94.5	94.3	0.13	45.0	44.9	0.02
20	96.0	95.6	0.39	53.4	54.8	-1.41
21	98.2	97.6	0.86	64.6	63.5	1.06
22	97.6	97.0	0.57	55.7	58.4	-2.73
23	96.0	96.3	-0.37	60.0	56.2	3.80
24	95.6	95.3	0.23	51.2	49.8	1.32
25	94.2	93.5	0.62	42.4	43.2	-0.86
26	96.7	96.3	0.35	55.6	56.3	-0.79
27	94.0	94.3	-0.36	48.0	49.3	-1.37
28	96.0	95.8	0.11	53.4	54.5	-1.17
29	94.4	94.0	0.34	48.0	48.2	-0.20
30	97.2	96.8	0.35	58.0	55.6	2.39
31	96.6	96.0	0.51	60.0	54.0	5.93
32	95.0	94.3	0.63	43.6	44.9	-1.38
33	97.5	97.0	0.47	58.0	58.4	-0.43
34	94.9	95.3	-0.44	51.2	49.5	1.66
35	96.8	96.8	-0.05	51.2	55.6	-4.41
36	94.7	95.4	-0.71	52.3	52.3	-0.03
37	96.0	95.3	0.63	49.0	49.8	-0.88
38	94.0	93.0	0.97	44.0	42.8	1.32
39	97.4	96.8	0.51	61.5	59.6	1.90
40	95.8	95.8 07.6	-0.09	53.4	54.5	-1.17
41 42	97.6 95.2	97.6 95.6	-0.04	62.3 53.0	63.5	-1.24
42			-0.41		54.8 45.0	-1.81
43	94.0 96.3	93.5 96.0	0.41 0.21	47.0 51.2	45.0 54.0	1.92 -2.87
44	93.7	93.5	0.21	47.0	45.0	1.92
45	93. <i>1</i> 94	93.5	-0.06	47.0	45.0 48.2	0.80
46	96.3	94.0 96.2	0.03	49.0	51.3	-2.07
48	96.4	96.3	0.03	49.3	49.1	-2.07
49	96.8	96.8	-0.09	59.3	59.6	-0.44
50	97.2	96.6 97.1	0.09	60.0	60.6	-0.63
51	92.0	93.0	-1.03	42.0	42.8	-0.68
52	93.5	93.5		44.0	43.2	0.74
53	93.5 97.2	96.8	-0.08 0.38	57.0	60.5	-3.58
53	97.2 94.8	96.8	0.38	57.0	49.3	0.63
54	94.0	<del>94</del> .3	U. <del>44</del>	50.0	49.3	0.03

In order to check data for normality, even when there is fairly small number of observations, it is best to construct normal probability plots of the residuals. Here "residual" means the difference in the observed values (obtained from the experiments) and the predicted value or fitted values. The normal probability plot shows the residuals plotted against a cumulative normal percentile derived from the normal probability distribution for the ranking location of the residuals. This provides a visual method to illustrate if the residuals are actually normally distributed. If the residuals fall

approximately along a straight line, the residuals are then normally distributed. In contrast, if the residuals do not fall fairly close to a straight line, the residuals are then not normally distributed and hence the data do not come from a normal population. In Table 5, the residuals are ranked in ascending order from the lowest to highest in order to plot the normal probability plot and their cumulative probability points are calculated Pk = (K - 0.5)/n, where K is the sequence number from 1 to n and n is the number of entries in the list.

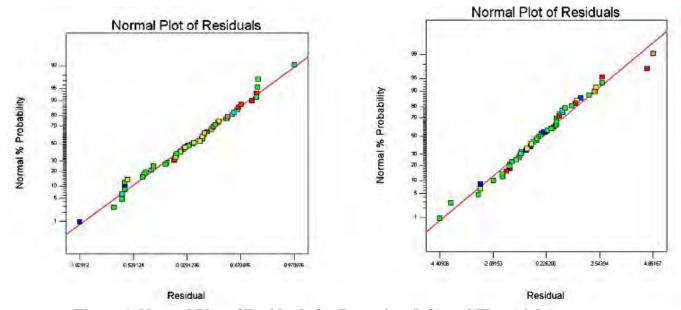


Figure 1. Normal Plot of Residuals for Retention (left) and Flux (right)

Figure 1 shows the normal probability plots of the residuals. There is no indication of nonnormality, nor is there any evidence pointing to possible outliers. It can be concluded that the normal distribution provides an excellent model for the data.

The next residual plot which we examine was the plot of residuals versus the predicted values in Figure 2. The plot of the residuals versus the ascending predicted response values indicated that there is no expanding variance phenomenon.

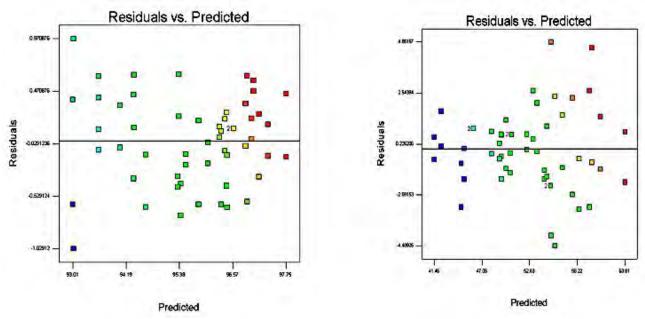


Figure 2. Plots of Residuals Verses Predicted Response Values for Retention (right) and Flux (left)

The residual values seem to be randomly scattered above and below zero over the range of the data and do not indicate any problems with the model. The reference line at 0 emphasizes that the residuals are split about 50-50 between positive and negative. There are no systematic patterns or unusual structures apparent in this plot. Plots in which the residuals do not exhibit any systematic

structure indicate that the model fits the data well. In contrast, plots of the residuals that exhibit systematic structure indicate that the form of the function can be improved in some way [1]. Therefore, Figure 2 indicates that the model fits and there is no reason to suspect any violation of the independence or constant variance assumption.

#### Effect of Factors and Interactions on the Performance of CA Hollow-fine-fibre Membranes

The 3D response surface plots described by the regression models and these graphs were drawn to illustrate the effect of the independent factors and the interaction effects on the response variables. These graphs, in accordance with the regression model fitted,

imply that the interaction between the two factors were significant. Figures 3 and 4 depict the effect of solvent/non-solvent and bore fluid ratio on both membrane retention and flux.

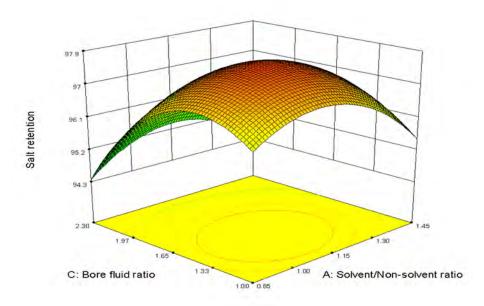


Figure 3. Effect of Solvent/Non-solvent and Bore Fluid Ratio on Salt Retention

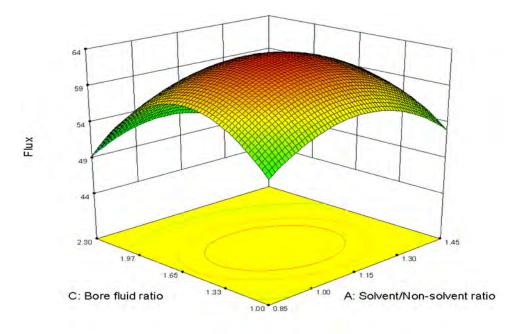


Figure 4. Effect of Solvent/Non-solvent and Bore Fluid Ratio on Water Flux

The retention and flux, obtained from earlier experiments, support the findings from the analysis of variance, which shows that solvent/non-solvent and bore fluid ratio are important factors that affect the membrane per-

formance. The retention and flux plots show an improvement in salt retention and water flux as the solvent/non-solvent ratio in the solution was decreased from 1.447 to 1.147 (factor A) while decreasing the solvent concentration (factor c) in the bore fluid. The decrease in solvent/non-solvent ratio will result in a high formamide ratio in the spinning solution, which will shift the composition path of the spinning solution in the direction of the liquid-liquid demixing gap and as a result, a porous membrane will be produced. The decrease of acetone in the bore fluid from 70 to 60 and 50% (m/m) will improve the flux and retention of the produced hollow-fine fibres. High solvent concentration in the bore fluid and dope solution produced a very thick dense layer when the extruded fibres undergo rapid phase separation from both sides. The formation of

an impermeable skin is due to the high gelation of supersaturated top layer of the spinning solution. Lowering the solvent concentration by increasing the non-solvent content in both bore fluid and the spinning solution will slow down and control the two different processes of gelation and the phase separation process and hence, more porous hollow-fine fibres could be produced with improved flux and retention.

Figures 5 and 6 illustrate the interaction plot between solvent/non-solvent ratio and air gap distance on both retention and flux.

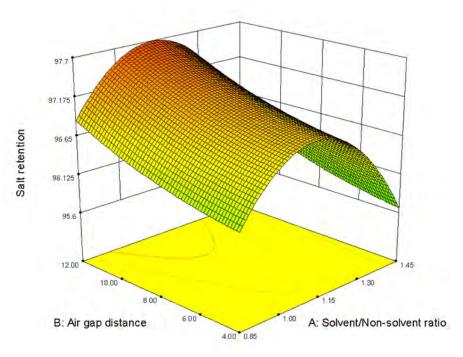


Figure 5. Response Surface Plot of the Effects of Solvent/Non-solvent Ratio and Air Gap Distance on Salt Retention of CA Hollow-fine-fibre Membranes

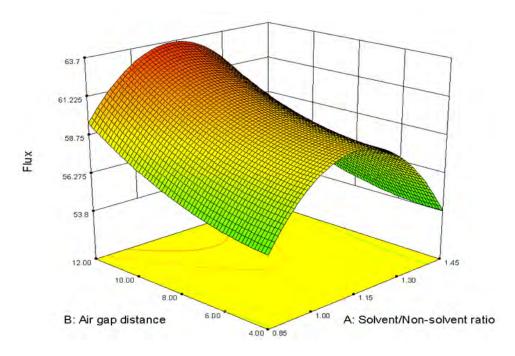


Figure 6. Response Surface Plot of the Effects of Solvent/Non-solvent Ratio and Air Gap Distance on Water Flux of CA Hollow-fine-fibre Membranes

It is clear that both flux and retention were increased with decreasing the solvent/nonsolvent ratio and increasing the air gap distance to 120 mm. It was stated that the air gap is responsible for the formation of a thin skin on the outside of the fibre and the bulk of membrane structure is formed in the coagulation bath. Then increasing the non-solvent content in the spinning solution with 12 cm air gap distance will produce very thin skin layer with more pores in it. As the composition bath of the spinning solution will become close to the liquid-liquid demixing state with high non-solvent content, the spinodal outer layer should result in a microporous skin layer. Fibres spun with different air gap distances experience elongational stress with higher take-up speeds that induce molecular orientation and cause polymer molecules to pack more closely to one another. For a small air gap distance 4 cm, there was too little time for orientation and with a high take-up speed leading to a much tighter structure resulted. With an air gap of 12 cm the orientation will have small relaxation time before entering the gelation bath, leading to a less dense outer skin and subsequently high flux and retention.

Figure 7 and 8 illustrate the interaction plot between the air gap distances and bore fluid ratio on both retention and flux.

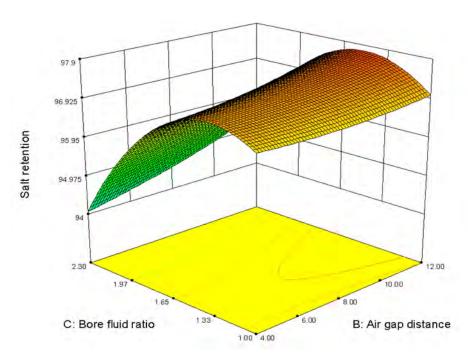


Figure 7. Response Surface Plot of the Effects of Air Gap Distance and Bore Fluid Ratio on Salt Retention of CA Hollow-fine-fibre Membranes

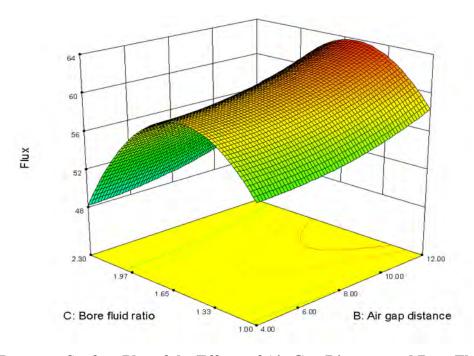


Figure 8. Response Surface Plot of the Effects of Air Gap Distance and Bore Fluid Ratio on Flux of CA Hollow-fine-fibre Membranes

It can be seen the bore fluid ratio had a large effect on flux. This can be explained that higher air gap distance results in enough time for the mass transfer at the inner surface, which means enough time for bore liquid/solvent exchange. As a result, an open

porous structure will be formed on the inner surface leading to an increase in the flux.

#### 4. Model validation

In order to verify the adequacy of the model developed, three confirmation experiments were conducted within the range of the levels studied. Each of the experiments was repeated three times from different dope solutions and the average was taken for the retention and flux of each experiment. For each of the confirmations, the responses were determined experimentally and calculated by using the regression equation. The results of these validation experiments and the model predicted values are shown in Table 6.

**Table 6. Confirmation Runs with Their Responses** 

Solvent/Non-solvent	Air gap distance	Bore fluid ratio	Retention	Flux
(m/m)	mm	(m/m)	%	L/m <sup>2</sup> .d
1	60	1.2	97.92	60.14
1	60	1.2	97.68	62.36
1	60	1.2	97.43	59.52
1.147	80	1.5	98.04	60.14
1.147	80	1.5	97.80	64.59
1.147	80	1.5	98.17	62.36
1.302	100	1.8	97.56	55.68
1.302	100	1.8	97.07	55.68
1.302	100	1.8	96.82	57.91

Test conditions: (2,000 ppm NaCl and 20 bar)

The average values are shown in Table 7 for each set together with their predicted values calculated from the regression model. The results showed that our regression model yields reasonable results for the flux and retention with small residual between predicted and actual data. Therefore, our regression equation can be expected to apply in the preparation of CA hollow-fine-fibre membranes with better performance.

Table 7. Actual and Predicted Responses of Retention and Flux for the Confirmation Runs

Solvent/Non- solvent ratio	Air gap distance	Bore fluid ratio	Actual retention	Predicted retention	Residual	Actual flux L/m <sup>2</sup> .d	Predicted flux	Residual
%(m/m)	m/m	%(m/m)	%	%		2/ 10	L/m <sup>2</sup> .d	
1	6	1.2	97.68 ± 0.25	97.15	0.66	60.67 ± 1.49	57.23	3.44
1.147	8	1.5	98.00 ± 0.19	97.34	0.53	62.36 ± 2.23	60.92	1.44
1.302	10	1.8	97.15 ± 0.38	96.56	0.58	56.42 ± 1.29	57.95	-1.52

#### 5. Conclusions

In this study, the ability of a factorial design to perform a comparative investigation of the importance of individual factors and their interactions on the membrane performance was demonstrated. It was concluded in this statistical analysis that the solvent/non-solvent ratio, bore fluid ratio, air gap distance and the interaction between solvent/non-solvent and bore fluid, air gap distance, and bore fluid ratio had a significant influence on

both the flux and retention of CA hollow-fine-fibre membranes for brackish water desalination. According to  $3^3$  factorial designs, the regression analysis showed a goodness of fit to the experimental data. Therefore, the model was considered adequate for the prediction of good membrane performance (salt retention in the range of 96 - 98% and permeate flux in the range of 60 - 64 L/m<sup>2</sup>.d.

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# Zinc and Copper Complexes of 4-Methylbenzoic Acid and 2-Methylimidazole: Synthesis, Characterization, Antimicrobial and Molecular Docking Studies

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Abstract: This study introduces two new compounds: (1) [Zn(4MBA)<sub>2</sub>(2MIm)(H<sub>2</sub>O)<sub>3</sub>].H<sub>2</sub>O and (2) [Cu(4MBA)<sub>2</sub>(2MIm)(H<sub>2</sub>O)<sub>3</sub>], with 4MBA representing 4-methylbenzoic acid and 2MIm denoting 2-methylimidazole. Synthesis of the compounds involved a 3-hour stirring at room temperature, followed by characterization through elemental analysis, molar conductance, melting point, FTIR, GC/MS, and PXRD techniques. FTIR analysis confirmed monodentate coordination of the metal ions with both the carboxylate group of 4MBA and the nitrogen atom of 2MIm in both complexes. Both complexes demonstrated heightened antibacterial effectiveness against *Staphylococcus aureus*, *Pseudomonas aeruginosa*, and *Escherichia coli* compared to the free ligands. Complex 2 showcased superior antifungal potential against all tested fungal organisms compared to complex 1 and the parent ligands. Molecular docking indicated complex 2 to have the highest binding energy of -8.6 kcal/mol, signifying superior antimicrobial attributes. In conclusion, copper-derived complex 2 could be considered a promising candidate for combatting pathogenic infections.

Key Words: Complex synthesis, pathogens, orthorhombic, cubic, molecular docking

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#### 1. Introduction

Pathogenic bacteria and fungi have emerged over time in our environment, posing a serious danger to public wellbeing [1]. Bacteria such as Shiga toxin-producing Escherichia coli. Salmonella enterica. Listeria monocytogenes and fungal pathogens such as Aspergillus niger and Aspergillus flavus have been linked to more serious illnesses, complications, and even deaths [2,3]. They are the major causes of acute health problems such as diarrhea, vomiting, nausea, stomach cramps, migraines, paralysis, and paresthesia [4]. Treatment of these infections has resulted in an increased economic burden on diverse parts of the world, especially in developing nations [2].

Despite the abundance of antimicrobial medications for treating illnesses resulting from bacterial and fungal infections, there are growing inefficacies in these treatment options due to the development of resistance caused by horizontal gene transfer as well as unregulated usage of antimicrobial drugs [5]. This has necessitated the need to improve or introduce a novel therapeutic regimen that could replace conventional drugs [6].

Recently, metal-ligand adducts have become of critical importance in the development of medication due to their therapeutic efficacy, which have been the subject of numerous studies [7,8]. The addition of metal ions to biologically active ligands has been shown in the literature to primarily improve their properties [6]. Several metal-ligand medications that primarily comprise pyrans, thiazole, imidazole, piperidines and other sulfur-, nitrogen-, or oxygen-containing heterocyclic compounds have recently been developed [9,10].

Nitrogen donor ligands, particularly imidazole derivatives, have shown to be appealing tools for coordinating metal ions in several ways. The electron-rich imidazole heterocyclics are able to build complexes with specific biological and redox functions due to their good donor properties [11]. Carboxylate ligands, such as 4-methylbenzoic acid, have also been reported in many studies such as coordination chemistry, materials chemistry, and supramolecular chemistry owing to their capacity to establish stable bonds with metal ions and display diverse coordination modes [12,13].

Figure 1. Structure Formula of 4-Methylbenzoic Acid (a) and 2-Methylimidazole (b)

In the present study, Zn (II) and Cu (II) complexes were synthesized using 4-methylbenzoic acid (Figure 1a) and a nitrogen donor heterocyclic ligand 2-methylimidazole (Figure 1b), they were structurally elucidated

and their antimicrobial potentials against pathogenic organisms were investigated with both experimental and *in-silico* molecular docking studies.

#### 2. Materials and Methods

All the reagents used were of analytical grade. The ligands were obtained from Sigma Aldrich, while the salts and solvents were obtained commercially. The compounds' IR spectra in KBr pellets were studied with a SHIMADZU FTIR (FTIR 8400s) in the frequency range of 400-4000 cm<sup>-1</sup>. The complexes' molar conductance (1 x 10<sup>-3</sup> molL<sup>-1</sup>) was determined in DMSO at 25°C using a HANNA conductivity meter with a cell constant of 0.83. The elemental analysis and melting point were performed on a CHNS/O

Perkin Elmer Analyser (2400 series II) and MPA100 Optimelt Automated Melting Point System (SRS), respectively. The GCMS analysis was conducted by on an Agilent-Technologies 6890N Network GC system, equipped with an Agilent-Technologies 5975 inert XL Mass selective detector, located in Little Falls, CA, USA. The PXRD patterns using Empyrean were obtained an diffractometer in the angle range  $2\theta = 0 - 70^{\circ}$ with Cu-K $\alpha_1(\lambda = 1.5406)$  and Cu-K $\alpha_2(\lambda =$ 1.5444).

#### Synthesis of [Zn(4MBA)<sub>2</sub>(2MIm)(H<sub>2</sub>O)<sub>3</sub>].H<sub>2</sub>O (1)

Complex 1 was prepared, as described by Chen et al. [14] by dissolving 1.0 mmol ZnCl<sub>2</sub> (136.3 mg), 1.0 mmol 4MBA (136.1 mg) and 1.0 mmol 2Mim (82.1 mg) in 10 mL distilled water. The mixture's pH was brought to a range of 8 to 10 with the addition of 0.5M KOH and stirred continuously for 3 hrs at room temperature. The resulting white precipitate was filtered, washed with distilled

water, and then dried using anhydrous calcium chloride in a desiccator.

**Analytical data for Complex 1**: White powder, Yield: 67 %, m.pt.= 248°C, Anal. Calcd. for  $C_{20}H_{28}N_2O_8Zn$ , (MW = 489.8 g/mol) %:C; 49.04, H; 5.76, N; 5.72, found C; 49.06, H; 5.73, N; 5.70.

#### Synthesis of [Cu(4MBA)<sub>2</sub>(2MIm)(H<sub>2</sub>O)<sub>3</sub>] (2)

Complex 2 was prepared following the same procedure as complex 1, with CuCl<sub>2</sub>.2H<sub>2</sub>O (1.0 mmol; 170.5 mg) as the metal salt. The resulting blue precipitate was filtered, followed by a thorough wash with distilled water. It was subsequently dried using anhydrous calcium chloride in a desiccator.

**Analytical data for Complex 2**: Purple powder, Yield: 62 %, m.pt.= 235°C, Anal. Calcd. for  $C_{20}H_{26}CuN_2O_7$ , (MW = 467.9 g/mol) %:C; 51.11, H; 5.58, N; 5.96, found C; 51.10, H; 5.59, N; 5.90.

The synthetic route to complexes 1 and 2 is represented in Fig. 2.

Figure 2. Synthetic Route for the Synthesis of Complex 1 and Complex 2

#### **Antimicrobial Studies**

The assessment of antimicrobial properties for both the ligands and the newly synthesized compounds was conducted in accordance with the procedure outlined by Obaleye et al. [15]. The sensitivity of bacterial isolates, including *P. aeruginosa*, *S. aureus* and *E. Coli* and strains of fungi viz: *A. niger*, *Candida* spp. and *A. flavus*, was investigated using the agar well diffusion method. The Muller-Hinton nutritional agar plates were carried out in duplicates per test organisms. Test organisms were made to equivalent 0.5 McFarland standards and in-

oculated in sterile petri dish. Sterile cork borer was used to make five 10 mm equivalent holes on the surface of the MHA agar. The test compounds (6 mg/mL) each was introduced into four of the holes while the control at the center hole contained DMSO. The bacterial and fungal strains were incubated for 24 h and 48 h at 37°C, respectively. The zone of inhibition's diameter was carefully assessed and recorded in millimeters (mm). All inhibition zones with a diameter of less than 10 mm are deemed inactive against a given strain.

#### **Molecular Docking**

The molecular interaction and binding affinity of the synthesized compounds were examined at a resolution of 3.34 using *Staphylococcus aureus* DNA gyrase (PDB ID: 2XCT) retrieved from the Protein Data Bank. According to the AutoDock Vina 4.2 protocol, the protein was processed by removing the water molecules and adding

polar hydrogen and cofactors. The grid box was centered on vital amino acid residues surrounding the protein macromolecule's active sites (Tyr1025, Val1029, Arg1033, Val1177, Ile1175, Ala1034). AutoDock Vina was used to analyze the complexes with the target receptor.

### 3. Results and Discussion

#### **Molar Conductivity**

The molar conductivities of complexes 1 and 2 are presented in Table 1. The conductivity values, 15.35 for complex 1 and 14.57 for complex 2, were less than 40 Scm<sup>2</sup>mol<sup>-1</sup>. This suggests that the complexes are non-elec-

trolyte in DMSO solvent [16]. Moreover, the results above indicate that there are no counter ions outside the coordination sphere of the two complexes [17].

**Table 1. Molar Conductivities of Synthesized Complexes** 

Complex	Molar Conductivity (Scm <sup>2</sup> mol <sup>-1</sup> )
Complex 1	15.35
Complex 2	14.57

#### **Infrared Spectra**

The FTIR spectra of the ligands and complexes are presented in Fig. 3a-d, where some notable vibrational bands are observed.

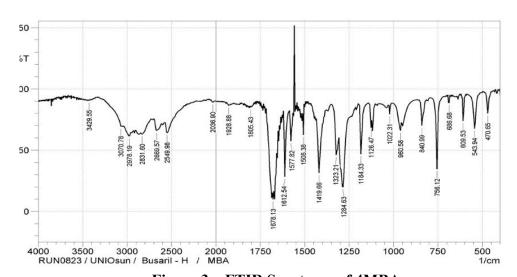


Figure 3a. FTIR Spectrum of 4MBA

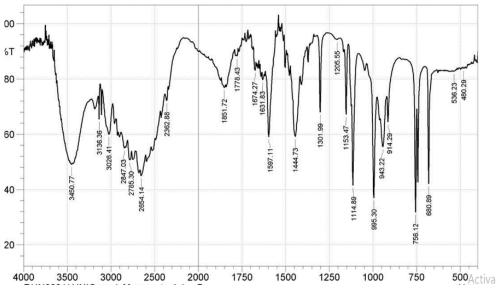
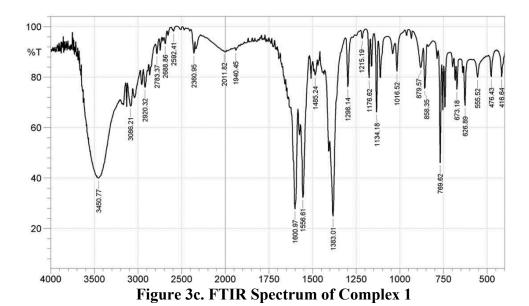


Figure 3b. FTIR Spectrum of 2MIm



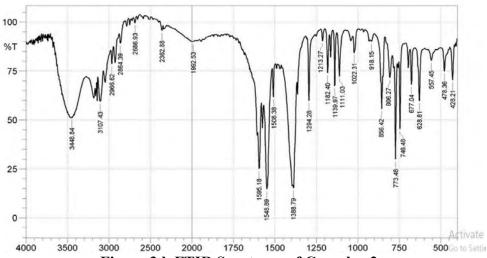


Figure 3d. FTIR Spectrum of Complex 2

At 1678 cm<sup>-1</sup>, the carbonyl band of 4MBA v(C=O) was observed, while at 1284cm<sup>-1</sup>, an asymmetric aromatic stretching band v(CO) was observed (Fig. 3a) [16,18]. The peaks at 1660cm-1 and 1383cm-1 for complex 1 (Fig. 3c); 1595cm-1 and 1388cm-1 for complex 2 (Fig. 3d) are attributed to  $v_{asym(COO^-)}$  and  $v_{sym(COO^-)}$ , respectively. The difference  $\Delta v = [v_{asym}(COO^-) - v_{sym}(COO^-)]$ ; 217cm<sup>-1</sup> for complex 1 and 207cm<sup>-1</sup> for complex 2 reflects a monodentate mode of coordination of the carboxylate group. The sharp peaks at 1556cm<sup>-1</sup> (Fig. 3c) and 1548cm<sup>-1</sup> (Fig. 3d) in

the complexes 1 and 2, respectively, are attributed to aromatic C=C bond of the benzoic acid while the coordinated water molecules are found by the bands at 626cm<sup>-1</sup> and 628 cm<sup>-1</sup>, respectively, and these values agree with the literature reports [19]. In the spectrum of free 2MIm (Fig. 3b), there is no significant shift in the NH band (3450cm<sup>-1</sup>) when compared with that of complex 1 (3450cm<sup>-1</sup>) and complex 2 (3448cm<sup>-1</sup>) to suggest coordination but indicates the involvement of 2MIm in coordination.

#### **XRD Pattern**

The powder XRD patterns of complexes 1 and 2 are shown in Fig. 4. The difference in their XRD pattern is an indication of their different structures. The Zn ion in complex 1 exists in cubic system matching JCPDS card number 00-412-2713 with space group P 21 3 having cell parameter a= 20.1619 Å. The Cu ion in complex 2 exists in orthorhombic

system matching JCPDS card number 00-430-5279 with space group Pbcn. The cell parameters are a= 8.1953Å, b=7.5577Å, c= 13.4593Å. The X-ray diffraction (XRD) patterns of the newly synthesized Zn (II) and Cu (II) compounds exhibited distinct crystalline structures, as evidenced by the pronounced peaks in their XRD patterns.

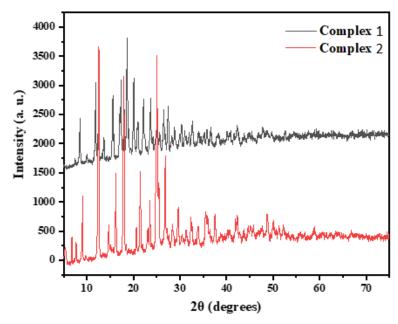


Figure 4. Powder XRD Patterns of Complex 1 and Complex 2

#### **Mass Spectra**

The mass spectra of the complexes are shown in Figures 5a and 5b.

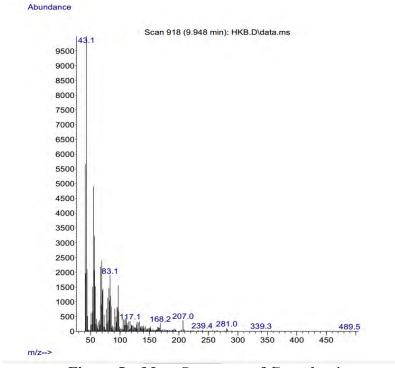


Figure 5a. Mass Spectrum of Complex 1

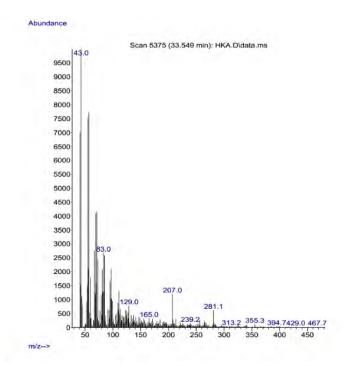


Figure 5b. Mass Spectrum of Complex 2

The observed molecular ion (M) peaks were used to confirm the proposed molecular weights of the complexes and hence determine the molecular formula. Complexes 1 and 2 show a molecular ion peak (m/z) at 489.5 and 467.7, respectively, as shown in

their respective spectra. These peaks are consistent with the proposed molecular weights of the respective metal complexes as shown in Table 2. These findings agree with the hitherto studies of Damena et al. [20] and Uddin et al. [21].

Table 2. Mass Spectra Data of Complex 1 and 2

Complex	Mol. wt.	Molecular ion peak [M]	Molecular formula
Complex 1	489.8	489.5	$C_{20}H_{28}N_2O_8Zn$
Complex 2	467.9	467.7	$C_{20}H_{26}CuN_2O_7$

#### **Antimicrobial Studies**

The antifungal and antibacterial activities of complexes 1 and 2 were represented in Figures 6a and 6b. Complex 1 and 2 showed the better antibacterial potency against S.

aureus, E. coli and P. aeruginosa than the ligands used in the study; with complex 2 showing the highest antibacterial activity (Figure 6a).

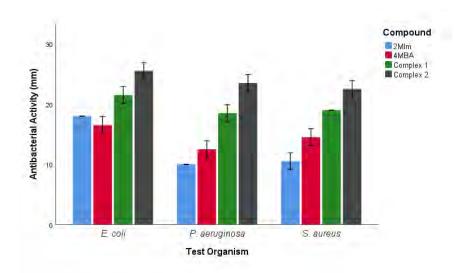


Figure 6a. Antibacterial Activity of the Ligands and the Metal Complexes

Also, amongst the compounds tested for antifungal activity, complex 2 was observed to be the most effective in inhibiting the growth of *A. flavus*, *A. niger* and *Candida* 

spp. Similar antifungal activities was observed for complex 1 and 4MBA ligand against *A. flavus* and complex 1 and 2Mlm against *Candida* spp. (Figure 6b).

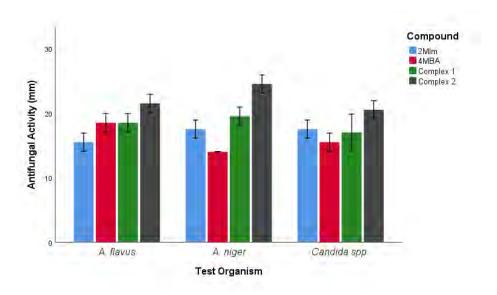


Figure 6b. Antifungal Activity of the Ligands and Metal Complexes

Against the tested organisms, complexes 1 and 2 showed differential antimicrobial activities compared to the free ligands. Notably, complexes 1 [Zn(4MBA)<sub>2</sub>(2MIm) (H<sub>2</sub>O)<sub>3</sub>].H<sub>2</sub>O and 2 [Cu(4MBA)<sub>2</sub>(2MIm) (H<sub>2</sub>O)<sub>3</sub>] had higher antibacterial activity than

4MBA and 2MIm. In this present study, complex 2 displayed a high antibacterial activity when compared to the free ligands (4MBA and 2MIm) and complex 1. This is consistent with report of Kaushal et al. [22] that reported the excellent antibacterial

activity of copper complex against *S. aureus*. Similarly, Oladipo et al. [23] and Krishnegowda et al. [24] have described the excellent antibacterial activity of complex of copper against *P. aeruginosa*, *E. coli* and *S. aureus*. Also, complex 1 dis-played a remarkable antimicrobial property against gram-negative *E. coli* and gram-pos-itive *P. aeruginosa* and *S. aureus*. This is in line with reports of Ali et al. [25]; Boughou-gal et al.

[26] and Basu Baul et al. [27] that described the antimicrobial potency of the zinc complex against multiple drug resistance pathogens. Overall, chelation raised the liposolubility of the complexes, which was boosted due to  $\pi$  electrons delocalization over the chelate ring of the complex, which increased the antibacterial activity of the synthesized metal complexes against the tested strains of organisms [12,28,29]

#### **Molecular Docking Studies**

The metal complexes were tested for their ability to inhibit *S. aureus* DNA gyrase. The complexes' orientation to the enzyme's active regions was determined by employing auto-

mated docking. Table 3 shows the binding energy for the interaction, while Figure 7 shows the complex with the best binding affinity to the active sites on the DNA gyrase.

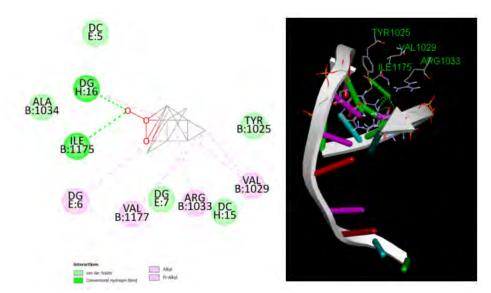


Figure 7. 2D and 3D Molecular Interaction of Complex 2 to DNA Gyrase

**Table 3. Binding Energy of Metal Complexes to DNA Gyrase** 

Complex	Binding energy (kcal/mol)
Complex 1	-1.9
Complex 2	-8.6

#### 4. Conclusion

The two complexes, (1)  $[Zn(4MBA)_2(2MIm)]$  $(H_2O)_3$ ]. $H_2O$  and (2) [Cu(4MBA)<sub>2</sub>(2MIm) (H<sub>2</sub>O)<sub>3</sub>], have been synthesized, characterized, and studied for antimicrobial activity. The FTIR spectra revealed that the 4methylbenzoic acid ligand coordinated through the carbonyl oxygen atom of the carboxylate group in a monodentate mode in both complexes. In addition, the 2-methyl imidazole ligand coordinated in monodentate mode through the imidazole nitrogen atom. The two complexes were proposed to exist in an octahedral coordinate system based on the comparison of the results from the elemental analysis, FTIR and mass spectra. Complex 1 was cubic and had the space group P 21 3, whereas complex 2 had the space group Pbcn and was orthorhombic. The two complexes had strong antibacterial action against the selected antimicrobial organisms; however, complex 2 displayed a better inhibitory tendency. This is in agreement with the results of the molecular simulation which showed that complex 2 had the higher binding energy against DNA gyrase and thus, the better antimicrobial potential. Therefore, complex 2 could then be considered as a preferred antimicrobial agent in the future.

#### 5. Conflict of Interest

The authors declare no conflict of interest.

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